

Appendix 2

NORTH PACIFIC MINKE WHALE IMPLEMENTATION SIMULATION TRIAL SPECIFICATIONS

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A. Basic concepts and stock structure

The objective of the North Pacific minke whale *Implementation Simulation Trials* is to examine the performance of the RMP in scenarios that relate to the actual problem of managing a likely fishery for minke whales in the North Pacific. The trials attempt to bound the range of plausible hypotheses regarding the number of minke whale stocks in the North Pacific, how they feed (by sex, age and month) and recruit and how surveys index them. The underlying dynamics model is age- and sex-structured and allows for multiple stocks. Allowance is made for possible dispersal (permanent transfer of animals between stocks).

The region to be managed (the western North Pacific) is divided into 22 sub-areas (see Fig. 1). Future surveys are unlikely to cover sub-areas 1, 2, 3, 4 and 13 (see Table 3) so these sub-areas are taken to be *Residual Areas* in the current trials (although allowance is made for future bycatches from some of these sub-areas – see section D). The term ‘stock’ refers to a group of whales from the same breeding ground.

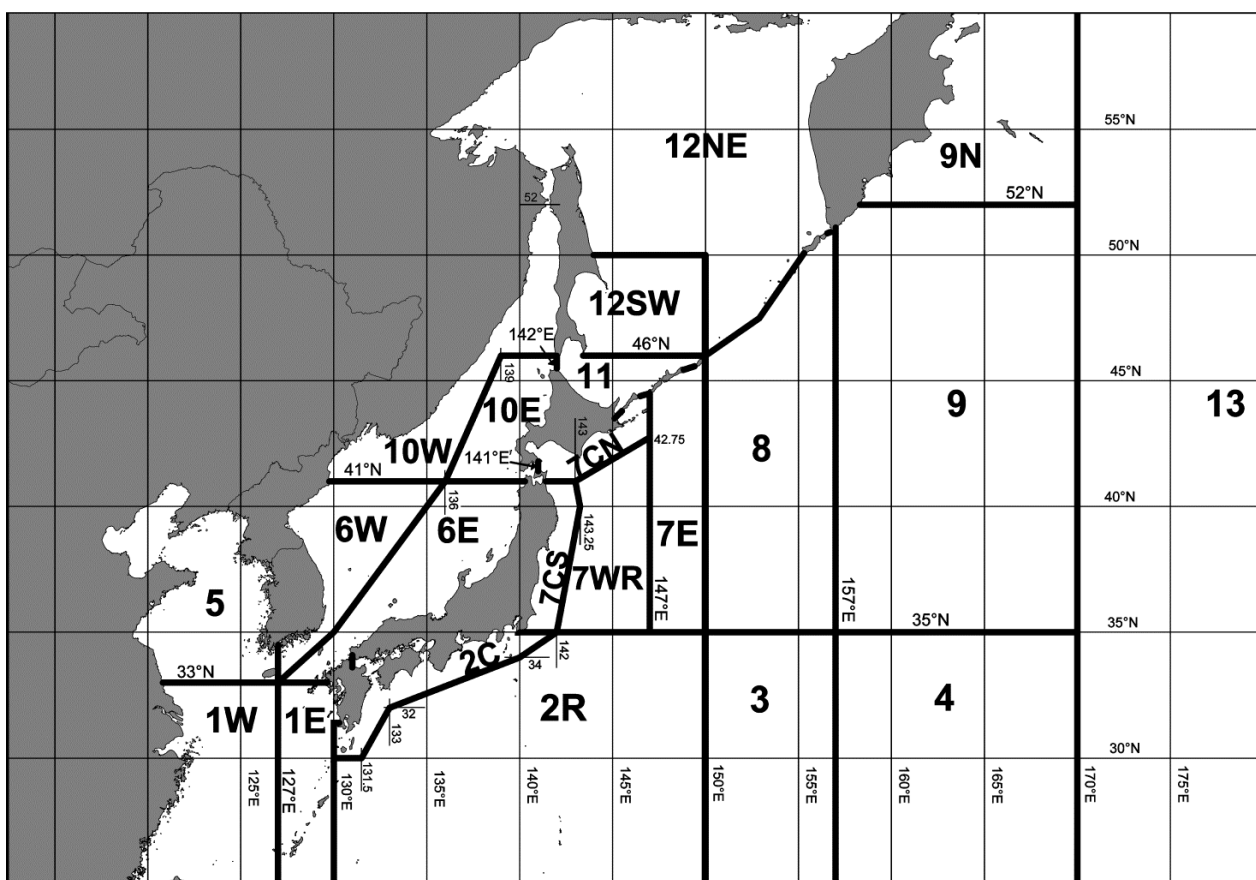


Fig. 1. The 22 sub-areas used for the *Implementation Simulation Trials* for North Pacific minke whales.

Three fundamental hypotheses are considered to account for patterns observed in the results from the genetic analyses:

- (a) there is a single J-stock distributed in the Yellow Sea, Sea of Japan, and Pacific coast of Japan, and a single O-stock in sub-areas 7, 8, and 9 (referred to as hypothesis A);
- (b) as for hypothesis (A), but there is a third stock (Y-stock) which resides in the Yellow Sea and overlaps with J-stock in the southern part of sub-area 6W (referred to as hypothesis B); and
- (c) there are five stocks, referred to Y, JW, JE, OW, and OE, two of which (Y and JW) occur in the Sea of Japan, and three of which (JE, OW, and OE) are found to the east of Japan (referred to as hypothesis C).

Sensitivity tests in which there is a C-stock are also conducted based on stock structure hypotheses A and C. The C-stock stock is found in sub-areas 9 and 9N for the sensitivity test based on stock structure hypothesis A and in these sub-areas as well as sub-area 12NE for the sensitivity test based on stock structure hypothesis C. There is uncertainty regarding whether C-stock is found in sub-area 12NE because of the lack of genetics data for this sub-area.

B. Basic dynamics

Further details of the underlying age-structured model and its parameters can be found in IWC (1991, p112), except that the model has been extended to take sex-structure and dispersal into account. The dynamics of the animals in stock j are governed by equations B.1(a) for stocks for which there is no dispersal (permanent movement) between stocks as is the case in all the base case trials. Stocks for which there is dispersal are governed by Equations B.1(b):

$$N_{t+1,a}^{g,j} = \begin{cases} 0.5 b_{t+1}^j & \text{if } a = 0 \\ (N_{t,a-1}^{g,j} - C_{t,a-1}^{g,j}) \tilde{S}_{a-1} & \text{if } 1 \leq a < x \\ (N_{t,x}^{g,j} - C_{t,x}^{g,j}) \tilde{S}_x + (N_{t,x-1}^{g,j} - C_{t,x-1}^{g,j}) \tilde{S}_{x-1} & \text{if } a = x \end{cases} \quad (\text{B.1a})$$

$$N_{t+1,a}^{g,j} = \begin{cases} 0.5 b_{t+1}^j & \text{if } a = 0 \\ \sum_{j \neq j'} [(1 - D^{j,j'}) (N_{t,a-1}^{g,j} - C_{t,a-1}^{g,j}) \tilde{S}_a + D^{j',j} (N_{t,a-1}^{g,j'} - C_{t,a-1}^{g,j'}) \tilde{S}_a] & \text{if } 1 \leq a < x \\ \sum_{j \neq j'} [(1 - D^{j,j'}) ((N_{t,x}^{g,j} - C_{t,x}^{g,j}) \tilde{S}_x + (N_{t,x-1}^{g,j} - C_{t,x-1}^{g,j}) \tilde{S}_{x-1}) \\ \dots + D^{j',j} ((N_{t,x}^{g,j'} - C_{t,x}^{g,j'}) \tilde{S}_x + (N_{t,x-1}^{g,j'} - C_{t,x-1}^{g,j'}) \tilde{S}_{x-1})] & \text{if } a = x \end{cases} \quad (\text{B.1b})$$

where

$N_{t,a}^{g,j}$ is the number of animals of gender g and age a in stock j at the start of year t ;

$C_{t,a}^{g,j}$ is the catch (in number) of animals of gender g and age a in stock j during year t (whaling is assumed to take place in a pulse at the start of each year);

b_t^j is the number of calves born to females from stock j at the start of year t ;

\tilde{S}_a is the survival rate = e^{-M_a} where M_a is the instantaneous rate of natural mortality (assumed to be independent of stock and sex);

x is the maximum age (treated as a plus-group); and

$D^{j,j'}$ is the dispersal rate (i.e. the probability of an animal moving permanently) from stock j to j' (note: there is only dispersal between the OW and OE stocks and between the JW and JE stocks).

Note that $t=0$, the year for which catch limits might first be set, corresponds to 2013.

For computational ease, the numbers-at-age by sex are updated at the end of each year only, even though catching is assumed to occur from March to October. This simplification is unlikely to affect the results substantially for two reasons: (1) catches are at most only a few percent of the number of animals selected to the fisheries; and (2) sightings survey estimates are subject to high variability so that the resultant slight positive bias in abundance estimates is almost certainly inconsequential.

C. Births

Density-dependence is assumed to act on the female component of the mature population. The convention of referring to the mature population is used here, although this actually refers to animals that have reached the age of first parturition.

$$b_t^j = B^j N_t^{f,j} \{1 + A^j (1 - (N_t^{f,j} / K^{f,j})^{z^j})\} \quad (\text{C.1})$$

where

B^j is the average number of births (of both sexes) per year for a mature female in stock j in the pristine population;

A^j is the resilience parameter for stock j ;

z^j is the degree of compensation for stock j ;

$N_t^{f,j}$ is the number of 'mature' females in stock j at the start of year t :

$$N_t^{f,j} = \sum_{a=a_m}^x N_{t,a}^{f,j} \quad (\text{C.2})$$

a_m is the age-at-first-parturition; and

$K^{f,j}$ is the number of mature females in stock j in the pristine (pre-exploitation, written as $t=-\infty$) population:

$$K^{f,j} = \sum_{a=a_m}^x N_{-\infty,a}^{f,j} \quad (\text{C.3})$$

The values of the parameters A^j and z^j for each stock are calculated from the values for $MSYL^j$ and $MSYR^j$ (Punt, 1999). Their calculation assumes harvesting equal proportions of males and females.

D. Catches

The operating model considers two sources for non-natural mortality: direct catches and bycatches (which are also referred to as incidental catches). In future ($t \geq 2013$), the former are set by the RMP, while the latter are a function of abundance and future fishery effort. In cases in which the catch limit set by the RMP is less than the level of incidental catch, the total removals are taken to be the incidental catch only whereas if the RMP catch limit exceeds the incidental catch (if any), the level of the commercial removals is taken to be the difference between the RMP catch limit and the best estimate of the incidental catch (see ‘Future incidental catches’ below).

Direct catches

The direct historical (pre-2013) catch series used are listed in Adjunct 1 and include both commercial and special permit catches. The baseline trials use the ‘best’ direct catch series and an alternative ‘high’ catch series is used in sensitivity trial 4. Sensitivity trials 8 and 9 test the effect of the method used to allocate historical catches between sub-areas 5 and 6W. The RMP will use the ‘best’ series in all trials. Consequently, the RMP will use what are in effect incorrect catches for trials 4, 8 and 9 in order to examine the implications of uncertainty about historical catches.

Catch limits are set by *Small Area*. (Catches are always reported by *Small Area*, i.e. the RMP is not provided with catches by sub-area for cases in which sub-areas are smaller than *Small Areas*.) As it is assumed that whales are homogeneously distributed across a sub-area, the catch limit for a sub-area is allocated to stocks by sex and age relative to their true density within that sub-area, and a catch mixing matrix V that depends on sex, age and time of the year (and may also depend on year), i.e.

$$C_{t,a}^{g,j} = \sum_k \sum_q F_t^{g,k,q} \sum_a V_{t,a}^{g,j,k,q} S_a^g \tilde{N}_{t,q,a}^{g,j} \tag{D.1}$$

$$F_t^{g,k,q} = \frac{C_t^{g,k,q}}{\sum_{j'} \sum_{a'} V_{t,a'}^{g,j',k,q} S_{a'}^g \tilde{N}_{t,q,a'}^{g,j'}} \tag{D.2}$$

where

$F_t^{g,k,q}$ is the exploitation rate in sub-area k on fully recruited ($S_a^g \rightarrow 1$) animals of gender g during month q of year t ;

S_a^g is the selectivity on animals of gender g and age a :

$$S_a^g = (1 + e^{-(a-a_{50}^g)/\delta^g})^{-1} \tag{D.3}$$

$\tilde{N}_{t,q,a}^{g,j}$ is the number of animals of gender g and age a in stock j at the start of month q in year t after removal of catches in earlier months and after any bycatches have been removed;

a_{50}^g, δ^g are the parameters of the (logistic) selectivity ogive for gender g ; and

$C_t^{g,k,q}$ is the catch of animals of gender g in sub-area k during month q of year t (see Adjunct 1 for the historical catches).

Each entry in the catch mixing matrix, $V_{t,a}^{g,k,q}$, is the fraction of males/females of age a from stock j which are found in sub-area k during month q of year t . The catch mixing matrix is different for each month to reflect the effects of migration between the breeding and the feeding grounds. Adjunct 2 lists the catch mixing matrices considered. The matrices are based on the presence/absence matrices developed at the September 2010 workshop (IWC, 2012b) and give the relative fraction of an age-class in each of the sub-areas during the months March-October. Once the values of the parameters related to mixing rates (the γ s – see section F) are specified (these are estimated separately for each trial and each replicate in the conditioning process), the catch mixing matrices can be converted to fractions of each age-class in each sub-area. The values for the γ parameters are selected to mimic available data (see Section F).

Catch mixing matrices are specified for ages 4 and 10 (these being three years below and above the assumed age-at-50%-maturity). Few animals of age 4 are mature while most of age 10 are. The catch mixing matrices for ages 0-3 are assumed to be the same as that for age 4, and those for ages 11+ the same as that for age 10. The catch mixing matrices for ages 5-9 are set by interpolating linearly between those for ages 4 and 10.

The trials model whale movements in the eight-months from March to October. In order to account for historical direct and incidental catches outside these months, all catches in January-March are modelled as being taken in March and the catches after October are assumed to have been taken in October. The historical direct catches by sex, sub-area, month and year are given in Adjunct 1. Details of the sources and construction of the catch data series are given in Allison (2011).

The trials are conducted assuming that the sub-areas for which future catch limits might be set are:

| | | |
|----------|-------------|---|
| Sub-area | 5 | March to November (coastal whaling >60 n.miles offshore) |
| | 6W | March to November (coastal whaling >30 n.miles offshore) |
| | 7CS and 7CN | April to October (coastal/pelagic whaling outside 10 n.miles) |
| | 7WR and 7E | April to October (pelagic whaling) |
| | 8 and 9 | April to October (pelagic whaling) |
| | 11 | August to October (coastal and pelagic whaling) |

The future ($t \geq 2013$) commercial catches by sex, sub-area, month and year are calculated using the equation:

$$C_t^{g,k,q} = C_t^k Q_t^{g,k,q} \tag{D.4}$$

$Q^{g,k,q}$ is the fraction of the commercial catch in sub-area k of gender g which is taken during month q , the values of which are given in Table 1a; and

C_t^k is the commercial catch limit for sub-area k and year t ($t \geq 2013$). Note that C_t^k is equal to the catch limit set by the RMP less any reported incidental catch (constrained to be non-negative).

Some of the entries in the Q matrix are determined by the options related to the sub-areas for which catch limits might be set (e.g. Q is zero from April-July for sub-area 11). The non-zero entries in the Q matrix (see Table 1a) reflect the historical breakdown of catches over the last 10 years of commercial whaling (1978-87) within each sub-area. In sub-areas for which there was no catch between 1978-87 (7E, 8 and 9), the entries in the Q matrix are set using the entire historical commercial and scientific catch in these sub-areas. In some instances where regulations limited the commercial whaling season, the matrix entries have been adjusted using the special permit data.

Table 1a.

The Q matrix: the percentage of the future commercial catch in sub-area k that is taken by sex and month for sub-areas other than *Residual Areas*. Dashes indicate sub-areas/months for which catch limits are defined to be zero. See text for description of how the entries are set.

| Sub-area | Mar. | Apr. | May | Jun. | Jul. | Aug. | Sep. | Oct. | Mar. | Apr. | May | Jun. | Jul. | Aug. | Sep. | Oct. |
|-------------------|--------------|------|------|------|------|------|------|------|----------------|------|------|------|------|------|------|------|
| | Males | | | | | | | | Females | | | | | | | |
| 5 | 5.8 | 19.2 | 10.9 | 6.7 | 8.0 | 4.6 | 1.7 | 0.0 | 5.3 | 13.0 | 7.1 | 4.6 | 7.2 | 3.3 | 2.7 | 0.0 |
| 6W | 0.2 | 1.9 | 14.8 | 11.4 | 5.5 | 2.0 | 8.9 | 9.9 | 0.2 | 0.9 | 13.3 | 9.8 | 3.4 | 1.2 | 8.4 | 8.2 |
| 7CS | - | 24.3 | 21.5 | 10.1 | 4.8 | 0.8 | 0.3 | 0.0 | - | 21.7 | 12.6 | 2.8 | 0.7 | 0.3 | 0.0 | 0.0 |
| 7CN | - | 0.0 | 0.8 | 7.9 | 15.1 | 14.9 | 23.2 | 15.1 | - | 0.1 | 0.3 | 4.8 | 6.7 | 3.4 | 5.1 | 2.5 |
| 7WR | - | 1.1 | 47.9 | 30.9 | 3.2 | 1.1 | 1.1 | 0.0 | - | 0.0 | 9.6 | 2.1 | 3.2 | 0.0 | 0.0 | 0.0 |
| 7E | - | 0.0 | 36.5 | 11.0 | 2.2 | 8.3 | 14.4 | 1.1 | - | 0.0 | 4.4 | 2.2 | 5.5 | 5.5 | 8.8 | 0.0 |
| 8 | - | 0.0 | 12.6 | 34.2 | 32.0 | 4.5 | 3.3 | 2.2 | - | 0.0 | 3.0 | 2.2 | 3.3 | 0.0 | 0.7 | 1.9 |
| 9 | - | 0.0 | 5.8 | 14.8 | 33.2 | 34.7 | 1.8 | 0.0 | - | 0.0 | 1.6 | 1.8 | 2.7 | 3.5 | 0.0 | 0.0 |
| 11 | - | - | - | - | - | 27.0 | 20.3 | 3.7 | - | - | - | - | - | 30.3 | 15.7 | 3.0 |
| 11 for Variant 10 | - | - | 10.4 | 18.1 | - | - | - | - | - | - | 36.5 | 35.0 | - | - | - | - |

The future commercial catches in sub-areas 7CS and 7CN are removed based on the mixing proportions from the offshore (>10 n.miles) samples only. Denote the modelled mixing proportion used when conditioning to be R^k as:

$$R^k = \frac{\sum_{t=1996}^{2007} P_{1+t}^{J/JE,k}}{\sum_j \sum_{t=1996}^{2007} P_{1+t}^{j,k}} \quad \text{where } P_{1+t}^{j,k} \text{ is the average } 1+ \text{ population of stock } j \text{ in sub-area } k \text{ in year } t.$$

The mixing proportions obtained from the offshore samples, \tilde{R}^k , are given in Table 2a. The proportion of J/JE-animals in some future year would normally be $P_{1+t}^{J/JE,k} / (P_{1+t}^{J/JE,k} + P_{1+t}^{O/OW,k})$. For sub-areas 7CS and 7CN in future this equation is adjusted to:

$$(\tilde{R}^k \neq R^k): \alpha^k P_{1+t}^{J/JE,k} / (\alpha^k P_{1+t}^{J/JE,k} + P_{1+t}^{O/OW,k}) \quad \text{where } \alpha^k = \frac{(1-R^k)\tilde{R}^k}{(1-\tilde{R}^k)R^k} \quad (\text{D4.a})$$

The α^k factor is then applied to the recruited population from stock J/JE in sub-area k when setting the commercial catch by stock using equations D.1 and D.2.

In order to comply with RMP specifications regarding the sex ratio in catches (IWC, 1999), if the proportion, P_f , of females in the total direct catch (i.e. commercial and/or special permit) taken from a *Small Area* in the five years prior to the catch limit calculation exceeds 50%, the catch limits are adjusted downwards by the ratio $0.5/P_f$.

Incidental catches

Incidental catches of minke whales are known to occur off Japan (in sub-areas 1E, 2C, 6E, 7CS, 7CN, 10E and 11 and small numbers in 6W) and the Republic of Korea (sub-areas 5 and 6W and small numbers in 1W, 6E and 10W).

Japan: It has been obligatory to report bycatches in Japan since 2001 since when the bycatch numbers are considered to be reliable. Based on the sudden increase in reported bycatches in 2001, earlier bycatches are believed to be under-reported. In view of this, the relationship between bycatch and set-net effort is integrated into the conditioning process, with the advantage that the method is independent of the reporting rate prior to 2001. The reporting rate since 2001 is assumed to be constant at 100% (except in sensitivity trial 4 – see below).

Almost all of the reported bycatch off Japan occurred in set-net fisheries. Three types of set net are used off Japan: large-scale (excluding salmon nets), salmon nets and small scale. For fishing gears other than set-nets, incidental catch, retention and marketing of whales are prohibited by the 2001 regulation and a diagnostic DNA registry is used to deter illegal distribution of whales caught. Ideally, the catch by each gear type should be modelled separately to allow the historical (pre 2001) bycatch to be predicted. However, information on numbers of catches by net type is not available. Therefore the pre 2013 bycatches for each sub-area are set using the total number of incidental catch and the combined number of large-scale and salmon nets in each sub-area. For the best effort series, the number of nets from Japan is extrapolated from 1946 to 1969 assuming a linear relationship from 0 in 1935 to the known number in 1970 (Hakamada, 2010; Tobayama *et al.*, 1992). Incidental catches before 1946 are ignored because although some set-nets were in operation before 1946 (Brownell, pers. comm.) the numbers are highly uncertain and are sufficiently small that they are unlikely to effect the implementation. The years 2007-9 are excluded from the fitting as the number of nets is incomplete, and 2001 is excluded because the catch data are incomplete (as the new

regulations date from June 2001). A high effort series is also generated, for use in sensitivity trial 4, in which the number of nets is double the best case values from 1946-1969, up to a maximum equal to the number of nets in 1969. In sensitivity trial 4 all bycatches are under-reported by a factor of 2.

Korea: The same method is used as for Japan above except the incidental catch numbers from 1996-2009 (sub-area 6W) and 2000-2009 (sub-area 5) are used to extrapolate backwards and the catch numbers are adjusted to allow for underreporting. The bycatches in sub-area 6W (the East Sea) are adjusted upward by a factor of 2. The factor 2 is based on DNA profiling and a capture-recapture analysis of market products which estimated a total of 887 whales going through Korean markets from 1999-2003, in comparison to the reported catch of 458 whales (Baker *et al.*, 2007). The base case assumes that the bycatches in the Yellow Sea (sub-area 5) are fully reported as there is no evidence that this is not the case. The 'high' effort series for sub-area 5 used in sensitivity trial 4 will apply the same estimate of under-reporting as for sub-area 6W (i.e. a factor of 2) and the number of nets is double the best case values from 1946-1969, up to a maximum equal to the number of nets in 1969.

To account for bycatch prior to 1996, the average for the *adjusted* takes are used to extrapolate backwards to 1946 based on fisheries effort using the same approach as for Japan. Incidental catches before 1946 are ignored as for Japan.

China: There are no data on incidental catches off China, although they are known to occur. The trials therefore consider two [essentially arbitrary] scenarios: (i) the incidental catches in sub-area 5 are multiplied by 3 (i.e. the incidental catch by China is twice that by Korea in sub-area 5); and (ii) incidental catches off China are ignored. The first of the options forms part of the base case specifications and the second is included in a sensitivity test (see trial 18) to determine the effects of the base case assumptions.

Allocation to sex and month: Bycatches by sex, sub-area (except for sub-areas 7CS and 7CN in future years), month and year are calculated using the equation:

$$C_{B,t}^{g,k,q} = C_{B,t}^k Q_B^{g,k,q} \tag{D.5}$$

$Q_B^{g,k,q}$ is the fraction of the by-catch in sub-area k which is taken during month q and gender g , the values of which are given in Table 1b; and

$C_{B,t}^k$ is the by-catch in sub-area k and year t (as estimated by the model).

Table 1b

Q_B matrix: the percentage of the incidental catch in sub-area k that is taken by sex and month.

The values are set using all the available bycatch data known by sub-area, sex and month. There is no incidental catch in the other sub-areas.

| Sub-area | Mar. | Apr. | May | Jun. | Jul. | Aug. | Sep. | Oct. | Mar. | Apr. | May | Jun. | Jul. | Aug. | Sep. | Oct. | Sample size |
|----------|--------------|------|------|------|------|------|------|-------|----------------|------|-------|-------|------|------|------|-------|-------------|
| | Males | | | | | | | | Females | | | | | | | | |
| 1E | 18.6 | 14.0 | 0.0 | 4.7 | 0.0 | 0.0 | 0.0 | 4.7 | 20.9 | 2.3 | 9.3 | 7.0 | 7.0 | 2.3 | 0.0 | 9.3 | 43 |
| 2C | 12.0 | 3.4 | 2.4 | 0.5 | 1.4 | 1.0 | 0.0 | 14.4 | 27.9 | 1.4 | 4.3 | 1.9 | 3.4 | 1.4 | 0.5 | 24.0 | 208 |
| 5 | 4.8 | 0.0 | 9.6 | 13.3 | 7.2 | 3.6 | 2.4 | 12.0 | 13.3 | 0.0 | 4.8 | 12.0 | 2.4 | 0.0 | 3.6 | 10.8 | 83 |
| 6W | 10.3 | 5.4 | 5.7 | 5.1 | 3.1 | 2.5 | 5.1 | 14.4 | 11.3 | 5.6 | 6.4 | 7.2 | 2.0 | 1.6 | 1.8 | 12.5 | 610 |
| 6E | 14.5 | 6.7 | 5.8 | 2.1 | 2.9 | 2.5 | 1.7 | 9.1 | 18.9 | 6.7 | 7.3 | 4.0 | 2.1 | 2.3 | 1.2 | 12.1 | 519 |
| 7CS | 6.5 | 7.1 | 9.7 | 9.0 | 1.9 | 1.3 | 0.6 | 10.3 | 11.0 | 10.3 | 7.7 | 9.7 | 3.2 | 1.3 | 1.3 | 9.0 | 155 |
| 7CN | 5.5 | 4.4 | 5.5 | 7.7 | 5.5 | 3.3 | 1.1 | 7.7 | 4.4 | 8.8 | 9.9 | 11.0 | 7.7 | 3.3 | 2.2 | 12.1 | 91 |
| 10E | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 41.7 | 0.0 | 0.0 | 0.0 | 8.3 | 0.0 | 0.0 | 0.0 | 50.0 | 12 |
| 11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5.41 | 29.73 | 0.00 | 0.00 | 16.22 | 16.22 | 2.70 | 0.00 | 0.00 | 29.73 | 37 |

Table 2a

Time invariant fixed proportions of J/JE-stock whales used in removing **future commercial catches** from sub-areas 7CS and 7CN for each for Hypothesis, based on the mixing proportions from the offshore samples (>10nm) only. The values are set using data from 1996-2007.

| Hypothesis | Stocks | Trials | Sub-area | Months | Sample size | mtDNA proportion | SE | Sample size | Allele proportion | SE | Weighted mean |
|------------|---------|--------|----------|---------|-------------|------------------|-------|-------------|-------------------|-------|---------------|
| A & B | J & O | A & B | 7CS | Apr | 76 | 0.166 | 0.047 | 76 | 0.214 | 0.028 | 0.201 |
| A & B | J & O | A & B | 7CS | May | 99 | 0.159 | 0.040 | 99 | 0.215 | 0.024 | 0.200 |
| A & B | J & O | A & B | 7CS | Jun-Sep | 52 | 0.027 | 0.025 | 52 | 0.080 | 0.029 | 0.050 |
| A & B | J & O | A & B | 7CN | Apr-Jun | 96 | 0.067 | 0.032 | 96 | 0.058 | 0.021 | 0.061 |
| A & B | J & O | A & B | 7CN | Jul-Dec | 320 | 0.084 | 0.018 | 318 | 0.128 | 0.012 | 0.114 |
| C | JE & OW | C | 7CS | Apr-May | 175 | 0.166 | 0.038 | 175 | 0.229 | 0.018 | 0.217 |
| C | JE & OW | C | 7CS | Jun-Sep | 52 | 0.035 | 0.037 | 52 | 0.088 | 0.030 | 0.067 |
| C | JE & OW | C | 7CN | Apr-Jun | 96 | 0.000 | 0.001 | 96 | 0.001 | 0.000 | 0.001 |
| C | JE & OW | C | 7CN | Jul-Dec | 320 | 0.071 | 0.020 | 318 | 0.145 | 0.013 | 0.123 |
| C23 | J & OW | C23 | 7CS | Apr-May | 175 | 0.136 | 0.030 | 175 | 0.231 | 0.018 | 0.206 |
| C23 | J & OW | C23 | 7CS | Jun-Sep | 52 | 0.022 | 0.024 | 52 | 0.088 | 0.030 | 0.048 |
| C23 | J & OW | C23 | 7CN | Apr-Jun | 96 | 0.000 | 0.001 | 96 | 0.000 | 0.000 | 0.000 |
| C23 | J & OW | C23 | 7CN | Jul-Dec | 320 | 0.060 | 0.016 | 318 | 0.141 | 0.013 | 0.109 |
| C24 | JE & O | C24 | 7CS | Apr-May | 175 | 0.186 | 0.036 | 175 | 0.210 | 0.018 | 0.205 |
| C24 | JE & O | C24 | 7CS | Jun-Sep | 52 | 0.028 | 0.028 | 52 | 0.065 | 0.029 | 0.046 |
| C24 | JE & O | C24 | 7CN | Apr-Jun | 96 | 0.085 | 0.042 | 96 | 0.054 | 0.021 | 0.060 |
| C24 | JE & O | C24 | 7CN | Jul-Dec | 320 | 0.097 | 0.022 | 318 | 0.122 | 0.013 | 0.116 |

A different limit was used in sub-area 7CN in June for the definition of the pure OW-stock for Hypothesis C, because there were 3 June SP samples at distances 8.81, 9.67 and 9.82n.miles which proponents considered to be from the OW-stock. When considering all months (June-Oct) for which SP data is available in sub-area 7CN, there are 19 data points between 8.8nm and 10nm. (These data points are not used in >10n.mile analyses.) When considering all months (April-June with 4 samples from Aug and 1 sample in Sep) for which SP data are available in sub-area 7CS, there are 32 data points between 8.8n.miles and 10n.miles.

To avoid a proliferation of sub-areas and to avoid the need for finer time-steps than month, the probability of the bycatch in sub-areas 7CS and 7CN being one of the two stocks in the sub-area is assumed to be time-invariant while the incidental catches in sub-areas other than 7CS and 7CN are apportioned to stock and age class in the same way as for the commercial catches (i.e. using Equations D.1 and D.2 but assuming that the bycatch is taken uniformly from all age classes (the selectivity=1)). The bycatches in 7CS and 7CN are split to stock using mixing proportions calculated from the weighted average of the mixing proportions obtained from mtDNA haplotype and microsatellite allele bycatch samples, as listed in the final column of Table 2b.

Table 2b
Time invariant fixed proportions of J/JE-stock whales used in removing bycatch from sub-areas 7CS and 7CN.

| Hypothesis | Trials | Sub-area | Months | mtDNA Proportion | SE | Allele Proportion | SE | Weighted Mean |
|------------|--------|----------|-----------|------------------|-------|-------------------|-------|-------------------|
| A & B | A & B | 7CS | Jan.-Apr | 0.419 | 0.086 | 0.440 | 0.041 | 0.44 |
| A & B | A & B | 7CS | May | 0.160 | 0.078 | 0.168 | 0.047 | 0.17 |
| A & B | A & B | 7CS | Jun.-Oct. | 0.645 | 0.067 | 0.664 | 0.030 | 0.66 |
| A & B | A & B | 7CN | Jan.-Jun. | 0.477 | 0.071 | 0.507 | 0.033 | 0.50 |
| A & B | A & B | 7CN | Jul.-Oct. | 0.758 | 0.074 | 0.680 | 0.036 | 0.69 |
| C | C | 7CS | Jan.-May | 0.375 | 0.088 | 0.356 | 0.032 | 0.36 |
| C | C | 7CS | Jun.-Dec. | 0.696 | 0.078 | 0.646 | 0.032 | 0.65 |
| C | C | 7CN | Jan.-Mar. | | | | | 1.00 ¹ |
| C | C | 7CN | Apr.-Jun. | 0.486 | 0.095 | 0.426 | 0.037 | 0.43 |
| C | C | 7CN | Jul.-Dec. | 0.764 | 0.091 | 0.670 | 0.036 | 0.68 |
| C | C23 | 7CS | Jan.-May | 0.280 | 0.069 | 0.348 | 0.032 | 0.34 |
| C | C23 | 7CS | Jun.-Dec. | 0.652 | 0.073 | 0.661 | 0.031 | 0.66 |
| C | C23 | 7CN | Jan.-Mar. | | | | | 1.00 ¹ |
| C | C23 | 7CN | Apr.-Jun. | 0.396 | 0.080 | 0.441 | 0.037 | 0.43 |
| C | C23 | 7CN | Jul.-Dec. | 0.707 | 0.082 | 0.693 | 0.036 | 0.70 |
| C31 | 31 | 7CN | Jan.-Jun. | 0.569 | 0.087 | 0.480 | 0.035 | 0.49 |

The historical bycatch model: The historical bycatch $C_{B,t}^k$ in sub-area k in year t is given by:

$$C_{B,t}^k = A^k P_t^k E_t^k \quad (D.6)$$

where A^k is the bycatch constant, E_t^k is the number of nets in sub-area k in year t and P_t^k is the total population (including calves) in sub-area k in year t averaged over all 8 time periods. In trial 25, the abundance P_t^k in equation D.6 is replaced by $\sqrt{(P_t^k)}$ in order to test a different assumption for the relationship between bycatch and abundance and the impact of possible saturation effects. The values of the bycatch constants are set by fitting during the conditioning process (see section F). The recent by catches and the numbers of set-nets by type, year and area are listed in Adjunct 1. Further details are given in Annex H of IWC (2012a).

Future bycatches: Future bycatches by sub-area (except in sub-areas 7CS and 7CN) are generated assuming that the exploitation rate due to bycatch in the future equals that estimated for the trial in question for the most recent five-years of data used in the conditioning process, i.e.:

$$C_{B,t}^k = \bar{F}^k P_t^k \quad (D.7)$$

where $C_{B,t}^k$ is the by-catch in sub-area k in year t , P_t^k is the total population (including calves) in sub-area k in year t averaged over all 8 time periods (March-October), and \bar{F}^k is the average exploitation rate (sum over years of bycatch divided by the sum over years of P_t^k) over the last five years of the period used for conditioning (2002-06 for sub-areas off Japan and 2005-09 for those off Korea) i.e F is reset for each of the 100 simulations within a trial. Thus the future bycatch by sex, month and sub-area is given by:

$$C_{B,t}^{g,k,q} = Q_B^{g,k,q} \bar{F}^k P_t^k \quad (D.7a)$$

For trial 25, the abundance P_t^k in equation D.7a is replaced by $\sqrt{(P_t^k)}$.

To avoid possible dis-proportionate bycatches of J/JE- to O/OW-stock whales, equation (D.7a) is replaced with (D.7b) in sub-areas 7CS and 7CN.

$$C_{B,t}^{g,k,q} = \tilde{P}_t^{k,q} \bar{F}^k Q_B^{g,k,q} \quad (D.7b)$$

where $\tilde{P}_t^{k,q}$ is the availability-weighted population size in sub-area k during month q :

$$\tilde{P}_t^{k,q} = (P_t^{k,q,J/JE} + \lambda^{k,q} P_t^{k,q,O/OW}) \frac{\bar{P}^{k,q,J/JE} + \bar{P}^{k,q,O/OW}}{\bar{P}^{k,q,J/JE} + \lambda^{k,q} \bar{P}^{k,q,O/OW}} \quad (D.8)$$

where $\bar{P}^{k,q,j}$ is the average population (including calves) of stock j in sub-area k during month q over the last five years of the period used for conditioning;

¹This proportion corresponded to the original assumption of no OW-stock in 7CN in Jan-Mar. Trial C31 tests sensitivity to alternative mixing proportions corresponding to this assumption.

$P_t^{k,q,j}$ is the total population (including calves) of stock j in sub-area k during month q of year t ;

$\lambda^{k,q}$ is a relative availability factor for J/JE whales relative to O/OW whales:

$$\lambda^{k,q} = \frac{(1 - \ddot{P}^{k,q}) \bar{P}^{k,q,J/JE}}{\ddot{P}^{k,q} \bar{P}^{k,q,O/OW}} \tag{D.9}$$

$\ddot{P}^{k,q}$ is the weighted mean proportion of stock J/JE in sub-area k during month q (as given in Table 2b).

This catch is allocated to stock as follows:

$$C_{B,t}^{g,k,q,J/JE} = \frac{P_t^{g,k,q,J/JE}}{\lambda^{k,q} P_t^{g,k,q,O/OW} + P_t^{g,k,q,J/JE}} C_{B,t}^{g,k,q} \tag{D.10a}$$

$$C_{B,t}^{g,k,q,O/OW} = \frac{\lambda^{k,q} P_t^{g,k,q,O/OW}}{\lambda^{k,q} P_t^{g,k,q,O/OW} + P_t^{g,k,q,J/JE}} C_{B,t}^{g,k,q} \tag{D.10b}$$

where $P_t^{g,k,q,j}$ is the total population (including calves) of animals of gender g from stock j in sub-area k during month q of year t .

Reported bycatches

A single series of historical bycatches will be used for all of the trials when applying the RMP (i.e. for calculating catch limits), irrespective of the true values of the bycatches, which differ both among trials and simulations within trials. The estimate of the bycatches used by the *CLA* will be set to the averages of the predicted bycatches based on the fit to the actual data² of the operating model for the six baseline trials (i.e. using the ‘best fit’ simulation (0)). The series is given in Adjunct 2, Table 9.

The future by-catches used when applying the RMP are the true by-catches in all sub-areas³, except for trial 4 (in which the estimated by-catches are in error to reflect the under-estimation of bycatch inherent in these trials) and trial 18 (in which the bycatch by China is taken to be zero).

E. Generation of data

The plan for future sightings surveys is listed in Tables 3a and 3b. Surveys will be conducted by Japan in sub-areas 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 10W, 10E, 11, 12SW and 12N and by Korea from mid-April to late-May in sub-areas 5 and 6W.

The estimates of absolute abundance (and their associated CVs) for the years prior to 2012 provided to the *CLA* are given in Table 4a. To allow for results of surveys already conducted, but for which the results are not yet available, estimates of abundance are generated for surveys listed for 2011 in sub-area 5 and 2012 in sub-area 6W using the same method as for future estimates.

Table 3a

List of past and planned future sighting surveys of minke whales to the West of Japan.

=No survey, 1=survey (% coverage). All surveys are carried out in April-May except the historic surveys in 6E, 10W and 10E which were in May-June. For areas that are combinations of sub-areas, the last three columns specify how the survey estimates for the component sub-areas are combined.

| | 5 | 6W | 6E | 10W | 10E | C1=6W,6E,10W | C2=6W,6E,10W,10E | C3=5,6W,6E,10W,10E |
|------|---------|-----------|-----------|-----------|-----------|-----------------------|-----------------------|-----------------------|
| 2000 | - | 1 (14.3%) | - | - | - | - | - | - |
| 2001 | 1 (13%) | - | - | - | - | - (see ¹) | - (see ¹) | - (see ¹) |
| 2002 | - | 1 (14.3%) | 1 (79.1%) | - | 1 (100%) | - | - | - |
| 2003 | - | 1 (14.3%) | 1 (79.1%) | - | 1 (100%) | - | - | - |
| 2004 | 1 (13%) | - | 1 (79.1%) | - | - | - | - | - |
| 2005 | - | 1 (14.3%) | - | - | 1 (64.6%) | - | - | - |
| 2006 | - | 1 (14.3%) | - | 1 (59.9%) | - | - | - | - |
| 2007 | - | 1 (14.3%) | - | - | - | 1 = 2003-10 | 1 = 2003-10 | 1 = 2003-11 |
| 2008 | 1 (13%) | - | - | - | - | - | - | - |
| 2009 | - | 1 (14.3%) | - | - | - | - | - | - |
| 2010 | - | 1 (14.3%) | - | - | - | - | - | - |
| 2011 | 1 | - | - | - | - | - | - | - |
| 2012 | - | 1 | - | - | - | - | - | - |
| 2013 | 1 | - | - | - | - | - | - | - |
| 2014 | 1 | - | - | - | - | 1 = 2012-15 | 1 = 2012-15 | 1 = 2012-15 |
| 2015 | - | 1 | 1 (79.1%) | 1 (59.9%) | 1 (100%) | - | - | - |
| 2016 | - | 1 | - | - | - | - | - | - |
| 2017 | 1 | - | - | - | - | - | - | - |
| 2018 | 1 | - | - | - | - | 1 = 2016-19 | 1 = 2016-19 | 1 = 2016-19 |
| 2019 | - | 1 | 1 (79.1%) | 1 (59.9%) | 1 (100%) | - | - | - |
| 2020 | - | 1 | - | - | - | - | - | - |
| 2021 | 1 | - | - | - | - | - | - | - |
| 2022 | 1 | - | - | - | - | 1 = 2020-23 | 1 = 2020-23 | 1 = 2020-23 |
| 2023 | - | 1 | 1 (79.1%) | 1 (59.9%) | 1 (100%) | - | - | - |

Continue in future in the same pattern.

(1) There is no 10W estimate for inclusion in the combination estimates for 2000-02, so a combination estimate is not generated in this period.

(2) Abundance estimates will be generated for all surveys from 2011 on.

(3) The 2003-11 surveys are combined in combinations C1, C2 and C3 so that the most recent surveys in 5 and 6W are used in the 2012 assessment.

²In the case of sub-area 6W the actual data is the *adjusted* bycatch data.

³Including sub-area 6W since the best estimate of bycatches in this area is the adjusted figure.

Table 3b

List of past and planned future sighting surveys of minke whales to the North and East of Japan.

- = No survey, 1 = survey (% coverage). All surveys are carried out in August-September unless otherwise noted.

For areas that are combinations of sub-areas, the last four columns specify how the survey estimates for the component sub-areas are combined.

| | 7CS | 7CN | 7WR | 7E | 8 | 9 | 11 | 12SW | 12NE | C4=7,8 | C5 = 7WR,7E,8 | C6 = 7,8,9,11 | C7 = 7,8,9,11,12 |
|------|------------------------|-------------------------------------|--------------------|---------------------|---------------------|-------------------|-----------|-----------|-----------|----------|------------------|-----------------------|-----------------------|
| 1990 | - | - | - | - | 1 (61.8%) | 1 (35.0%) | 1 (100%) | 1 (100%) | 1 (100%) | - | - | - | - |
| 1991 | 1* | 1 | 1 | - | - | - | - | - | - | 1 =90-91 | 1 =90-91 | 1 =90-91 | 1 =90-92 |
| 1992 | - | - | - | - | - | - | - | - | 1 (89.4%) | - | - | - | - |
| 1999 | - | - | - | - | - | - | 1 (100%) | - | 1 (63.8%) | - | - | - | - |
| 2000 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2001 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2002 | - | - | - | - | 1 (Jn-Jl 65.0%)* | - | - | - | - | - | - | - | - |
| 2003 | - | - | 1 (My-Jn 26.7%) | - | - | 1 (Jl-S 33.2%) | 1 (33.9%) | 1 (100%) | 1 (46.0%) | 1 =02-04 | 1 =02-04 | 1 =99-04 | 1 =99-04 |
| 2004 | 1 (My 36.7%) | - | 1 (My-Jn 88.8%) | 1 (My-Jn 57.1%) | 1 (Jn 40.5%) | - | - | - | - | - | - | - | - |
| 2005 | - | - | - | - | 1 (My-Jl 65.0%) | - | - | - | - | - | - | - | - |
| 2006 | 1 (J-J 100%) | - | - | 1 (My-Jn 57.1%) | 1 (My-Jl 65.0%) | - | - | - | - | 1 =05-07 | 1 =05-07 | - (see ⁸) | - (see ⁸) |
| 2007 | - | - | 1 (Jn-Jl 88.8%) | 1 (Jn-Jl 65.0%)* | 1 (Jn-Jl 65.0%) | - | 1 (20.2%) | - | - | - | - | - | - |
| 2008 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2009 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2010 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2011 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2012 | 1 ⁵ (My-Jn) | 1 ⁵ (My-Jn) 1 (Au-Se) | - | - | - | - | - | - | - | - | - | - | - |
| 2013 | - | - | 1 (88.8%) | 1 (57.1%) | 1 (100%) | 1 (100%) | - | - | - | 1 =12-3 | 1 =2013 | 1 =12-14 | 1 =12-14 |
| 2014 | - | - | - | - | - | - | 1 (30.1%) | 1 (48.9%) | 1 (46.4%) | - | - | - | - |
| 2015 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016 | 1 (100%) | 1 (75.4%) | 0 | 0 | 0 | 0 | - | - | - | - | - | - | - |
| 2017 | - | - | 1 (88.8%) | 1 (57.1%) | 1 (100%) | 1 (100%) | - | - | - | 1 =16-17 | 1 =2017 | 1 =16-18 | 1 =16-18 |
| 2018 | - | - | - | - | - | - | 1 (30.1%) | 1 (48.9%) | 1 (46.4%) | - | - | - | - |
| 2019 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2020 | 1 (100%) | 1 (75.4%) | - | - | - | - | - | - | - | - | - | - | - |
| 2021 | - | - | 1 (88.8%) | 1 (57.1%) | 1 (100%) | 1 (100%) | - | - | - | 1 =20-21 | 1 =21 | 1 =20-22 | 1 =20-22 |
| 2022 | - | - | - | - | - | - | 1 (30.1%) | 1 (48.9%) | 1 (46.4%) | - | - | - | - |
| 2023 | - | - | - | - | - | - | - | - | - | - | - | - | - |

*Abundance estimate=0.

(4) Future coverage in 7CN, 7WR and 7E is expected to be similar to above (because of territorial issues). Coverage in 8 and 9 assumes that future surveys include the Russian EEZ. Future coverage in 11 and 12SW (of 30.1% and 48.9% respectively) excludes areas in the Russian EEZ which cannot be surveyed until the resolution of territorial issues with Japan. Future coverage in 12NE (of 46.4) reflects the area which cannot be surveyed in the North and East because of Russian restrictions.

(5) The 2012 estimates will be made available a year early – this will be effected by assuming the 2012 surveys occurred in 2011 and so are available in 2013 to set the catch limits for 2013-8.

(6) The abundance estimates set for the combined areas in 1990-92 assume a zero contribution from 7E as there is no available estimate for 7E to include.

(7) The abundance estimates set for combined areas C4 and C5 in 2005-07 assume a zero contribution from 7CN as there is no 7CN estimate to include.

(8) There are no 2005-2011 abundance estimates for sub-areas 9 and 12 to include in combination estimates C6 and C7; no C6 or C7 estimates are generated in this period.

Table 4a

List of historical abundance estimates for use by the *CLA* (* = zero estimate – see text and Table 4b).

Further details are given in Table 6 of Annex D1 (this volume, pp.126-127).

| Year | SubA | Period | Est. | CV | Year | SubA | Period | Est. | CV | Year | SubA | Period | Est. | CV |
|------|------|----------|-------|-------|------|------|-----------|-------|-------|------|------|-----------|--------|-------|
| 2001 | 5 | Apr.-May | 1,534 | 0.523 | 2002 | 10E | May-Jun. | 816 | 0.658 | 1990 | 8 | Aug.-Sep. | 1,057 | 0.705 |
| 2004 | 5 | Apr.-May | 799 | 0.321 | 2003 | 10E | May-Jun. | 405 | 0.566 | 2002 | 8 | Jun.-Jul. | 63.6* | 0.603 |
| 2008 | 5 | Apr.-May | 680 | 0.372 | 2005 | 10E | May-Jun. | 599 | 0.441 | 2004 | 8 | Jun. | 1,093 | 0.576 |
| 2000 | 6W | Apr.-May | 549 | 0.419 | 1991 | 7CS | Aug.-Sep. | 42* | 0.603 | 2005 | 8 | May-Jul. | 132 | 1.047 |
| 2002 | 6W | Apr.-May | 391 | 0.614 | 2004 | 7CS | May | 504 | 0.291 | 2006 | 8 | May-Jul. | 309 | 0.677 |
| 2003 | 6W | Apr.-May | 485 | 0.343 | 2006 | 7CS | Jun.-Jul. | 3,690 | 1.199 | 2007 | 8 | Jun.-Jul. | 391 | 1.013 |
| 2005 | 6W | Apr.-May | 336 | 0.317 | 2012 | 7CS | May-Jun. | 890 | 0.393 | 1990 | 9 | Aug.-Sep. | 8,264 | 0.396 |
| 2006 | 6W | Apr.-May | 459 | 0.516 | 1991 | 7CN | Aug.-Sep. | 853 | 0.23 | 2003 | 9 | Jul.-Sep. | 2,546 | 0.276 |
| 2007 | 6W | Apr.-May | 574 | 0.437 | 2012 | 7CN | Sep. | 398 | 0.507 | 1990 | 11 | Aug.-Sep. | 2,120 | 0.449 |
| 2009 | 6W | Apr.-May | 884 | 0.286 | 1991 | 7WR | Aug.-Sep. | 311 | 0.23 | 1999 | 11 | Aug.-Sep. | 1,456 | 0.565 |
| 2010 | 6W | Apr.-May | 1,014 | 0.397 | 2003 | 7WR | May-Jun. | 267 | 0.700 | 2003 | 11 | Aug.-Sep. | 882 | 0.820 |
| 2002 | 6E | May-Jun. | 891 | 0.608 | 2004 | 7WR | May-Jun. | 863 | 0.648 | 2007 | 11 | Aug.-Sep. | 377 | 0.389 |
| 2003 | 6E | May-Jun. | 935 | 0.357 | 2007 | 7WR | Jun.-Jul. | 546 | 0.953 | 1990 | 12SW | Aug.-Sep. | 5,244 | 0.806 |
| 2004 | 6E | May-Jun. | 727 | 0.372 | 2004 | 7E | May-Jun. | 440 | 0.779 | 2003 | 12SW | Aug.-Sep. | 3,401 | 0.409 |
| 2006 | 10W | May-Jun. | 2,476 | 0.312 | 2006 | 7E | May-Jun. | 247 | 0.892 | 1990 | 12NE | Aug.-Sep. | 10,397 | 0.364 |
| | | | | | 2007 | 7E | Jun.-Jul. | 52.6* | 0.603 | 1992 | 12NE | Aug.-Sep. | 11,544 | 0.380 |
| | | | | | | | | | | 1999 | 12NE | Aug.-Sep. | 5,088 | 0.377 |
| | | | | | | | | | | 2003 | 12NE | Aug.-Sep. | 13,067 | 0.287 |

The sightings mixing matrix for a year in which a survey takes place is the average of the catch mixing matrices over the two survey months in that year (April-May for surveys to the west of Japan or August-September for the remainder). The values for the parameters of the various distributions have been selected to achieve CVs for *Small Areas* comparable to those for the surveys in Table 6(a). The future estimates of abundance for a *Small Area* (say *Small Area E*) are generated using the formula:

$$\hat{P} = PYw / \mu = P^* \beta^2 Yw \tag{E.1}$$

Y is a lognormal random variable $Y = e^\varepsilon$ where $\varepsilon \sim N[0, \sigma^2]$ and $\sigma^2 = Ln(\alpha^2 + 1)$;
 w is Poisson random variable with $E(w) = var(w) = \mu = (P / P^*) / \beta^2$; (Y and w are independent);
 P is the average current total (1+) population size in the *Small Area (E)* over the survey period:

$$P = P_t^E = \frac{1}{2} \sum_{k \in F} \sum_{q \in SurveyPeriod} \sum_j \sum_{g=1}^x \sum_{a=1}^x (V_{t,a}^{g,j,k,q} N_{t,a}^{g,j}) \tag{E.2}$$

P^* is the reference population level, and is equal to the mean total (1+) population size in the *Small Area* prior to the commencement of exploitation in the area being surveyed; and
 F is the set of sub-areas making up *Small Area E*.

Note that under the approximation $CV2(ab) \cong CV2(a) + CV2(b)$: $E(\hat{P}) \cong P$ and $CV^2(\hat{P}) \cong \alpha^2 + \beta^2 P^* / P$

For consistency with the first stage screening trials for a single stock (IWC, 1991, p.109; 1994, pp.85-86), the ratio $\alpha^2 : \beta^2 = 0.12 : 0.025$, so that:

$$CV(\hat{P}) = \tau(0.12 + 0.025P^* / P)^{1/2} \tag{E.3}$$

and the CV of a survey estimate prior to the commencement of exploitation in the area being surveyed would be:

$$\sqrt{(\alpha^2 + \beta^2)} = 0.38\tau \tag{E.4}$$

The values of τ applicable to each sub-area are calculated separately for each replicate once the conditioning has been accomplished by substituting the true value of the CV for each abundance estimate used in conditioning (Table 6a)⁴ and the corresponding model depletion level into equation E.3. If more than one abundance estimate exists for a particular sub-area, the value assumed for τ is calculated taking the true CV to be the root mean square of the values obtained from the abundance estimates for that sub-area, and the depletion to be the mean value over the corresponding years.

An estimate of the CV, X_t is also generated for each sightings estimate, \hat{P}_t :

$$X_t = \sqrt{(\sigma_t^2 \chi^2 / n)} \tag{E.5}$$

where $\sigma_t^2 = Ln(1 + \alpha^2 + \beta^2 P^* / \hat{P}_t)$, and χ^2 is a random number from a Chi-square distribution with $n=10$ degrees of freedom. The value 10 is chosen to roughly indicate the number of trackline segments in a sightings survey in a *Small Area*.

The trials will be based on the use of two alternative values for $g(0)$ in the conditioning process: $g(0) = 0.798^5$ (the base case value) and $g(0)=1$ (trial 03) (IWC, 2012a, p.417; Okamura *et al.*, 2010). When $g(0) = 0.798$ the values of the operating model abundances are multiplied by this factor when setting the future survey estimates of abundance.

The trials assume that it takes two years for the results of a sighting survey to become available to be used by the management procedure, i.e. a survey conducted in 2012 would first be used for setting the catch limit in 2014. Table 4 lists the pattern for future surveys and also shows how results of surveys from different sub-areas are combined for use in variants in which *Small Areas* are comprised of more than one sub-areas. If a *Small Area* is comprised of sub-areas that are surveyed in different years, the combination abundance estimate is taken to be a summation of the estimates of abundance in the sub-areas over the years and taken to refer to the mean year (where the mean year is defined as the centre year in the set, or the later of two if this yields a half-integral year) (IWC, 1999). In cases in which the combined survey used more than one abundance estimate from the same sub-area, the abundance estimates are pooled using inverse variance weighting. For example, for the management variant in which the RMP sets a catch limit for the combined 6W+6E+10W+10E area, an estimate dated 2007 will be generated using of the abundances from the constituent sub-areas for 2003 to 2010 for combinations C1 and C2 (and from 2003-11 for combination C3).

In cases where a zero abundance estimate occurs (either in the historical series or in the generated future estimates), a fixed standard deviation of 0.603 is assumed, and the zero estimate is replaced by a value which depends on the what the population estimates would have been for recent surveys in the areas had there been only one minke whale sighting made. Specifically, the averages taken over such population estimates are calculated separately for each of the surveys listed and then scaled by 42/98.6 as given in Table 4b. Details of the rationale are given in Annex G (this volume, p.504)⁶.

⁴Excluding zero, minimum and maximum estimates and those assumed to apply to adjacent areas, except for sub-areas 5 and 6W where the pooled minimum values are used.

⁵This value of $g(0)$ is rounded to 0.8 in the trial simulations.

⁶The approach is based on that for the zero abundance estimate obtained in sub-area 7CS in 1991 for which there was a final output negative log – likelihood component of $P/98.6$ where P is the true abundance present. This form was replaced by a negative log-likelihood based on the assumption of a log-normally distributed pseudo estimate, which as with the Poisson form would yield a value of 1 when $P = 98.6$. Since this is not sufficient to define this likelihood term unambiguously, the mean was fixed at 42 (Adams, 1995) which resulted in a standard deviation of 0.603.

Table 4b

Population estimates which replace any zero estimates in the historical series or which are generated in future.
A default value of 42 is used to replace a future zero estimate generated in any other sub-area.

| Sub-area | 6E | | | 10E | | | 10W | 7CS | 7CN | | 7WR | | 7E | 8 | | 11 | |
|----------|------|------|------|------|------|------|-------|------|------|------|------|------|------|------|------|------|------|
| Season | 2002 | 2003 | 2004 | 2002 | 2003 | 2005 | 2006 | | 1991 | 1992 | 1991 | 1992 | 2006 | 2006 | 2007 | 2003 | 2007 |
| n | 21 | 19 | 7 | 10 | 7 | 9 | 36 | | 11 | 6 | 1 | 2 | 2 | 3 | 2 | 10 | 19 |
| P | 891 | 935 | 727 | 816 | 405 | 599 | 2,477 | | 976 | 730 | 188 | 434 | 247 | 309 | 391 | 882 | 377 |
| Scaled | 18.1 | 21.0 | 44.2 | 34.8 | 24.6 | 28.4 | 29.3 | | 37.8 | 51.8 | 80.1 | 92.4 | 52.6 | 43.9 | 83.3 | 37.6 | 8.5 |
| Average | 27.8 | | | 29.3 | | | 29.3 | 42.0 | 44.8 | | 86.3 | | 52.6 | 63.6 | | 23.0 | |

F. Parameter values and conditioning

The biological parameters (natural mortality, age-at-maturity) and the technological parameters (selectivity) will be the same as for the previous *Implementation* (IWC, 1992a, p.160) (based on those for N Atlantic minke whales, IWC, 1992b, p.249)⁷ i.e.:

Table 5

The values for the biological and technological parameters that are fixed.

| Parameter | Value |
|---|--|
| Plus group age, x | 20 years |
| Age-at-first-parturition, a_m | $m_{50} = 7$; $\sigma_m = 1.2$; first age at which a female can be mature is three |
| Selectivity: males and females | $r_{50} = 4$; $\sigma_r = 1.2$ |
| Maximum Sustainable Yield Level, $MSYL$ | 0.6 in terms of mature female component of the population |

Natural mortality is age-dependent, and identical to that for the North Atlantic minke trials:

$$M_a = \begin{cases} 0.085 & \text{if } a \leq 4 \\ 0.0775 + 0.001875a & \text{if } 4 < a < 20 \\ 0.115 & \text{if } a \geq 20 \end{cases}$$

The MSYR scenarios are specified in Section G.

The 'free' parameters of the above model are the initial (pre-exploitation) sizes of each of the stocks, the values that determine the mixing matrices (i.e. the γ parameters), the bycatch constants (A_k) and the dispersion rates between OW- and OE- stock and between the JW- and JE-stocks in trials C16-17. The process used to select the 'free' parameters is known as conditioning. The conditioning process involves first generating 100 sets of 'target' data as detailed in steps (a) and (b) below, and then fitting the population model to each (in the spirit of a bootstrap). The number of animals in sub-area k at the start of year t is calculated starting with guessed values of the initial population sizes and projecting the operating model forward to 2013 in order to obtain values of abundance etc. for comparison with the generated data⁸. (When performing the projections, the direct catches from each sub-area are set to their historical values – Adjunct 1 and the bycatches are set as detailed below).

The information used in the conditioning process is as follows.

(a) Abundance estimates

The target values for the historical abundance by sub-area (excepting for the minimum and maximum values – see below) are generated using the formula:

$$P_t^k = O_t^k \exp[\mu_t^k - (\sigma_t^k)^2 / 2] \quad \mu_t^k \sim N[0; (\sigma_t^k)^2] \quad (\text{F.1})$$

P_t^k is the abundance for sub-area k in year t (or sub-areas 7E+8 for the 2007 abundance estimate)

O_t^k is the actual survey estimate for sub-area k in year t (see Table 6a); and

σ_t^k is the CV of O_t^k .

The abundance estimate for sub-area 8 in 2002 is zero. The value of O_t^k is set to 0 for all trials when fitting to this datum, and the likelihood is assumed to be normal rather than log-normal.

The trials are based on the two alternative values for $g(0)$ in the conditioning process: $g(0)=0.8$ ⁹ (the base case value) and $g(0)=1$ (IWC, 2012a, p.417; Okamura *et al.*, 2010). When $g(0)=0.8$ the values of the operating model abundances (P_t^k) are multiplied by this factor for comparison with the conditioning targets.

⁷The values are consistent with the results from JARPN. Japanese scientists advised that the above approach is appropriate given the well-known practical difficulties in using earplugs for age determination of North Pacific common minke whales. However, they also noted that technical advances mean that it may be possible to obtain age estimates in the future (see Item 2.1, this volume, p.492).

⁸In order to check that the conditioning exercise has been successfully achieved, plots such as those shown in IWC (2003, pp.473-80) will be examined, together with time-trajectories of the fraction of each stock in each sub-area.

⁹The value of 0.8 used for $g(0)$ has been rounded from value of 0.798 given in IWC (2012a, p.417).

Table 6a
Abundance data used to condition the trials.

| Sub-area | Year | Season | Survey type ¹⁰ | Mode ¹¹ | Areal coverage (%) | STD estimate ¹² | CV ¹³ | Conditioning | Source |
|---|------|-----------|---------------------------|--------------------|--------------------|----------------------------|-------------------|--------------|---|
| 5 | 2001 | Apr.-May | KD | NC | 13.0 | 1,534 | 0.523 | Min | An <i>et al.</i> (2010) |
| | 2004 | Apr.-May | KD | NC | 13.0 | 799 | 0.321 | Min | Ditto |
| | 2008 | Apr.-May | KD | NC | 13.0 | 680 | 0.372 | Min | Ditto |
| 6W | 2000 | Apr.-May | KD | NC | 14.3 | 549 | 0.419 | Min | Ditto |
| | 2002 | Apr.-May | KD | NC | 14.3 | 391 | 0.614 | Min | Ditto |
| | 2003 | Apr.-May | KD | NC | 14.3 | 485 | 0.343 | Min | Ditto |
| | 2005 | Apr.-May | KD | NC | 14.3 | 336 | 0.317 | Min | Ditto |
| | 2006 | Apr.-May | KD | NC | 14.3 | 459 | 0.516 | Min | Ditto |
| | 2007 | Apr.-May | KD | NC | 14.3 | 574 | 0.437 | Min | Ditto |
| | 2009 | Apr.-May | KD | NC | 14.3 | 884 | 0.286 | Min | Ditto |
| 6E | 2002 | May-Jun. | JD | NC | 79.1 | 891 | 0.608 | Yes (see #) | Miyashita (2010) |
| | 2003 | May-Jun. | JD | NC | 79.1 | 935 | 0.357 | Yes (see #) | Ditto |
| | 2004 | May-Jun. | JD | NC | 79.1 | 727 | 0.372 | Yes (see #) | Ditto |
| 7CS | 2004 | May | JR | NC | 100.0 | 886 | 0.502 | Yes | Hakamada and Kitakado (2010) (rev) |
| | 2006 | Jun.-Jul. | JR | NC | 100.0 | 3,690 | 1.199 | Yes | Hakamada and Kitakado (2010) (rev) |
| 7CN | 2003 | May | JR | NC | 75.4 | 184 | 0.805 | Yes | Hakamada and Kitakado (2010) (rev) |
| 7WR | 2003 | May-Jun. | JR | NC | 54.2 | 524 | 0.700 | Min | Hakamada and Kitakado (2010) (rev) |
| | 2004 | May-Jun. | JR | NC | 88.8 | 863 | 0.648 | Yes | Hakamada and Kitakado (2010) (rev) |
| | 2007 | Jun.-Jul. | JR | NC | 88.8 | 546 | 0.953 | Yes | Hakamada and Kitakado (2010) (rev) |
| 7E | 2004 | May-Jun. | JR | NC | 57.1 | 440 | 0.779 | Yes | Hakamada and Kitakado (2010) (rev) |
| | 2006 | May-Jun. | JR | NC | 57.1 | 247 | 0.892 | Yes | Hakamada and Kitakado (2010) (rev) |
| 8 | 1990 | Aug.-Sep. | JD | NC | 61.8 | 1,057 | 0.705 | Yes | IWC (2004, p.124) |
| | 2002 | Jun.-Jul. | JR | NC | 65.0 | 0 | 482 ¹⁴ | Yes | Hakamada and Kitakado (2010) (rev) |
| | 2004 | Jun. | JR | NC | 40.5 | 1,093 | 0.576 | Yes | Ditto |
| | 2005 | May-Jul. | JR | NC | 65.0 | 132 | 1.047 | Yes | Ditto |
| | 2006 | May-Jul. | JR | NC | 65.0 | 309 | 0.677 | Yes | Ditto |
| 7E+8 | 2007 | Jun.-Jul. | JR | NC | 65.0 | 391 ¹⁵ | 1.013 | Yes | Ditto |
| 9 | 1990 | Aug.-Sep. | JD | NC | 35.0 | 8,264 | 0.396 | Yes | IWC (2004, p.124) |
| | 2003 | Jul.-Sep. | JR | NC | 33.2 | 2,546 | 0.276 | Min | Hakamada and Kitakado (2010) (rev) |
| 9N | 2005 | Aug.-Sep. | JD | IO-PS | 67.8 | 420 | 0.969 | Yes | Extract from Miyashita and Okamura (2011) |
| 10W | 2006 | May-Jun. | JD | IO-PS | 59.9 | 2,476 | 0.312 | Yes | Ditto |
| 10E | 2002 | May-Jun. | JD | NC | 100.0 | 816 | 0.658 | Yes | Miyashita (2010) |
| | 2003 | May-Jun. | JD | NC | 100.0 | 405 | 0.566 | Yes | Ditto |
| | 2004 | May-Jun. | JD | NC | 100.0 | 474 | 0.537 | Yes | Ditto |
| | 2005 | May-Jun. | JD | NC | 100.0 | 666 | 0.444 | Yes | Ditto |
| | 1990 | Aug.-Sep. | JD | NC | 100.0 | 2,120 | 0.449 | Yes | IWC (2004, p.124) |
| 11 | 1999 | Aug.-Sep. | JD | NC | 100.0 | 1,456 | 0.565 | Yes | Ditto |
| | 2003 | Aug.-Sep. | JD | IO-AC | 33.9 | 882 | 0.820 | Yes | Extract from Miyashita and Okamura (2011) |
| | 2007 | Aug.-Sep. | JD | IO-PS | 20.2 | 377 | 0.389 | Min | Ditto |
| 12SW | 1990 | Aug.-Sep. | JD | NC | 100.0 | 5,244 | 0.806 | Yes | IWC (2004, p.124) |
| | 2003 | Aug.-Sep. | JD | IO-AC | 100.0 | 3,401 | 0.409 | Yes | Extract from Miyashita and Okamura (2011) |
| 12NE | 1990 | Aug.-Sep. | JD | NC | 100.0 | 10,397 | 0.364 | Yes | IWC (2004, p.124) extract from SC/46/NP6 |
| | 1999 | Aug.-Sep. | JD | NC | 89.4 | 11,544 | 0.380 | Yes | Ditto |
| | 2003 | Aug.-Sep. | JD | IO-AC | 46.0 | 13,067 | 0.287 | Yes | Extract from Miyashita and Okamura (2011) |
| # Trial 19: Use estimates in full area in 2002 & 2003 (originally 100% coverage) and one extrapolated to the full area in 2004 (79.1% coverage) | | | | | | | | | |
| 6E | 2002 | May-Jun | JD | NC | 100.0 | 1,795 | 0.458 | Yes | Miyashita (2010) |
| | 2003 | May-Jun | JD | NC | 100.0 | 1,059 | 0.322 | Yes | Ditto |
| | 2004 | May-Jun | JD | NC | 100.0 | 919 | 0.372 | Yes | Ditto |
| Trial 20: Use only in sensitivity as an estimate extrapolated to the full area | | | | | | | | | |
| 10E | 2007 | May-Jun | JD | IO-PS | 100.0 | 552 | 0.159 | Yes | From Miyashita |

Table 6b
The minimum and maximum abundance estimates used.

| Sub-area | Year | Season | STD estimate | CV | Minimum = Mean-SE | Maximum = Mean*5 |
|----------|------|-----------|--------------|-------|-------------------|-------------------|
| 5 | 2004 | Apr.-May | Pooled | 0.220 | 661 | 4,240 |
| 6W | 2005 | Apr.-May | Pooled | 0.144 | 456 | 2,665 |
| 7WR | 2003 | May-Jun. | | 0.700 | 157 | n/a |
| 9 | 2003 | Jul.-Sep. | | 0.276 | 1,843 | n/a |
| 11 | 2007 | Aug.-Sep. | | 0.389 | 230 | n/a |
| 2R | 2009 | Aug.-Sep. | - | - | - | 500 ¹⁶ |

¹⁰KD=Korean dedicated survey, JD=Japanese dedicated survey, JR=JARPN II.

¹¹NC=Normal-closing, IO-PS=Passing with IO mode, IO-AC=Abeam-closing with IO mode. (STD estimates by different modes, NC, IO-AC, IO-NC, are considered comparable.)

¹²Standard (STD) estimate based on 'Top and Upper bridge', which will be corrected by estimate of $g(0)$ for the combined platform 'Top and Upper bridge'.

¹³CV does not consider any process errors.

¹⁴Average of the SEs for the non-zero estimates.

¹⁵The estimate of 0 from sub-area 7E was combined with the estimate of 391 from sub-area 8.

¹⁶A maximum abundance of 500 whales in sub-area 2R in August-September 2009 is imposed in hypothesis C to avoid undesirably high numbers of animals in this area.

MINIMUM ABUNDANCE ESTIMATES

The levels of abundance listed in Table 6(a) for sub-areas 5 and 6W, and for sub-areas 7WR and 9 in 2003 and sub-area 11 in 2007 are assumed to be minima – in the conditioning process the terms for those sub-areas/years are not added to the log-likelihood but the ‘true’ abundance in those sub-areas must exceed a value that is one standard error below the specified values. The values are listed in Table 6(b). Where there is more than one estimate for a sub-area, the estimates for the area were pooled using inverse variance weighting. The minimum estimate is the same across all replicates.

MAXIMUM ABUNDANCE ESTIMATES

Bounds need to be placed on the maximum size of populations in sub-areas 5 and 6W. These bounds are generated by multiplying the inverse variance weighted minimum (i.e. the 848 and 533) by 5 (see Table 6b). The maximum estimate is the same across all replicates.

There is insufficient information in the trials to estimate the abundance in sub-areas 5 and 6W, given the absence of a population estimate (only a minimum and a maximum given). Thus, for stochastic trials, the conditioning process will fit to a low variance (CV=0.1) pseudo-estimate of abundance for sub-area 5 and for sub-area 6 which are drawn from a uniform distribution across [minimum; maximum] for each of the 100 simulated projections within each trial. For ‘deterministic’ projections, the conditioning will fit to (maximum+minimum)/2. Trials 21, 22, 29 and 30 investigate sensitivities to the baseline assumptions and replace the random draws above by a fixed value for the sub-area 5 abundance equal to either the ‘minimum’ or ‘maximum’ estimate (Trials 21 and 22) or by a fixed value for the sub-area 6W abundance equal to either the ‘minimum’ or ‘maximum’ estimate (Trials 29 and 30).

(b) Proportion estimates

Estimates of the proportion of recruited ‘J’, ‘JW’, ‘JE’ and ‘OW’ stock whales in sub-areas 2C, 6W, 7CS, 7CN, 7WR and 11 (see Adjunct 3 for how these proportions are estimated) are generated from appropriately truncated normal distributions that correspond to the observed data and are based on mtDNA and other genetic information (see Table 7). Some of the mixing proportions are based on data from several years so the model estimates to which these proportions are fitted during conditioning are sample size-weighted year-specific proportions. A minimum standard error for the mixing proportions of 0.05 was imposed so as to prevent a few of the mixing proportions from dominating the conditioning processes – see IWC (2012c, p.106).

The genetics data provide two proportion estimates for most sub-area / time periods: one from the mtDNA haplotypes and another from the microsatellite alleles. These estimates are used separately i.e. both go in the likelihood, with their standard errors, so that effectively the overall likelihood will combine them under inverse variance weighting. There is some non-independence here because the same animals are involved, but this is not seen as a major problem.

(c) Fixed stock proportion in sub-area 12SW

The data for sub-area 12SW is limited and so the proportion of J-stock (JW-stock for hypothesis C) in sub-area 12SW in June is fixed at 20% in the base case trials. The value reflects a rough average of the J-stock mixing proportions for sub-area 11 (J-stock animals in sub-area 12SW need to pass through sub-area 11). Since the proportions for sub-area 11 are calculated from the 1984-1999 data, the 20% will be taken as an average over these same years. Sensitivity trials test different levels of the 12SW proportion. In trial 10 the proportion is 10 % (with 0% J/JW-stock in 12NE as for the base case) and in trial 11 the proportion is 30% (with 10% J/JW-stock in 12NE in the same months/years; the mixing matrix is adjusted accordingly).

In addition, the proportion of OE:OW-stock in sub-area 12SW in June from 1984-1999 is set equal to that in sub-area 11 (excluding trials 13 and 14).

(d) Fixed stock proportion in sub-area 9 and 9N

The data for sub-area 9 is also limited. For sensitivity trials 2 and 12 which assume a C-stock that mixes with the O-stock (OE-stock for hypothesis C) in 9 and 9N, the proportion of O/OE-stock is assumed to be 0.5 during August and September in 1995. This is based on the ratio assumed in 9W in 2003. For hypothesis C trial 2 the same proportion is also assumed in 12NE in August and September 1995 (but not in trial 12).

(e) Dispersal rate

The model allows dispersal between the OW- and OE-stocks and between the JW- and JE-stocks (trials 16 and 17). To ensure equilibrium in the pristine population:

$$K^{1+,OW} D^{OW,OE} = K^{1+,OE} D^{OE,OW} \quad \text{and} \quad K^{1+,JW} D^{JW,JE} = K^{1+,JE} D^{JE,JW} \quad (\text{F.2})$$

$$\text{where} \quad K^{1+,j} = \sum_{a=1}^x (N_{-\infty,a}^{m,j} + N_{-\infty,a}^{f,j}) \quad (\text{F.3})$$

Table 7a

Estimates of the proportion of recruited 'J', 'JE', 'JW', and 'OE' whales used to condition the trials unless otherwise specified in Tables 7b and 7c.

| Hypothesis | Area | Years | Months | Sex | Ratio | CV ¹⁷ | Data Type | Stock |
|------------|------|-----------|-----------|-----|-------|------------------|-----------|----------|
| A & B | 2C | 2002-07 | Jan.-Mar. | M+F | 0.868 | 0.05 | mtDNA | J:Total |
| A & B | 2C | 2002-07 | Jan.-Mar. | M+F | 0.853 | 0.05 | Allele | J:Total |
| A & B | 2C | 2002-07 | Apr.-Jun. | M+F | 0.660 | 0.095 | mtDNA | J:Total |
| A & B | 2C | 2002-07 | Apr.-Jun. | M+F | 0.648 | 0.05 | Allele | J:Total |
| A & B | 2C | 2001-07 | Jul.-Dec. | M+F | 0.923 | 0.05 | mtDNA | J:Total |
| A & B | 2C | 2001-07 | Jul.-Dec. | M+F | 0.920 | 0.05 | Allele | J:Total |
| A & B | 7CS | 2002-07 | Jan.-Apr. | M+F | 0.161 | 0.05 | mtDNA | J:Total |
| A & B | 7CS | 2002-07 | Jan.-Apr. | M+F | 0.198 | 0.05 | Allele | J:Total |
| A & B | 7CS | 2001-07 | May | M+F | 0.191 | 0.05 | mtDNA | J:Total |
| A & B | 7CS | 2001-07 | May | M+F | 0.225 | 0.05 | Allele | J:Total |
| A & B | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.077 | 0.05 | mtDNA | J:Total |
| A & B | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.128 | 0.05 | Allele | J:Total |
| A & B | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.098 | 0.05 | mtDNA | J:Total |
| A & B | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.090 | 0.05 | Allele | J:Total |
| A & B | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.176 | 0.05 | mtDNA | J:Total |
| A & B | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.216 | 0.05 | Allele | J:Total |
| A & B | 11 | 1984-86 | Apr.-May | M | 0.175 | 0.099 | mtDNA | J:Total |
| A & B | 11 | 1984-99 | Jun.-Sep. | M | 0.201 | 0.054 | mtDNA | J:Total |
| A & B | 11 | 1984-99 | Jun.-Sep. | M | 0.327 | 0.050 | Allele | J:Total |
| A & B | 11 | 1984-87 | Apr. | F | 0.645 | 0.069 | mtDNA | J:Total |
| A & B | 11 | 1984-87 | May | F | 0.013 | 0.05 | mtDNA | J:Total |
| A & B | 11 | 1984-99 | Jun.-Sep. | F | 0.245 | 0.056 | mtDNA | J:Total |
| A & B | 11 | 1984-99 | Jun.-Sep. | F | 0.390 | 0.05 | Allele | J:Total |
| B | 6W | 1999-2007 | Jan.-Mar. | M+F | 0.584 | 0.131 | mtDNA | J:Total |
| B | 6W | 1999-2007 | Jan.-Mar. | M+F | 0.672 | 0.05 | Allele | J:Total |
| B | 6W | 1999-2007 | Apr.-Jun. | M+F | 0.496 | 0.126 | mtDNA | J:Total |
| B | 6W | 1999-2007 | Apr.-Jun. | M+F | 0.812 | 0.05 | Allele | J:Total |
| B | 6W | 1999-2007 | Jul.-Aug. | M+F | 1.000 | 0.05 | mtDNA | J:Total |
| B | 6W | 1999-2007 | Jul.-Aug. | M+F | 0.749 | 0.077 | Allele | J:Total |
| B | 6W | 1999-2007 | Sep.-Dec. | M+F | 0.593 | 0.123 | mtDNA | J:Total |
| B | 6W | 1999-2007 | Sep.-Dec. | M+F | 0.761 | 0.05 | Allele | J:Total |
| C | 2C | 2002-07 | Jan.-Mar. | M+F | 0.960 | 0.05 | mtDNA | JE:Total |
| C | 2C | 2002-07 | Jan.-Mar. | M+F | 0.840 | 0.05 | Allele | JE:Total |
| C | 2C | 2002-07 | Apr.-Jun. | M+F | 0.721 | 0.103 | mtDNA | JE:Total |
| C | 2C | 2002-07 | Apr.-Jun. | M+F | 0.672 | 0.05 | Allele | JE:Total |
| C | 7CS | 2001-07 | Jan.-May | M+F | 0.188 | 0.050 | mtDNA | JE:Total |
| C | 7CS | 2001-07 | Jan.-May | M+F | 0.234 | 0.050 | Allele | JE:Total |
| C | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.089 | 0.050 | mtDNA | JE:Total |
| C | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.139 | 0.050 | Allele | JE:Total |
| C | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.041 | 0.050 | mtDNA | JE:Total |
| C | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.036 | 0.050 | Allele | JE:Total |
| C | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.173 | 0.050 | mtDNA | JE:Total |
| C | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.230 | 0.050 | Allele | JE:Total |
| C | 11 | 1984-6 | Apr.-May | M | 0.180 | 0.099 | mtDNA | JW:Total |
| C | 11 | 1984-1999 | Jun.-Sep. | M | 0.204 | 0.054 | mtDNA | JW:Total |
| C | 11 | 1984-1999 | Jun.-Sep. | M | 0.316 | 0.050 | Allele | JW:Total |
| C | 11 | 1984-87 | Apr. | F | 0.628 | 0.073 | mtDNA | JW:Total |
| C | 11 | 1984-87 | May | F | 0.023 | 0.050 | mtDNA | JW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | F | 0.254 | 0.056 | mtDNA | JW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | F | 0.367 | 0.050 | Allele | JW:Total |
| C | 11 | 1984-86 | Apr.-May | M | 0.000 | 0.050 | mtDNA | OW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | M | 0.114 | 0.142 | mtDNA | OW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | M | 0.032 | 0.095 | Allele | OW:Total |
| C | 11 | 1984-87 | Apr. | F | 0.147 | 0.117 | mtDNA | OW:Total |
| C | 11 | 1984-87 | May | F | 0.290 | 0.173 | mtDNA | OW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | F | 0.062 | 0.132 | mtDNA | OW:Total |
| C | 11 | 1984-99 | Jun.-Sep. | F | 0.018 | 0.106 | Allele | OW:Total |
| C | 6W | 1999-2007 | Jan.-Mar. | M+F | 0.584 | 0.131 | mtDNA | JW:Total |
| C | 6W | 1999-2007 | Jan.-Mar. | M+F | 0.672 | 0.05 | Allele | JW:Total |
| C | 6W | 1999-2007 | Apr.-Jun. | M+F | 0.496 | 0.126 | mtDNA | JW:Total |
| C | 6W | 1999-2007 | Apr.-Jun. | M+F | 0.812 | 0.05 | Allele | JW:Total |
| C | 6W | 1999-2007 | Jul.-Aug. | M+F | 1.000 | 0.05 | mtDNA | JW:Total |
| C | 6W | 1999-2007 | Jul.-Aug. | M+F | 0.749 | 0.077 | Allele | JW:Total |
| C | 6W | 1999-2007 | Sep.-Dec. | M+F | 0.593 | 0.123 | mtDNA | JW:Total |
| C | 6W | 1999-2007 | Sep.-Dec. | M+F | 0.761 | 0.05 | Allele | JW:Total |
| C | 7WR | 1996-2007 | Apr.-Aug. | M+F | 0.327 | 0.149 | mtDNA | OW:Total |
| C | 7WR | 1996-2007 | Apr.-Aug. | M+F | 0.195 | 0.085 | Allele | OW:Total |

¹⁷In cases when the sample size used to generate the proportion estimates is small and the se's are small (which will overweight such results), the standard error is set to 0.05.

¹⁸The mixing proportions in sub-areas 7CS and 7CN are based on the bycatch samples and the offshore samples, with weights of 5/60 and 55/60 respectively. Although most of the bycatch occurs within 2 n.miles of the coast, the density of minke whales is highest closest to coast and there will be movement between inshore and offshore. The weight of 5/60 places higher weight on the mixing proportions from the bycatch samples than the area where bycatch occurs would (i.e. a weight of 2/60) to reflect these considerations.

Table 7b

Alternative proportions of recruited 'J', 'JE', 'JW', and 'OE' whales used to condition trials 06 and 07.
The mixing proportion in 7CS, 7CN is calculated using a 2/60 weight for the bycatch for trial 06 and using a 10/60 weight for trial 07.

| Hypothesis | Trial | Area | Years | Months | Sex | Ratio | CV | Data Type | Stock |
|------------|-------|------|-----------|-----------|-----|-------|-------|-----------|-------------------------------------|
| A & B | 06 | 7CS | 2002-07 | Jan.-Apr | M+F | 0.147 | 0.05 | mtDNA | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CS | 2002-07 | Jan.-Apr. | M+F | 0.185 | 0.05 | Allele | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CS | 2001-07 | May | M+F | 0.193 | 0.05 | mtDNA | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CS | 2001-07 | May | M+F | 0.228 | 0.05 | Allele | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CS | 2000-07 | Jun.-Dec | M+F | 0.046 | 0.05 | mtDNA | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CS | 2000-07 | Jun.-Dec | M+F | 0.099 | 0.05 | Allele | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.078 | 0.05 | mtDNA | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.067 | 0.05 | Allele | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.144 | 0.05 | mtDNA | J:Total 2/60 BC + 58/60SP samples |
| A & B | 06 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.191 | 0.05 | Allele | J:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CS | 2001-07 | Jan.-May | M+F | 0.178 | 0.050 | mtDNA | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CS | 2001-07 | Jan.-May | M+F | 0.227 | 0.050 | Allele | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.056 | 0.050 | mtDNA | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.111 | 0.050 | Allele | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.016 | 0.050 | mtDNA | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.014 | 0.050 | Allele | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.141 | 0.050 | mtDNA | JE:Total 2/60 BC + 58/60SP samples |
| C | 06 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.206 | 0.050 | Allele | JE:Total 2/60 BC + 58/60SP samples |
| A & B | 07 | 7CS | 2002-07 | Jan.-Apr. | M+F | 0.185 | 0.05 | mtDNA | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CS | 2002-07 | Jan.-Apr. | M+F | 0.220 | 0.05 | Allele | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CS | 2001-07 | May | M+F | 0.188 | 0.05 | mtDNA | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CS | 2001-07 | May | M+F | 0.220 | 0.05 | Allele | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.128 | 0.05 | mtDNA | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.177 | 0.05 | Allele | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.133 | 0.05 | mtDNA | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CN | 1999-2007 | Jan.-Jun. | M+F | 0.128 | 0.05 | Allele | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.229 | 0.05 | mtDNA | J:Total 10/60 BC + 50/60SP samples |
| A & B | 07 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.258 | 0.05 | Allele | J:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CS | 2001-07 | Jan.-May | M+F | 0.205 | 0.050 | mtDNA | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CS | 2001-07 | Jan.-May | M+F | 0.245 | 0.050 | Allele | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.144 | 0.050 | mtDNA | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.185 | 0.050 | Allele | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.081 | 0.050 | mtDNA | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.071 | 0.050 | Allele | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.227 | 0.050 | mtDNA | JE:Total 10/60 BC + 50/60SP samples |
| C | 07 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.270 | 0.050 | Allele | JE:Total 10/60 BC + 50/60SP samples |

Table 7c

Alternative proportions of recruited 'J', 'JE', and 'JW' whales used to condition trials 13, 14, 15, 23 and 24.
(Note: trial 24 is a low plausibility trial but the proportions are included here for completeness)

| Hypothesis | Trial | Area | Years | Months | Sex | Ratio | CV | Data Type | Stock |
|------------|-------|------|-----------|-----------|-----|-------|-------|-----------|-----------------------------------|
| C | 13 | 11 | 1984-86 | Apr.-May | M | 0.180 | 0.099 | mtDNA | JW:Total Comml samples |
| C | 13 | 11 | 1984-99 | Jun.-Sep. | M | 0.212 | 0.054 | mtDNA | JW:Total Comml & SP samples |
| C | 13 | 11 | 1984-99 | Jun.-Sep. | M | 0.317 | 0.050 | Allele | JW:Total Comml & SP samples |
| C | 13 | 11 | 1984-87 | Apr. | F | 0.654 | 0.068 | mtDNA | JW:Total Comml samples |
| C | 13 | 11 | 1984-87 | May | F | 0.032 | 0.050 | mtDNA | JW:Total Comml samples |
| C | 13 | 11 | 1984-99 | Jun.-Sep. | F | 0.256 | 0.055 | mtDNA | JW:Total Comml & BC & SP samples |
| C | 13 | 11 | 1984-99 | Jun.-Sep. | F | 0.368 | 0.050 | Allele | JW:Total Comml & BC & SP samples |
| C | 14 | 11 | 1984-86 | Apr.-May | M | 0.126 | 0.103 | mtDNA | JW:Total Comml samples |
| C | 14 | 11 | 1984-99 | Jun.-Sep. | M | 0.181 | 0.054 | mtDNA | JW:Total Comml & SP samples |
| C | 14 | 11 | 1984-99 | Jun.-Sep. | M | 0.346 | 0.050 | Allele | JW:Total Comml & SP samples |
| C | 14 | 11 | 1984-87 | Apr. | F | 0.610 | 0.075 | mtDNA | JW:Total Comml samples |
| C | 14 | 11 | 1984-87 | May | F | 0.024 | 0.050 | mtDNA | JW:Total Comml samples |
| C | 14 | 11 | 1984-99 | Jun.-Sep. | F | 0.249 | 0.058 | mtDNA | JW:Total Comml & BC & SP samples |
| C | 14 | 11 | 1984-99 | Jun.-Sep. | F | 0.399 | 0.050 | Allele | JW:Total Comml & BC & SP samples |
| C | 23 | 2C | 2002-07 | Jan.-Mar. | M+F | 0.875 | 0.05 | mtDNA | J:Total Bycatch samples |
| C | 23 | 2C | 2002-07 | Jan.-Mar | M+F | 0.868 | 0.05 | Allele | J:Total Bycatch samples |
| C | 23 | 2C | 2002-07 | Apr.-Jun. | M+F | 0.656 | 0.102 | mtDNA | J:Total Bycatch samples |
| C | 23 | 2C | 2002-07 | Apr.-Jun. | M+F | 0.661 | 0.05 | Allele | J:Total Bycatch samples |
| C | 23 | 7CS | 2001-07 | Jan.-May | M+F | 0.154 | 0.050 | mtDNA | J:Total 5/60 BC + 55/60SP samples |
| C | 23 | 7CS | 2001-07 | Jan.-May | M+F | 0.232 | 0.050 | Allele | J:Total 5/60 BC + 55/60SP samples |
| C | 23 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.074 | 0.050 | mtDNA | J:Total 5/60 BC + 55/60SP samples |
| C | 23 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.138 | 0.050 | Allele | J:Total 5/60 BC + 55/60SP samples |
| C | 23 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.033 | 0.050 | mtDNA | J:Total 5/60 BC + 55/60SP samples |

Cont.

| Hypothesis | Trial | Area | Years | Months | Sex | Ratio | CV | Data Type | Stock | |
|------------|-------|------|-----------|-----------|-----|-------|-------|-----------|----------|---------------------------|
| C | 23 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.037 | 0.050 | Allele | J:Total | 5/60 BC + 55/60SP samples |
| C | 23 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.148 | 0.050 | mtDNA | J:Total | 5/60 BC + 55/60SP samples |
| C | 23 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.227 | 0.050 | Allele | J:Total | 5/60 BC + 55/60SP samples |
| C | 23 | 11 | 1984-86 | Apr.-May | M | 0.180 | 0.099 | mtDNA | J:Total | Comml samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | M | 0.204 | 0.054 | mtDNA | J:Total | Comml & SP samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | M | 0.316 | 0.050 | Allele | J:Total | Comml & SP samples |
| C | 23 | 11 | 1984-87 | Apr. | F | 0.628 | 0.073 | mtDNA | J:Total | Comml samples |
| C | 23 | 11 | 1984-87 | May | F | 0.023 | 0.050 | mtDNA | J:Total | Comml samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | F | 0.254 | 0.056 | mtDNA | J:Total | Comml & BC & SP samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | F | 0.367 | 0.050 | Allele | J:Total | Comml & BC & SP samples |
| C | 23 | 11 | 1984-86 | Apr.-May | M | 0.000 | 0.050 | mtDNA | OW:Total | Comml samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | M | 0.114 | 0.142 | mtDNA | OW:Total | Comml & SP samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | M | 0.032 | 0.095 | Allele | OW:Total | Comml & SP samples |
| C | 23 | 11 | 1984-87 | Apr. | F | 0.147 | 0.117 | mtDNA | OW:Total | Comml samples |
| C | 23 | 11 | 1984-87 | May | F | 0.290 | 0.173 | mtDNA | OW:Total | Comml samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | F | 0.062 | 0.132 | mtDNA | OW:Total | Comml & BC & SP samples |
| C | 23 | 11 | 1984-99 | Jun.-Sep. | F | 0.018 | 0.106 | Allele | OW:Total | Comml & BC & SP samples |
| C | 24 | 2C | 2002-07 | Jan.-Mar. | M+F | 0.920 | 0.05 | mtDNA | JE:Total | Bycatch samples |
| C | 24 | 2C | 2002-07 | Jan.-Mar. | M+F | 0.834 | 0.05 | Allele | JE:Total | Bycatch samples |
| C | 24 | 2C | 2002-07 | Apr.-Jun. | M+F | 0.699 | 0.097 | mtDNA | JE:Total | Bycatch samples |
| C | 24 | 2C | 2002-07 | Apr.-Jun. | M+F | 0.662 | 0.05 | Allele | JE:Total | Bycatch samples |
| C | 24 | 7CS | 2001-07 | Jan.-May | M+F | 0.207 | 0.050 | mtDNA | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CS | 2001-07 | Jan.-May | M+F | 0.215 | 0.050 | Allele | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.080 | 0.050 | mtDNA | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CS | 2000-07 | Jun.-Dec. | M+F | 0.116 | 0.050 | Allele | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.111 | 0.050 | mtDNA | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CN | 1999-2007 | Apr.-Jun. | M+F | 0.082 | 0.050 | Allele | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.198 | 0.050 | mtDNA | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 7CN | 1996-2007 | Jul.-Dec. | M+F | 0.213 | 0.050 | Allele | JE:Total | 5/60 BC + 55/60SP samples |
| C | 24 | 11 | 1984-86 | Apr.-May | M | 0.175 | 0.099 | mtDNA | JW:Total | Comml samples |
| C | 24 | 11 | 1984-99 | Jun.-Sep. | M | 0.201 | 0.054 | mtDNA | JW:Total | Comml & SP samples |
| C | 24 | 11 | 1984-99 | Jun.-Sep. | M | 0.327 | 0.050 | Allele | JW:Total | Comml & SP samples |
| C | 24 | 11 | 1984-87 | Apr. | F | 0.645 | 0.069 | mtDNA | JW:Total | Comml samples |
| C | 24 | 11 | 1984-87 | May | F | 0.013 | 0.050 | mtDNA | JW:Total | Comml samples |
| C | 24 | 11 | 1984-99 | Jun.-Sep. | F | 0.245 | 0.056 | mtDNA | JW:Total | Comml & BC & SP samples |
| C | 24 | 11 | 1984-99 | Jun.-Sep. | F | 0.390 | 0.050 | Allele | JW:Total | Comml & BC & SP samples |

(f) Calculation of likelihood

The likelihood function consists of three components: Likelihood = -2 (L₁+L₂+L₃) Equations F.4-6 list the negative of the logarithm of the objective function for each of the three components:

ABUNDANCE ESTIMATES

$$L_1 = 0.5 \sum_n \frac{1}{(\sigma_t^k)^2} \ln \left(P_n / \hat{P}_n \right)^2 \tag{F.4}$$

where \hat{P}_n is the model estimate of the abundance in the same year, period and sub-area as the n th estimate of abundance P_n .

STOCK PROPORTIONS

$$L_2 = 0.5 \sum_n \frac{1}{(\sigma_n^k)^2} \left(p_n^k - \hat{p}_n^k \right)^2 \tag{F.5}$$

where \hat{p}_n is the model estimate of the proportion of whales in the same year, period and sub-area as the n th proportion estimate P_n .

BYCATCH ESTIMATES

$$L_3 = 0.5 \sum_n \left(B_n^k - \hat{B}_n^k \right)^2 / 10 \tag{F.6}$$

where \hat{B}_n^k is the model estimate of the total bycatch in sub-area k over the years being fitted and B_n^k is the observed bycatch in the same area and period.

G. Trials

The set of trials is given in Table 8. The sensitivity trials are variants of the base-case trials A01-1 etc. (see section A).

Table 8

The list of Trials (Trial 24 is assigned low plausibility and so is crossed through).

| Stock hypothesis | Trial no. | MSYR | Mix matrix | Description |
|------------------|--------------------------|--------------------|--------------------------|--|
| A | A01-1 & A01-4 | 1% & 4% | See Adjunct 2 | Baseline A: 2 stocks ('J' and 'O'); g(0) = 0.8; including Chinese bycatch |
| B | B01-1 & B01-4 | 1% & 4% | See Adjunct 2 | Baseline B: 3 stocks ('J', 'O', and 'Y'); g(0) = 0.8; including Chinese bycatch |
| C | C01-1 & C01-4 | 1% & 4% | See Adjunct 2 | Baseline C: 5 stocks ('JW', 'JE', 'OW', 'OE', and 'Y'); g(0) = 0.8; including Chinese bycatch |
| AC | A02-1 etc | 1% / 4% | See Adjunct 2 | With a 'C' stock |
| ABC | A03-1 etc | 1% / 4% | Baseline | Assume g(0) = 1 |
| ABC | A04-1 etc | 1% / 4% | Baseline | High direct catches + alternative Korean & Japanese bycatch level |
| ABC | A05-1 etc | 1% / 4% | See Adjunct 2 | Some 'O' or 'OW' animals in sub-area 10E. The mixing matrices will be modified such that the proportion of O/OW-stock in 10E is ~30% of that in 7CN in all months. Note: the small no. (9) of genetic samples in 10E (Oct-Dec) precludes mixing proportions being estimated for 10E. |
| ABC | A06-1 etc | 1% / 4% | Baseline | Mixing proportion in 7CS and 7CN calculated using 2/60 weight for bycatch |
| ABC | A07-1 etc | 1% / 4% | Baseline | Mixing proportion in 7CS and 7CN calculated using 10/60 weight for bycatch |
| ABC | A08-1 etc | 1% / 4% | Baseline | More Korean catches in sub-area 5 (and fewer in 6W). Rationale: the baseline uses the best split. Trials 8 and 9 test alternatives in both directions. |
| ABC | A09-1 etc | 1% / 4% | Baseline | More Korean catches in sub-area 6W (and fewer in 5) |
| ABC | A10-1 etc | 1% / 4% | Baseline | 10% J (/ JW) -stock in sub-area 12SW in June (base case value = 25%). See section F(c). |
| ABC | A11-1 etc | 1% / 4% | See Adjunct 2 | 30% J (/ JW) -stock in sub-area 12SW in June (base case value = 25%). See section F(c). |
| C | C12-1 & 4 | 1% / 4% | See Adjunct 2 | No 'C' animals in sub-area 12NE |
| C | C13-1 & 4 | 1% / 4% | See Adjunct 2 | No 'OW' in 11 or 12 SW. (OW & OE whales mix with JW in 11 & 12 SW in the baseline C trials). |
| C | C14-1 & 4 | 1% / 4% | See Adjunct 2 | No 'OE' in 11 or 12 SW |
| C | C15-1 & 4 | 1% / 4% | See Adjunct 2 | No 'OE' in 7WR. (OE & OW whales mix in 7WR from Apr-Sep, while OW whales are present year round in the baseline C trials) |
| C | C16-1 & 4 | 1% / 4% | Baseline | Dispersal rate of 0.005 between the OW and OE & the JW and JE stocks |
| C | C17-1 & 4 | 1% / 4% | Baseline | Dispersal rate of 0.02 between the OW and OE & the JW and JE stocks |
| ABC | A18-1 etc | 1% / 4% | Baseline | Chinese incidental catch = 0 (the base case value = twice that of Korea in sub-area 5) |
| ABC | A19-1 etc | 1% / 4% | Baseline | Alternative abundance estimates in 6E (see table 6a) |
| ABC | A20-1 etc | 1% / 4% | See Adjunct 2 | Additional abundance estimate in 10E in 2007 (see table 6a) |
| ABC | A21-1 etc | 1% / 4% | See Adjunct 2 | Abundance estimate in 5 = 'minimum' value listed in Table 6b, with a CV=0.1. See section F(a). (The baseline fits to a low variance pseudo-estimate of abundance drawn from U[minimum : maximum] where the 'minimum' and 'maximum' values are those listed in Table 6b). |
| ABC | A22-1 etc | 1% / 4% | Baseline | Abundance estimate in 5 = 'maximum' value listed in Table 6b (= 5 * baseline value), with a CV=0.1 |
| C | C23-1 & 4 | 1% / 4% | See Adjunct 2 | Single J-stock (with pure J-stock definition using 6E (all months)) |
| C | C24-1 & 4 | 1% / 4% | See Adjunct 2 | Single O-stock (with pure O-stock definition using 7WR, 7E and 8 (all months)) |
| ABC | A25-1 etc | 1% / 4% | Baseline | The number of bycaught animals is proportional to the square-root of abundance rather than to abundance (in order to examine the impact of possible saturation effects) |
| AB | A26-1 etc | 1% / 4% | See Adjunct 2 | A substantially larger fraction of whales ages 1-4 from O-stock are found in sub-areas 2R, 3 and 4 year-round (so the proportion of 1-4 whales in sub-area 9 is closer to expectations given the length-frequencies of catches from sub-area 9). The mixing matrices are adjusted such that the numbers of age 1-4 of O-stock animals in sub-area 9 and 9N are no more than half the base case numbers; juveniles will be allowed into sub-areas 2R, 3 and 4 in the corresponding months. |
| ABC | A27-1 etc | 1% / 4% | See Adjunct 2 | Set the proportion of O/OE animals of ages 1-4 in sub-area 9 and 9N to zero and allow the abundance in sub-areas 7CS and 7CN to exceed the abundance estimates for these sub-areas. Projections for this sub-area will need to account for the implied survey bias |
| ABC | A28-1 etc | 1% / 4% | See Adjunct 2 | The number of 1+ whales in 2009 in sub-area 2C in any month < 200 (if large numbers of whales were found in 2C, the historical catch would be expected to be much greater). |
| ABC | A29-1 etc | 1% / 4% | See Adjunct 2 | Abundance estimate in 6W = 'minimum' value listed in Table 6b, with a CV=0.1. See section F(a). (The baseline fits to a low variance pseudo-estimate of abundance drawn from U[minimum : maximum] where the 'minimum' and 'maximum' values are those listed in Table 6b). |
| ABC | A30-1 etc | 1% / 4% | See Adjunct 2 | Abundance estimate in 6W = 'maximum' value listed in Table 6b (= 5 * baseline value), with a CV=0.1 |
| C | C31-1 etc | 1% / 4% | Baseline | Alternative time invariant proportion of JE-stock whales in 7CN in Jan-Jun used to remove bycatch (see Table 2b) |

H. Management options

Two issues relate to specifying the management options: (a) the designation of *Areas* (*Small*, *Medium* and *Large*); and (b) the management procedure variants to consider.

The RMP variants include specifications regarding the *Small Areas* (combinations of sub-areas), the use of the capping and cascading options of the RMP, and when and where harvesting will occur. The initial set of RMP variants to be considered in the trials and the sub-areas from which catches are taken when a *Small Area* consists of more than one sub-area are:

- (1) *Small Areas* equal sub-areas. For this option, the *Small Areas* for which catch limits would be set are 5, 6W, 7CS, 7CN, 7WR, 7E, 8, 9*, and 11.
- (2) 5, 6W, 7+8, 9*, and 11 are *Small Areas* and catches are taken from sub-areas 5, 6W, 7CN, 9, and 11.
- (3) 5, 6W, 7+8, 9*, and 11 are *Small Areas* and catches are taken from sub-areas 5, 6W, 7CS, 9, and 11.
- (4) 5, 6W, 7CS, 7CN, 7WR+7E+8, 9* and 11 are *Small Areas* and catches are taken from sub-areas 5, 6W, 7CS, 7CN, 7WR, 9* and 11.

- (5) 5 and 6W are *Small Areas* and catches are taken from sub-areas 5 and 6W. 7+8+9*+11+12 is a combination area and catches are cascaded to the sub-areas within the combination area. The catch limits for sub-areas 12SW and 12NE are not taken.
- (6) 5, 6W, 7+8, 9*, and 11 are *Small Areas* except that the catches from the 7+8 *Small Area* are taken from sub-areas 7CS and 7CN using the same method as for catch cascading to allocate the catch across the two sub-areas.
- (7) 5+6W+6E+10W+10E, 7+8+9*+11 are *Small Areas*; catches from the 5+6W+6E+10W+10E *Small Area* are taken from sub-areas 5 and 6W using the same method as for catch cascading to allocate the catch across those two sub-areas, and catches from the *Small Area* 7+8+9+11 are taken in the sub-area 7CN.
- (8) 5, 6W, 7+8+9*+11+12 are *Small Areas*; catches from the 7+8+9*+11+12 *Small Area* are taken from sub-areas 8 and 9 using the same method as for catch cascading to allocate the catch across the two sub-areas.
- (9) 5, 6W, 7+8+9*+11+12 are *Small Areas*; catches from the 7+8+9*+11+12 *Small Area* are taken from sub-areas 7CS, 7CN, 7WR, 7E, 8 and 9 using the same method as for catch cascading to allocate the catch across the five sub-areas.
- (10) 5, 6W, 7+8+9*+11+12 are *Small Areas*; catches from the 7+8+9*+11+12 *Small Area* are taken from sub-areas 7CS, 7CN, 7WR, 7E, 8, 9 and 11 using the same method as for catch cascading to allocate the catch across the six sub-areas. The catch from sub-area 11 is taken in May and June.
- (11) 5, 6W, 7+8+9*+11+12 are *Small Areas*; catches from the 7+8+9*+11+12 *Small Area* are taken from sub-areas 7CS, 7CN, 7WR, 7E, 8 and 9 using the same method as for catch cascading to allocate the catch across the five sub-areas but the catch taken from sub-areas 7CS, 7CN, 7WR and 7E is reduced by 50% after first subtracting the bycatches in these sub-areas.

: 9 refers to sub-area 9 alone (i.e. excluding 9N) in the definitions of the variants given above.

Note that the proportions of the whales in a sub-area that belong to each stock will differ from sub-area to sub-area (as well as from year to year). Thus when a *Small Area* is specified which consists of a number of sub-areas, the impact on the various stocks of the catch allowed under the RMP will differ depending on how this catch is distributed amongst the constituent sub-areas. In such cases trials are specified which attempt to bound the extremes of such catch distributions in terms of their likely impact on stocks. The initial trials above incorporate a first attempt to address this aspect, e.g. variants (2) and (3) reflect likely alternative “extremes” in this context regarding a catch taken from 7+8.

Simulations of future catch limit calculations will be performed (i.e. catch limits will be set by the *CLA*) every 6 years, beginning in 2013¹⁹. No phaseout will be applied so as not to confound comparison of the different management variants.

I. Output statistics

Population-size and continuing catch statistics are produced for each stock, and catch-related statistics for each sub-area. Catch related statistics are produced both for the total catches (commercial and incidental) and for the commercial catches alone.

- (1) Total catch (TC) distribution: (a) median; (b) 5th value; (c) 95th value.
- (2) Initial mature female population size (P_{2000}) distribution: (a) median; (b) 5th value; (c) 95th value.
- (3) Final mature female population size (P_f) distribution: (a) median; (b) 5th value; (c) 95th value.
- (4) Lowest mature female population over 100 years (P_{low}) distribution: (a) median; (b) 5th value; (c) 95th value.
- (5) Average catch over the last 10 years of the 100-year management period: (a) median; (b) 5th value; (c) 95th value.
- (6) Catch by sub-area, stock and catch-type (incidental or commercial): (a) median; (b) 5th value; (c) 95th value.
- (7) The median percentage of mature ‘J’ stock females being in sub-area 12 in June-August 1973-75.
- (8) The median annual rate of decline in the number of whales assumed recruited to the Korean fishery over the period 1973-1986.
- (9) The median 1+ population size for animals in sub-areas 6 and 10 in August-September in 1992 and in 2000 (corresponding to Sea of Japan surveys).
- (10) The mean proportion of ‘J’ whales in the total (scientific, commercial and incidental) catch taken by Japan from 1993-98 is output in trials, for comparison with results obtained from market samples.

¹⁹In practice 2014 is the earliest year in which catch limits could be set, for the 2015 season.

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Adjunct 1

The Historical Catch Series

C. Allison

Direct catches

The baseline trials use the 'best' estimates of the historical direct catch which are summarised in Tables 1 and 2. Details of the sources and construction of the catch series are given in Allison (2011). The data are taken from the IWC individual catch database (Allison, 2013) where available. Information on the direct catches taken in 2012 was not available when the conditioning was performed, so the 2012 catch was assumed to be equal to the 2011 catch. The actual numbers for 2012 are included here in Table 3 for completeness.

An alternative 'high' catch series is used in sensitivity trial 4. Table 4 lists the 'high' catch numbers for the years and sub-areas where they differ from the 'best' catch series. The catches are identical to the 'best' series for all other areas and years. The Japanese coastal catch from 1930-1 and 1936-45 (in sub-areas 7CS, 7CN and 11) is estimated (Ohsumi, 1982) and the values are doubled in the 'high' catch series. The catch series off Korea assumes a linear increase from 60 whales in 1946 to 249 in 1957 in the 'best' series whereas the 'high' series assumes an annual catch of 249 minke whales over this period.

The split between sub-areas 5 and 6W is unknown for most of the catches taken off Korea. The 'best' catch series includes 19,349 minke whales taken off Korea, of which 3,902 are recorded in the Yellow Sea and 4,199 in the Sea of Japan (East Sea) and Southern waters. The remaining 11,248 of unknown area are allocated between sub-areas 5 and 6W in the ratio of the catches known by area from 1940-79²⁰ (2,028:2,517). Trials 8 and 9 test the sensitivity to this assumption. In trial 8 the number of whales allocated to sub-area 5 is reduced by 20% and reallocated to sub-area 6W. In Trial 9, 20% fewer animals are allocated to sub-area 6W and are reallocated to sub-area 5. The resulting catch series are given in Table 5.

Table 1

Summary of the final western North Pacific minke whale direct catch series (1930-2011) by sub-area, sex and month. The highlighted catches cannot be taken as no whales are modelled the area/month.

| Area | Males | | | | | | | | Females | | | | | | | | Total | M | F |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|---------|-------|-------|-------|-------|-----|-------|-------|--------|--------|--------|
| | J-M | Apr | May | Jun | Jul | Aug | Sep | O-D | J-M | Apr | May | Jun | Jul | Aug | Sep | O-D | | | |
| 1E | 17 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 29 | 18 | 11 |
| 2C | 3 | 2 | 2 | 3 | 2 | 0 | 1 | 0 | 2 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 18 | 13 | 5 |
| 2R | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 4 | 2 | 2 |
| 5 | 981 | 1,280 | 906 | 671 | 568 | 322 | 102 | 174 | 1,128 | 1,457 | 1,244 | 757 | 570 | 300 | 121 | 185 | 10,766 | 5,004 | 5,762 |
| 6W | 181 | 383 | 1,325 | 1,167 | 392 | 202 | 557 | 1,063 | 178 | 364 | 1,300 | 1,136 | 376 | 189 | 545 | 1,009 | 10,367 | 5,270 | 5,097 |
| 6E | 181 | 223 | 135 | 13 | 21 | 0 | 8 | 2 | 95 | 144 | 95 | 16 | 3 | 0 | 6 | 1 | 943 | 583 | 360 |
| 7CS | 210 | 974 | 1,715 | 762 | 126 | 8 | 1 | 0 | 164 | 1,087 | 1,278 | 464 | 27 | 1 | 0 | 0 | 6,817 | 3,796 | 3,021 |
| 7CN | 0 | 0 | 34 | 221 | 380 | 424 | 746 | 147 | 0 | 19 | 71 | 96 | 158 | 118 | 243 | 67 | 2,724 | 1,952 | 772 |
| 7W | 0 | 1 | 45 | 29 | 3 | 1 | 1 | 0 | 0 | 0 | 9 | 2 | 3 | 0 | 0 | 0 | 94 | 80 | 14 |
| 7E | 0 | 0 | 36 | 11 | 3 | 0 | 13 | 1 | 0 | 0 | 7 | 2 | 0 | 0 | 8 | 0 | 81 | 64 | 17 |
| 8 | 0 | 0 | 34 | 93 | 90 | 20 | 11 | 6 | 0 | 0 | 8 | 10 | 16 | 4 | 5 | 6 | 303 | 254 | 49 |
| 9 | 0 | 0 | 32 | 82 | 182 | 190 | 10 | 0 | 0 | 0 | 9 | 10 | 15 | 20 | 0 | 0 | 550 | 496 | 54 |
| 9N | 0 | 0 | 1 | 2 | 5 | 8 | 0 | 1 | 0 | 0 | 0 | 6 | 0 | 11 | 0 | 0 | 34 | 17 | 17 |
| 10W | 0 | 0 | 6 | 12 | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 9 | 0 | 0 | 0 | 0 | 32 | 21 | 11 |
| 10E | 2 | 25 | 42 | 119 | 83 | 26 | 5 | 3 | 0 | 1 | 28 | 60 | 26 | 9 | 7 | 0 | 436 | 305 | 131 |
| 11 | 0 | 62 | 248 | 492 | 557 | 210 | 143 | 29 | 2 | 465 | 872 | 858 | 593 | 240 | 113 | 25 | 4,909 | 1,741 | 3,168 |
| 12SW | 0 | 0 | 0 | 1 | 11 | 9 | 1 | 0 | 0 | 0 | 1 | 5 | 16 | 27 | 5 | 0 | 76 | 22 | 54 |
| 12NE | 0 | 0 | 0 | 0 | 36 | 9 | 10 | 0 | 0 | 0 | 0 | 3 | 33 | 14 | 6 | 0 | 111 | 55 | 56 |
| 13 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 6 | 2 | 4 |
| Total | 1,576 | 2,951 | 4,561 | 3,678 | 2,461 | 1,431 | 1,611 | 1,426 | 1,581 | 3,541 | 4,922 | 3,434 | 1,838 | 936 | 1,060 | 1,293 | 38,300 | 19,695 | 18,605 |

²⁰The period 1940-79 is used in view of a comment by Gong (1982) that, in 1980, Government policy led to a shift to the western sector in order to direct the minke whale fishery away from areas where the (protected) fin whale might also be caught.

Table 2

Summary of the 'best' direct catch series for western North Pacific minke whales by year, sub-area and sex. Catches in 2012 were not available when the conditioning was performed and so are assumed to be equal to the catch in 2011.

| | 1E | 2C | 2R | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 | Total | |
|---------------|----|----|----|-----|-----|-----|-----|-----|-----|----|----|----|----|-----|-----|----|------|------|----|-------|-----|
| Males: | | | | | | | | | | | | | | | | | | | | | |
| 1930 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 8 |
| 1931 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| 1932 | 0 | 0 | 0 | 0 | 9 | 0 | 13 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 23 |
| 1933 | 0 | 0 | 0 | 0 | 8 | 0 | 13 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 22 |
| 1934 | 0 | 0 | 0 | 1 | 21 | 0 | 20 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 43 |
| 1935 | 0 | 0 | 0 | 9 | 9 | 0 | 20 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 40 |
| 1936 | 0 | 0 | 0 | 12 | 14 | 0 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 |
| 1937 | 0 | 0 | 0 | 13 | 17 | 0 | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 68 |
| 1938 | 0 | 0 | 0 | 15 | 20 | 0 | 44 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 80 |
| 1939 | 0 | 0 | 0 | 18 | 24 | 0 | 44 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 89 |
| 1940 | 0 | 0 | 0 | 15 | 33 | 0 | 52 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 101 |
| 1941 | 0 | 0 | 0 | 40 | 40 | 0 | 37 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 120 |
| 1942 | 0 | 0 | 0 | 53 | 67 | 0 | 44 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 166 |
| 1943 | 0 | 0 | 0 | 42 | 51 | 0 | 67 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 161 |
| 1944 | 0 | 0 | 0 | 38 | 47 | 0 | 52 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 138 |
| 1945 | 0 | 0 | 0 | 3 | 2 | 0 | 44 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49 |
| 1946 | 0 | 0 | 0 | 11 | 21 | 14 | 51 | 4 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 106 |
| 1947 | 0 | 0 | 0 | 19 | 21 | 27 | 57 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 139 |
| 1948 | 0 | 3 | 0 | 22 | 26 | 56 | 57 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 0 | 192 |
| 1949 | 0 | 0 | 0 | 25 | 31 | 20 | 61 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 5 | 6 | 0 | 2 | 0 | 0 | 153 |
| 1950 | 0 | 3 | 0 | 29 | 37 | 15 | 63 | 41 | 0 | 0 | 2 | 0 | 1 | 0 | 13 | 18 | 0 | 0 | 0 | 0 | 222 |
| 1951 | 1 | 1 | 0 | 31 | 40 | 62 | 87 | 9 | 0 | 3 | 0 | 0 | 0 | 0 | 5 | 14 | 0 | 0 | 0 | 0 | 253 |
| 1952 | 0 | 1 | 0 | 36 | 45 | 142 | 92 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 9 | 20 | 0 | 0 | 0 | 0 | 347 |
| 1953 | 0 | 0 | 0 | 42 | 50 | 90 | 75 | 1 | 0 | 0 | 3 | 0 | 0 | 0 | 38 | 35 | 1 | 0 | 0 | 0 | 335 |
| 1954 | 0 | 0 | 1 | 43 | 54 | 35 | 24 | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 59 | 1 | 0 | 0 | 0 | 275 |
| 1955 | 0 | 0 | 0 | 49 | 60 | 20 | 108 | 11 | 0 | 0 | 2 | 0 | 0 | 0 | 20 | 43 | 1 | 1 | 0 | 0 | 315 |
| 1956 | 0 | 0 | 0 | 54 | 62 | 16 | 140 | 25 | 0 | 1 | 3 | 0 | 0 | 0 | 47 | 69 | 0 | 0 | 0 | 0 | 417 |
| 1957 | 17 | 1 | 0 | 59 | 70 | 2 | 111 | 14 | 2 | 0 | 1 | 0 | 0 | 0 | 31 | 33 | 1 | 0 | 0 | 0 | 342 |
| 1958 | 0 | 0 | 0 | 67 | 65 | 0 | 126 | 13 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 86 | 0 | 0 | 0 | 0 | 358 |
| 1959 | 0 | 0 | 0 | 78 | 71 | 0 | 69 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 47 | 0 | 0 | 0 | 0 | 272 |
| 1960 | 0 | 0 | 0 | 72 | 59 | 0 | 64 | 6 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 41 | 0 | 0 | 0 | 0 | 244 |
| 1961 | 0 | 0 | 0 | 39 | 28 | 0 | 81 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 56 | 0 | 0 | 0 | 0 | 213 |
| 1962 | 0 | 0 | 0 | 55 | 52 | 0 | 46 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 48 | 0 | 0 | 0 | 0 | 208 |
| 1963 | 0 | 0 | 0 | 122 | 52 | 0 | 49 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 0 | 0 | 0 | 0 | 269 |
| 1964 | 0 | 0 | 0 | 139 | 95 | 6 | 85 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 0 | 0 | 0 | 0 | 370 |
| 1965 | 0 | 1 | 0 | 83 | 101 | 11 | 51 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 62 | 0 | 0 | 0 | 0 | 312 |
| 1966 | 0 | 2 | 0 | 76 | 87 | 0 | 81 | 8 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 71 | 0 | 0 | 0 | 0 | 326 |
| 1967 | 0 | 0 | 0 | 109 | 73 | 2 | 50 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 55 | 0 | 0 | 0 | 0 | 297 |
| 1968 | 0 | 0 | 0 | 98 | 75 | 8 | 58 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 22 | 0 | 0 | 0 | 0 | 268 |
| 1969 | 0 | 0 | 0 | 118 | 95 | 10 | 27 | 2 | 0 | 0 | 0 | 0 | 3 | 0 | 7 | 43 | 0 | 0 | 0 | 0 | 305 |
| 1970 | 0 | 0 | 0 | 186 | 188 | 5 | 101 | 5 | 1 | 0 | 0 | 2 | 4 | 0 | 8 | 38 | 0 | 0 | 2 | 0 | 540 |
| 1971 | 0 | 0 | 0 | 200 | 189 | 3 | 84 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 54 | 1 | 0 | 0 | 0 | 545 |
| 1972 | 0 | 0 | 0 | 252 | 286 | 0 | 35 | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 78 | 0 | 0 | 0 | 0 | 668 |
| 1973 | 0 | 0 | 0 | 215 | 244 | 0 | 83 | 26 | 0 | 2 | 14 | 0 | 0 | 0 | 15 | 95 | 2 | 28 | 0 | 0 | 724 |
| 1974 | 0 | 0 | 0 | 213 | 271 | 0 | 63 | 34 | 0 | 9 | 0 | 0 | 0 | 1 | 5 | 44 | 4 | 22 | 0 | 0 | 666 |
| 1975 | 0 | 0 | 0 | 196 | 293 | 9 | 35 | 63 | 0 | 3 | 0 | 0 | 0 | 18 | 2 | 62 | 11 | 1 | 0 | 0 | 693 |
| 1976 | 0 | 0 | 0 | 353 | 174 | 0 | 35 | 27 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 89 | 0 | 0 | 0 | 0 | 688 |
| 1977 | 0 | 0 | 0 | 234 | 304 | 0 | 32 | 71 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 58 | 0 | 0 | 0 | 0 | 699 |
| 1978 | 0 | 0 | 0 | 181 | 354 | 0 | 93 | 133 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 0 | 0 | 780 |
| 1979 | 0 | 0 | 0 | 164 | 379 | 0 | 95 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 17 | 0 | 0 | 0 | 0 | 813 |
| 1980 | 0 | 0 | 0 | 447 | 147 | 0 | 88 | 72 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 40 | 0 | 0 | 0 | 0 | 804 |
| 1981 | 0 | 1 | 0 | 188 | 192 | 0 | 148 | 39 | 1 | 0 | 0 | 0 | 0 | 0 | 13 | 28 | 0 | 0 | 0 | 0 | 610 |
| 1982 | 0 | 0 | 0 | 229 | 210 | 2 | 105 | 56 | 1 | 0 | 0 | 0 | 0 | 0 | 9 | 5 | 0 | 0 | 0 | 0 | 617 |
| 1983 | 0 | 0 | 0 | 100 | 142 | 3 | 66 | 68 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 4 | 0 | 0 | 0 | 0 | 389 |
| 1984 | 0 | 0 | 0 | 87 | 105 | 0 | 64 | 88 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 46 | 0 | 0 | 0 | 0 | 390 |
| 1985 | 0 | 0 | 1 | 23 | 29 | 5 | 39 | 123 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 30 | 0 | 0 | 0 | 0 | 252 |
| 1986 | 0 | 0 | 0 | 1 | 31 | 20 | 69 | 89 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 0 | 0 | 229 |
| 1987 | 0 | 0 | 0 | 0 | 0 | 0 | 80 | 86 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 | 0 | 0 | 0 | 0 | 182 |
| 1988 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1989 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1990 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1991 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1992 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1993 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1994 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18 |
| 1995 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 91 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 91 |
| 1996 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 0 | 0 | 16 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 0 | 0 | 63 |
| 1997 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 30 | 55 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 87 |
| 1998 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 22 | 26 | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 89 |
| 1999 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 39 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 0 | 0 | 0 | 0 | 71 |
| 2000 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 15 | 0 | 0 | 0 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 |
| 2001 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 10 | 19 | 7 | 20 | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 93 |

Cont.

| | 1E | 2C | 2R | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 | Total |
|------|----|----|----|---|----|----|-----|-----|-----|----|----|----|----|-----|-----|----|------|------|----|-------|
| 2002 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 78 | 1 | 0 | 8 | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 117 |
| 2003 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 | 4 | 7 | 34 | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 114 |
| 2004 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 61 | 0 | 0 | 0 | 75 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 137 |
| 2005 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 66 | 2 | 0 | 7 | 51 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 154 |
| 2006 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 33 | 11 | 1 | 36 | 23 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 144 |
| 2007 | 0 | 0 | 0 | 0 | 0 | 0 | 50 | 67 | 3 | 0 | 15 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 140 |
| 2008 | 0 | 0 | 0 | 0 | 0 | 0 | 23 | 32 | 0 | 0 | 5 | 48 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 108 |
| 2009 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 41 | 8 | 3 | 13 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 99 |
| 2010 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 40 | 0 | 0 | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 69 |
| 2011 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 64 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 82 |

| | 1E | 2C | 2R | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 | Total |
|-----------------|----|----|----|-----|-----|----|-----|-----|-----|----|---|---|----|-----|-----|-----|------|------|----|-------|
| Females: | | | | | | | | | | | | | | | | | | | | |
| 1930 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 5 |
| 1931 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 6 |
| 1932 | 0 | 0 | 0 | 5 | 4 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 17 |
| 1933 | 0 | 0 | 0 | 5 | 4 | 0 | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 19 |
| 1934 | 0 | 0 | 0 | 9 | 10 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 31 |
| 1935 | 0 | 0 | 0 | 8 | 14 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 33 |
| 1936 | 0 | 0 | 0 | 12 | 13 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 34 |
| 1937 | 0 | 0 | 0 | 14 | 18 | 0 | 18 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 52 |
| 1938 | 0 | 0 | 0 | 18 | 20 | 0 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 61 |
| 1939 | 0 | 0 | 0 | 19 | 23 | 0 | 22 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 68 |
| 1940 | 0 | 0 | 0 | 13 | 34 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 73 |
| 1941 | 0 | 0 | 0 | 64 | 38 | 0 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 122 |
| 1942 | 0 | 0 | 0 | 54 | 66 | 0 | 22 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 145 |
| 1943 | 0 | 0 | 0 | 39 | 51 | 0 | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 124 |
| 1944 | 0 | 0 | 0 | 38 | 45 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 109 |
| 1945 | 0 | 0 | 0 | 2 | 3 | 0 | 22 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 30 |
| 1946 | 0 | 0 | 0 | 10 | 18 | 10 | 24 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 13 | 0 | 0 | 0 | 77 |
| 1947 | 0 | 0 | 0 | 18 | 19 | 21 | 27 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 23 | 0 | 0 | 0 | 111 |
| 1948 | 0 | 0 | 0 | 21 | 25 | 38 | 31 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 53 | 0 | 0 | 0 | 168 |
| 1949 | 0 | 0 | 0 | 25 | 31 | 30 | 32 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 4 | 27 | 0 | 1 | 0 | 152 |
| 1950 | 0 | 1 | 1 | 29 | 34 | 9 | 25 | 19 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 | 1 | 0 | 0 | 151 |
| 1951 | 0 | 0 | 0 | 33 | 42 | 39 | 42 | 2 | 0 | 2 | 1 | 0 | 2 | 0 | 2 | 70 | 0 | 1 | 0 | 236 |
| 1952 | 0 | 0 | 1 | 37 | 45 | 43 | 78 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 97 | 1 | 0 | 0 | 305 |
| 1953 | 0 | 0 | 0 | 39 | 49 | 47 | 56 | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 5 | 57 | 1 | 0 | 0 | 259 |
| 1954 | 0 | 1 | 0 | 45 | 55 | 27 | 22 | 15 | 0 | 0 | 3 | 0 | 1 | 0 | 4 | 124 | 0 | 0 | 0 | 297 |
| 1955 | 0 | 0 | 0 | 58 | 59 | 15 | 80 | 4 | 0 | 0 | 3 | 0 | 0 | 0 | 7 | 119 | 0 | 2 | 0 | 347 |
| 1956 | 0 | 0 | 0 | 62 | 66 | 23 | 97 | 7 | 0 | 0 | 1 | 0 | 1 | 0 | 13 | 108 | 0 | 4 | 0 | 382 |
| 1957 | 11 | 1 | 0 | 79 | 68 | 0 | 81 | 12 | 2 | 0 | 3 | 0 | 0 | 0 | 13 | 96 | 1 | 0 | 0 | 367 |
| 1958 | 0 | 0 | 0 | 101 | 63 | 0 | 128 | 8 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 153 | 0 | 0 | 0 | 454 |
| 1959 | 0 | 0 | 0 | 126 | 73 | 0 | 70 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 83 | 0 | 1 | 0 | 357 |
| 1960 | 0 | 0 | 0 | 141 | 57 | 0 | 65 | 4 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 73 | 0 | 0 | 0 | 342 |
| 1961 | 0 | 0 | 0 | 82 | 30 | 0 | 83 | 5 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 98 | 0 | 0 | 0 | 299 |
| 1962 | 0 | 0 | 0 | 117 | 52 | 0 | 47 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 85 | 0 | 1 | 0 | 307 |
| 1963 | 0 | 0 | 0 | 168 | 52 | 0 | 50 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 71 | 0 | 0 | 0 | 345 |
| 1964 | 0 | 0 | 0 | 186 | 97 | 6 | 86 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 69 | 0 | 0 | 0 | 448 |
| 1965 | 0 | 1 | 0 | 110 | 102 | 9 | 99 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 94 | 0 | 0 | 0 | 418 |
| 1966 | 0 | 1 | 0 | 105 | 88 | 2 | 100 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 84 | 0 | 0 | 0 | 395 |
| 1967 | 0 | 0 | 0 | 139 | 73 | 8 | 65 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 87 | 0 | 0 | 0 | 382 |
| 1968 | 0 | 0 | 0 | 124 | 73 | 3 | 81 | 3 | 0 | 0 | 0 | 0 | 0 | 7 | 5 | 56 | 0 | 0 | 0 | 352 |
| 1969 | 0 | 0 | 0 | 156 | 96 | 10 | 32 | 1 | 0 | 0 | 0 | 0 | 8 | 0 | 5 | 97 | 0 | 0 | 0 | 405 |
| 1970 | 0 | 0 | 0 | 216 | 188 | 2 | 87 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 4 | 70 | 0 | 0 | 2 | 575 |
| 1971 | 0 | 0 | 0 | 250 | 190 | 2 | 67 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 52 | 0 | 0 | 0 | 574 |
| 1972 | 0 | 0 | 0 | 292 | 286 | 0 | 75 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 113 | 0 | 0 | 0 | 789 |
| 1973 | 0 | 0 | 0 | 239 | 244 | 2 | 90 | 15 | 0 | 2 | 7 | 0 | 0 | 0 | 6 | 116 | 11 | 27 | 0 | 759 |
| 1974 | 0 | 0 | 0 | 267 | 272 | 0 | 51 | 19 | 0 | 3 | 0 | 0 | 0 | 0 | 3 | 79 | 17 | 18 | 0 | 729 |
| 1975 | 0 | 0 | 0 | 229 | 288 | 2 | 46 | 22 | 0 | 4 | 0 | 0 | 0 | 2 | 4 | 58 | 23 | 0 | 0 | 678 |
| 1976 | 0 | 0 | 0 | 445 | 174 | 0 | 46 | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 113 | 0 | 0 | 1 | 819 |
| 1977 | 0 | 0 | 0 | 269 | 303 | 0 | 28 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 43 | 0 | 0 | 0 | 659 |
| 1978 | 0 | 0 | 0 | 207 | 356 | 0 | 85 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 48 | 0 | 0 | 0 | 718 |
| 1979 | 0 | 0 | 0 | 130 | 264 | 0 | 38 | 28 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 64 | 0 | 0 | 0 | 531 |
| 1980 | 0 | 0 | 0 | 272 | 109 | 0 | 70 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 82 | 0 | 0 | 0 | 550 |
| 1981 | 0 | 0 | 0 | 188 | 192 | 0 | 68 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 63 | 0 | 0 | 0 | 524 |
| 1982 | 0 | 0 | 0 | 236 | 219 | 2 | 58 | 28 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 56 | 0 | 0 | 0 | 605 |
| 1983 | 0 | 0 | 0 | 98 | 138 | 4 | 69 | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 42 | 0 | 0 | 0 | 386 |
| 1984 | 0 | 0 | 0 | 87 | 114 | 0 | 38 | 55 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 76 | 0 | 0 | 0 | 370 |
| 1985 | 0 | 0 | 0 | 26 | 35 | 4 | 20 | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 66 | 0 | 0 | 0 | 197 |
| 1986 | 0 | 0 | 0 | 0 | 15 | 2 | 35 | 43 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 54 | 0 | 0 | 0 | 151 |
| 1987 | 0 | 0 | 0 | 0 | 0 | 0 | 43 | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49 | 0 | 0 | 0 | 122 |
| 1988 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1989 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1990 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1991 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Cont.

| | 1E | 2C | 2R | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 | Total | |
|------|----|----|----|---|----|----|-----|-----|-----|----|---|----|----|-----|-----|----|------|------|----|-------|----|
| 1992 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1993 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1994 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 1995 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| 1996 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 14 |
| 1997 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| 1998 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| 1999 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 22 | 0 | 0 | 0 | 0 | 29 |
| 2000 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| 2001 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| 2002 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 |
| 2003 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 0 | 1 | 0 | 3 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 |
| 2004 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 0 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 22 |
| 2005 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 19 | 0 | 0 | 7 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 66 |
| 2006 | 0 | 0 | 0 | 0 | 0 | 0 | 34 | 12 | 1 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 51 |
| 2007 | 0 | 0 | 0 | 0 | 0 | 0 | 45 | 21 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 67 |
| 2008 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 18 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 61 |
| 2009 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 24 | 0 | 0 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 63 |
| 2010 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 20 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50 |
| 2011 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 37 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 44 |

Table 3

Direct catches in 2012 by sub-area and sex.

These catches were not available when the conditioning was performed but are included here for completeness.

| | 1E | 2C | 2R | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 | Total |
|---------|----|----|----|---|----|----|-----|-----|-----|----|---|---|----|-----|-----|----|------|------|----|-------|
| Males | 0 | 0 | 0 | 0 | 0 | 0 | 15 | 36 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 52 |
| Females | 0 | 0 | 0 | 0 | 0 | 0 | 50 | 53 | 4 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 110 |

Table 4

The High Catch Series.

The table shows the catches for the years and sub-areas where they differ from the 'best' catch series (1930-31, 1936-45 in sub-areas 7CS, 7CN and 11; 1947-56 in sub-areas 5 and 6W). Numbers from the 'best' catch series are shown for comparison.

The 'high' catch series is identical to the 'best' series for all other areas and years.

| Series: | Best | Best | High | High | Best | Best | High | High | Best | Best | High | High |
|-----------|------|--------|------------|-----------|------|--------|------|--------|------|--------|------|--------|
| | 7CS | 7CS | 7CS | 7CS | 7CN | 7CN | 7CN | 7CN | 11 | 11 | 11 | 11 |
| Sub-area: | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female |
| 1930 | 7 | 4 | 14 | 8 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| 1931 | 7 | 4 | 14 | 8 | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 4 |
| 1932 | 13 | 7 | 13 | 7 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 |
| 1933 | 13 | 7 | 13 | 7 | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 1 |
| 1934 | 20 | 10 | 20 | 10 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 |
| 1935 | 20 | 10 | 20 | 10 | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 1 |
| 1936 | 15 | 7 | 30 | 14 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 4 |
| 1937 | 37 | 18 | 74 | 36 | 0 | 1 | 0 | 2 | 1 | 1 | 2 | 2 |
| 1938 | 44 | 22 | 88 | 44 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| 1939 | 44 | 22 | 88 | 44 | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 4 |
| 1940 | 52 | 25 | 104 | 50 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| 1941 | 37 | 18 | 74 | 36 | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 4 |
| 1942 | 44 | 22 | 88 | 44 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| 1943 | 67 | 32 | 134 | 64 | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 4 |
| 1944 | 52 | 25 | 104 | 50 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| 1945 | 44 | 22 | 44 | 22 | 0 | 1 | 0 | 2 | 0 | 2 | 0 | 4 |

| Series: | Best | Best | High | High | Best | Best | High | High |
|-----------|------|--------|-----------|-----------|------|--------|-----------|-----------|
| | 5 | 5 | 5 | 5 | 6W | 6W | 6W | 6W |
| Sub-area: | Male | Female | Male | Female | Male | Female | Male | Female |
| 1946 | 11 | 10 | 11 | 10 | 21 | 18 | 21 | 18 |
| 1947 | 19 | 18 | 55 | 56 | 21 | 19 | 70 | 68 |
| 1948 | 22 | 21 | 55 | 56 | 26 | 25 | 70 | 68 |
| 1949 | 25 | 25 | 55 | 56 | 31 | 31 | 70 | 68 |
| 1950 | 29 | 29 | 55 | 56 | 37 | 34 | 70 | 68 |
| 1951 | 31 | 33 | 55 | 56 | 40 | 42 | 70 | 68 |
| 1952 | 36 | 37 | 55 | 56 | 45 | 45 | 70 | 68 |
| 1953 | 42 | 39 | 55 | 56 | 50 | 49 | 70 | 68 |
| 1954 | 43 | 45 | 55 | 56 | 54 | 55 | 70 | 68 |
| 1955 | 49 | 58 | 56 | 66 | 60 | 59 | 70 | 68 |
| 1956 | 54 | 62 | 57 | 66 | 62 | 66 | 70 | 68 |
| 1957 | 59 | 79 | 59 | 79 | 70 | 68 | 70 | 68 |

Table 5

The catch series for Trials 8 and 9 used to test the sensitivity to the allocation of catches off Korea between sub-areas 5 and 6W. Catches in the other sub-areas are the same as for the 'Best' catch series.

| Sub-area: | Trial 8 | | | | Trial 9 | | | |
|-----------|---------|--------|------|--------|---------|--------|------|--------|
| | 5 | | 6W | | 5 | | 6W | |
| | Male | Female | Male | Female | Male | Female | Male | Female |
| 1932 | 0 | 5 | 9 | 4 | 0 | 5 | 9 | 4 |
| 1933 | 0 | 5 | 8 | 4 | 0 | 5 | 8 | 4 |
| 1934 | 1 | 9 | 21 | 10 | 1 | 9 | 21 | 10 |
| 1935 | 9 | 12 | 9 | 10 | 7 | 7 | 12 | 14 |
| 1936 | 14 | 15 | 13 | 9 | 9 | 10 | 15 | 17 |
| 1937 | 17 | 16 | 14 | 15 | 12 | 9 | 21 | 20 |
| 1938 | 19 | 22 | 16 | 16 | 14 | 13 | 24 | 22 |
| 1939 | 23 | 23 | 20 | 18 | 15 | 15 | 27 | 27 |
| 1940 | 21 | 21 | 27 | 26 | 12 | 11 | 37 | 35 |
| 1941 | 48 | 72 | 31 | 31 | 38 | 62 | 41 | 41 |
| 1942 | 66 | 66 | 53 | 55 | 43 | 43 | 77 | 77 |
| 1943 | 51 | 51 | 40 | 41 | 31 | 33 | 59 | 60 |
| 1944 | 48 | 48 | 37 | 35 | 31 | 31 | 53 | 53 |
| 1945 | 3 | 2 | 2 | 3 | 3 | 2 | 2 | 3 |
| 1946 | 14 | 15 | 15 | 16 | 10 | 8 | 22 | 20 |
| 1947 | 24 | 21 | 16 | 16 | 15 | 15 | 23 | 24 |
| 1948 | 27 | 26 | 20 | 21 | 18 | 18 | 28 | 30 |
| 1949 | 30 | 32 | 25 | 25 | 18 | 22 | 36 | 36 |
| 1950 | 34 | 38 | 28 | 29 | 23 | 24 | 42 | 40 |
| 1951 | 40 | 40 | 33 | 33 | 26 | 26 | 47 | 47 |
| 1952 | 46 | 46 | 37 | 34 | 29 | 30 | 51 | 53 |
| 1953 | 50 | 51 | 40 | 39 | 31 | 33 | 58 | 58 |
| 1954 | 55 | 54 | 43 | 45 | 35 | 35 | 64 | 63 |
| 1955 | 62 | 69 | 46 | 49 | 39 | 48 | 70 | 69 |
| 1956 | 67 | 74 | 52 | 51 | 42 | 53 | 75 | 74 |
| 1957 | 73 | 92 | 56 | 55 | 49 | 66 | 79 | 82 |
| 1958 | 80 | 114 | 51 | 51 | 53 | 89 | 77 | 77 |
| 1959 | 93 | 141 | 57 | 57 | 63 | 110 | 86 | 89 |
| 1960 | 84 | 152 | 46 | 47 | 63 | 131 | 68 | 67 |
| 1961 | 44 | 87 | 24 | 24 | 35 | 77 | 33 | 34 |
| 1962 | 65 | 128 | 43 | 40 | 49 | 110 | 58 | 59 |
| 1963 | 131 | 179 | 43 | 41 | 104 | 149 | 71 | 70 |
| 1964 | 159 | 205 | 77 | 76 | 118 | 162 | 119 | 118 |
| 1965 | 102 | 131 | 82 | 81 | 68 | 97 | 116 | 115 |
| 1966 | 95 | 121 | 70 | 70 | 64 | 91 | 100 | 101 |
| 1967 | 125 | 153 | 59 | 57 | 91 | 120 | 93 | 90 |
| 1968 | 112 | 139 | 60 | 59 | 82 | 107 | 91 | 90 |
| 1969 | 137 | 176 | 75 | 77 | 98 | 138 | 114 | 115 |
| 1970 | 223 | 253 | 151 | 151 | 152 | 183 | 221 | 222 |
| 1971 | 239 | 286 | 152 | 152 | 165 | 214 | 225 | 225 |
| 1972 | 308 | 348 | 229 | 231 | 230 | 267 | 311 | 308 |
| 1973 | 251 | 275 | 208 | 208 | 197 | 220 | 262 | 263 |
| 1974 | 251 | 302 | 235 | 235 | 188 | 241 | 297 | 297 |
| 1975 | 253 | 287 | 235 | 231 | 159 | 196 | 327 | 324 |
| 1976 | 389 | 479 | 139 | 139 | 292 | 384 | 235 | 235 |
| 1977 | 294 | 331 | 242 | 243 | 192 | 226 | 346 | 346 |
| 1978 | 253 | 276 | 283 | 286 | 152 | 175 | 384 | 387 |
| 1979 | 164 | 130 | 379 | 264 | 164 | 130 | 379 | 264 |
| 1980 | 447 | 272 | 147 | 109 | 447 | 272 | 147 | 109 |
| 1981 | 188 | 188 | 192 | 192 | 188 | 188 | 192 | 192 |
| 1982 | 236 | 247 | 202 | 209 | 222 | 229 | 217 | 226 |
| 1983 | 100 | 98 | 142 | 138 | 100 | 98 | 142 | 138 |
| 1984 | 87 | 87 | 105 | 114 | 87 | 87 | 105 | 114 |
| 1985 | 23 | 26 | 29 | 35 | 23 | 26 | 29 | 35 |
| 1986 | 1 | 0 | 31 | 15 | 1 | 0 | 31 | 15 |

Bycatches

Recent bycatches (also referred to as incidental catches) are listed in Tables 6 and 7. The numbers of nets are listed in Table 8. The numbers of bycatches are only used in the trials if the number of nets is also known. Thus for Japan the catches from 2007-09 are not used and are shown greyed out in the table.

The bycatch in area 6W by Japan is small (9 whales) (and there are no corresponding set net numbers) so the numbers are added to those for sub-area 6E. The bycatch by Korea in sub-area 1W is very small (2 whales in total) and there are no corresponding set net numbers so the numbers are added to the data for sub-area 5. Similarly the numbers in sub-areas 6E and 10W (3 whales and 1 whale respectively) have been added to those for 6W.

A single series of historical bycatches is used for all of the trials when applying the RMP (i.e. for calculating catch limits), irrespective of the true values of the bycatches, which differ both among trials and simulations within trials. The estimate of the bycatches used by the *CLA* is set to the averages of the predicted bycatches based on the fit to the actual data of the operating model for the six baseline trials (i.e. using the 'best fit' simulation (0)). The series is given in Table 9 and Fig 1.

Table 6

Recent bycatches by Japan. The numbers are taken from the individual records. The catches that are greyed out are not used in the trials.

| | 1E | 2C | 6W | 6E | 7CS | 7CN | 10E | 11 | Sum | |
|------|----|----|----|----|-----|-----|-----|----|-----|------------------------|
| 2001 | 1 | 10 | 0 | 25 | 8 | 3 | 4 | 3 | 54 | Numbers incomplete |
| 2002 | 7 | 19 | 0 | 45 | 17 | 13 | 3 | 5 | 109 | |
| 2003 | 5 | 17 | 2 | 59 | 18 | 15 | 0 | 8 | 124 | 125 in Progress Report |
| 2004 | 4 | 19 | 1 | 65 | 14 | 9 | 0 | 3 | 115 | 117 in Progress Report |
| 2005 | 4 | 33 | 1 | 54 | 17 | 10 | 3 | 6 | 128 | 130 in Progress Report |
| 2006 | 3 | 28 | 2 | 74 | 21 | 16 | 0 | 3 | 147 | 150 in Progress Report |
| 2007 | 7 | 42 | 1 | 68 | 20 | 11 | 0 | 6 | 155 | 157 in Progress Report |
| 2008 | 9 | 23 | 0 | 68 | 17 | 11 | 2 | 3 | 133 | |
| 2009 | 3 | 17 | 2 | 64 | 23 | 3 | 0 | 1 | 113 | + 5 unknown area |

Table 7

Recent bycatches by Korea. The numbers are taken from the individual records.

| | 5 | 6W | 1W | 6E | 10W | Total |
|------|----|-----|----|----|-----|-------|
| 1996 | 0 | 128 | 0 | 0 | 0 | 128 |
| 1997 | 0 | 80 | 0 | 0 | 1 | 81 |
| 1998 | 0 | 45 | 0 | 0 | 0 | 45 |
| 1999 | 0 | 62 | 0 | 0 | 0 | 62 |
| 2000 | 11 | 69 | 0 | 0 | 0 | 80 |
| 2001 | 12 | 148 | 0 | 0 | 0 | 160 |
| 2002 | 7 | 82 | 0 | 0 | 0 | 89 |
| 2003 | 11 | 80 | 1 | 0 | 0 | 92 |
| 2004 | 13 | 55 | 0 | 1 | 0 | 69 |
| 2005 | 8 | 99 | 0 | 0 | 0 | 107 |
| 2006 | 13 | 67 | 0 | 2 | 0 | 82 |
| 2007 | 15 | 64 | 1 | 0 | 0 | 80 |
| 2008 | 13 | 68 | 0 | 0 | 0 | 81 |
| 2009 | 17 | 70 | 0 | 0 | 0 | 87 |

Table 8

Numbers of nets.

| | Japan large scale trap nets | | | | | | | | Japan salmon trap nets | | | | | Korean nets | | |
|------|-----------------------------|-----|-----|-----|-----|-----|----|-------|------------------------|-----|-----|-----|-------|-------------|-----|-------|
| | 1E | 2C | 6E | 7CS | 7CN | 10E | 11 | Total | 7CS | 7CN | 10E | 11 | Total | 5 | 6W | Total |
| 1946 | 24 | 67 | 103 | 41 | 7 | 9 | 2 | 252 | 3 | 57 | 24 | 44 | 129 | 0 | 0 | 0 |
| 1947 | 26 | 73 | 112 | 44 | 7 | 10 | 2 | 275 | 3 | 62 | 26 | 48 | 140 | 2 | 5 | 7 |
| 1948 | 29 | 79 | 122 | 48 | 8 | 11 | 2 | 298 | 3 | 68 | 29 | 52 | 152 | 4 | 11 | 15 |
| 1949 | 31 | 85 | 131 | 52 | 8 | 12 | 2 | 320 | 4 | 73 | 31 | 56 | 164 | 6 | 16 | 22 |
| 1950 | 33 | 91 | 141 | 55 | 9 | 12 | 2 | 343 | 4 | 78 | 33 | 60 | 175 | 8 | 21 | 29 |
| 1951 | 35 | 97 | 150 | 59 | 10 | 13 | 2 | 366 | 4 | 83 | 35 | 64 | 187 | 10 | 27 | 36 |
| 1952 | 37 | 103 | 159 | 63 | 10 | 14 | 2 | 389 | 4 | 88 | 37 | 68 | 199 | 12 | 32 | 44 |
| 1953 | 40 | 109 | 169 | 66 | 11 | 15 | 3 | 412 | 5 | 94 | 40 | 73 | 210 | 14 | 38 | 51 |
| 1954 | 42 | 115 | 178 | 70 | 11 | 16 | 3 | 435 | 5 | 99 | 42 | 77 | 222 | 15 | 43 | 58 |
| 1955 | 44 | 121 | 187 | 74 | 12 | 17 | 3 | 458 | 5 | 104 | 44 | 81 | 234 | 17 | 48 | 66 |
| 1956 | 46 | 127 | 197 | 77 | 13 | 17 | 3 | 481 | 5 | 109 | 46 | 85 | 245 | 19 | 54 | 73 |
| 1957 | 48 | 133 | 206 | 81 | 13 | 18 | 3 | 503 | 6 | 114 | 48 | 89 | 257 | 21 | 59 | 80 |
| 1958 | 51 | 139 | 216 | 85 | 14 | 19 | 3 | 526 | 6 | 120 | 51 | 93 | 269 | 23 | 64 | 88 |
| 1959 | 53 | 145 | 225 | 88 | 14 | 20 | 3 | 549 | 6 | 125 | 53 | 97 | 280 | 25 | 70 | 95 |
| 1960 | 55 | 151 | 234 | 92 | 15 | 21 | 4 | 572 | 6 | 130 | 55 | 101 | 292 | 27 | 75 | 102 |
| 1961 | 57 | 157 | 244 | 96 | 16 | 22 | 4 | 595 | 7 | 135 | 57 | 105 | 304 | 29 | 80 | 109 |
| 1962 | 59 | 164 | 253 | 100 | 16 | 22 | 4 | 618 | 7 | 140 | 59 | 109 | 316 | 31 | 86 | 117 |
| 1963 | 62 | 170 | 262 | 103 | 17 | 23 | 4 | 641 | 7 | 146 | 62 | 113 | 327 | 33 | 91 | 124 |
| 1964 | 64 | 176 | 272 | 107 | 17 | 24 | 4 | 664 | 7 | 151 | 64 | 117 | 339 | 35 | 97 | 131 |
| 1965 | 66 | 182 | 281 | 111 | 18 | 25 | 4 | 687 | 8 | 156 | 66 | 121 | 351 | 37 | 102 | 139 |
| 1966 | 68 | 188 | 291 | 114 | 19 | 26 | 4 | 709 | 8 | 161 | 68 | 125 | 362 | 39 | 107 | 146 |
| 1967 | 70 | 194 | 300 | 118 | 19 | 27 | 5 | 732 | 8 | 166 | 70 | 129 | 374 | 41 | 113 | 153 |
| 1968 | 73 | 200 | 309 | 122 | 20 | 27 | 5 | 755 | 8 | 172 | 73 | 133 | 386 | 43 | 118 | 161 |
| 1969 | 75 | 206 | 319 | 125 | 20 | 28 | 5 | 778 | 9 | 177 | 75 | 137 | 397 | 44 | 123 | 168 |
| 1970 | 77 | 212 | 328 | 129 | 21 | 29 | 5 | 801 | 9 | 182 | 77 | 141 | 409 | 46 | 129 | 175 |
| 1971 | 80 | 209 | 324 | 127 | 21 | 29 | 5 | 795 | 9 | 190 | 81 | 148 | 428 | 48 | 134 | 182 |
| 1972 | 83 | 206 | 321 | 124 | 21 | 29 | 5 | 788 | 9 | 199 | 84 | 154 | 447 | 50 | 139 | 190 |
| 1973 | 86 | 203 | 317 | 122 | 20 | 28 | 5 | 782 | 10 | 207 | 88 | 161 | 465 | 52 | 145 | 197 |
| 1974 | 89 | 200 | 314 | 119 | 20 | 28 | 5 | 775 | 10 | 216 | 91 | 167 | 484 | 54 | 150 | 204 |
| 1975 | 92 | 197 | 310 | 117 | 20 | 28 | 5 | 769 | 10 | 224 | 95 | 174 | 503 | 56 | 156 | 212 |
| 1976 | 82 | 197 | 320 | 119 | 20 | 33 | 4 | 775 | 11 | 249 | 104 | 196 | 559 | 58 | 161 | 219 |
| 1977 | 72 | 197 | 330 | 122 | 20 | 39 | 3 | 781 | 11 | 274 | 113 | 217 | 615 | 60 | 166 | 226 |
| 1978 | 61 | 197 | 339 | 124 | 20 | 44 | 1 | 787 | 12 | 299 | 122 | 239 | 671 | 62 | 172 | 233 |
| 1979 | 51 | 197 | 349 | 126 | 20 | 50 | 0 | 793 | 12 | 324 | 131 | 260 | 727 | 64 | 177 | 241 |
| 1980 | 54 | 200 | 359 | 134 | 20 | 47 | 0 | 814 | 0 | 334 | 125 | 263 | 722 | 66 | 182 | 248 |
| 1981 | 56 | 197 | 362 | 137 | 18 | 44 | 0 | 814 | 0 | 327 | 141 | 281 | 749 | 68 | 188 | 255 |
| 1982 | 55 | 196 | 375 | 135 | 19 | 44 | 0 | 824 | 0 | 332 | 134 | 277 | 743 | 70 | 193 | 263 |

Cont.

| | Japan large scale trap nets | | | | | | | | Japan salmon trap nets | | | | | Korean nets | | |
|------|-----------------------------|-----|-----|-----|-----|-----|----|-------|------------------------|-----|-----|-----|-------|-------------|-----|-------|
| | 1E | 2C | 6E | 7CS | 7CN | 10E | 11 | Total | 7CS | 7CN | 10E | 11 | Total | 5 | 6W | Total |
| 1983 | 59 | 191 | 379 | 135 | 33 | 43 | 12 | 852 | 0 | 330 | 126 | 278 | 734 | 71 | 198 | 270 |
| 1984 | 56 | 184 | 381 | 144 | 52 | 45 | 18 | 880 | 0 | 320 | 151 | 250 | 721 | 73 | 204 | 277 |
| 1985 | 52 | 185 | 406 | 144 | 36 | 53 | 11 | 887 | 0 | 348 | 158 | 256 | 762 | 75 | 209 | 285 |
| 1986 | 55 | 191 | 401 | 139 | 49 | 53 | 17 | 905 | 0 | 349 | 154 | 255 | 758 | 77 | 215 | 292 |
| 1987 | 52 | 190 | 398 | 141 | 48 | 52 | 16 | 897 | 0 | 357 | 158 | 251 | 766 | 79 | 220 | 299 |
| 1988 | 51 | 183 | 394 | 135 | 38 | 41 | 15 | 857 | 0 | 362 | 165 | 252 | 779 | 81 | 225 | 306 |
| 1989 | 60 | 177 | 384 | 145 | 36 | 38 | 9 | 849 | 0 | 369 | 287 | 230 | 886 | 83 | 231 | 314 |
| 1990 | 61 | 176 | 397 | 140 | 34 | 43 | 7 | 858 | 0 | 363 | 293 | 226 | 882 | 85 | 236 | 321 |
| 1991 | 66 | 172 | 394 | 139 | 22 | 46 | 0 | 839 | 0 | 373 | 290 | 229 | 892 | 85 | 286 | 371 |
| 1992 | 61 | 164 | 385 | 139 | 22 | 42 | 0 | 813 | 0 | 369 | 287 | 231 | 887 | 96 | 305 | 401 |
| 1993 | 66 | 177 | 391 | 138 | 22 | 43 | 0 | 837 | 0 | 369 | 290 | 236 | 895 | 96 | 291 | 387 |
| 1994 | 59 | 173 | 372 | 134 | 26 | 42 | 0 | 806 | 0 | 350 | 401 | 217 | 968 | 94 | 286 | 380 |
| 1995 | 61 | 173 | 365 | 121 | 23 | 39 | 0 | 782 | 0 | 349 | 400 | 216 | 965 | 97 | 292 | 389 |
| 1996 | 62 | 169 | 364 | 134 | 22 | 39 | 0 | 790 | 0 | 335 | 390 | 217 | 942 | 103 | 352 | 455 |
| 1997 | 58 | 167 | 362 | 135 | 22 | 36 | 0 | 780 | 0 | 335 | 372 | 210 | 917 | 123 | 340 | 463 |
| 1998 | 60 | 163 | 361 | 137 | 25 | 36 | 0 | 782 | 0 | 331 | 372 | 211 | 914 | 105 | 338 | 443 |
| 1999 | 59 | 165 | 354 | 135 | 27 | 40 | 0 | 780 | 0 | 322 | 386 | 209 | 917 | 120 | 321 | 441 |
| 2000 | 59 | 164 | 352 | 134 | 27 | 39 | 0 | 775 | 0 | 322 | 381 | 209 | 912 | 105 | 318 | 423 |
| 2001 | 62 | 157 | 344 | 138 | 30 | 39 | 0 | 770 | 0 | 327 | 368 | 219 | 914 | 82 | 311 | 393 |
| 2002 | 57 | 159 | 353 | 137 | 34 | 43 | 0 | 783 | 0 | 316 | 367 | 209 | 892 | 88 | 292 | 380 |
| 2003 | 53 | 161 | 352 | 143 | 31 | 42 | 0 | 782 | 0 | 315 | 353 | 207 | 875 | 81 | 286 | 367 |
| 2004 | 55 | 157 | 341 | 142 | 26 | 38 | 0 | 759 | 0 | 312 | 354 | 211 | 877 | 94 | 267 | 361 |
| 2005 | 57 | 156 | 319 | 138 | 24 | 37 | 0 | 731 | 0 | 313 | 356 | 209 | 878 | 81 | 263 | 344 |
| 2006 | 50 | 152 | 302 | 137 | 25 | 38 | 0 | 704 | 0 | 324 | 353 | 209 | 886 | 78 | 255 | 333 |
| 2007 | 44 | 131 | 291 | 120 | 4 | 13 | 0 | 654 | | | | | | 77 | 247 | 324 |
| 2008 | 43 | 123 | 295 | 122 | 23 | 27 | 0 | 651 | | | | | | 71 | 230 | 301 |
| 2009 | | | | | | | | | | | | | | 68 | 219 | 287 |

Sources:

Japan 1935-70. Set using linear interpolation, assuming 0 in 1935.

Japan 1970-79. Set using linear interpolation between the numbers for 1970 and 1975 from Tobayama *et al.* (1992).

Japan 1979-2006. Hakamada (2010)

Japan 2007-08, large scale. Hakamada, pers. comm.

Korea 1946-89. Set using linear interpolation, assuming 0 in 1946.

Korea 1990-2009. An, pers. comm.

Missing data: where the numbers of nets between 2007-12 are unknown, the numbers from the last known year are used.

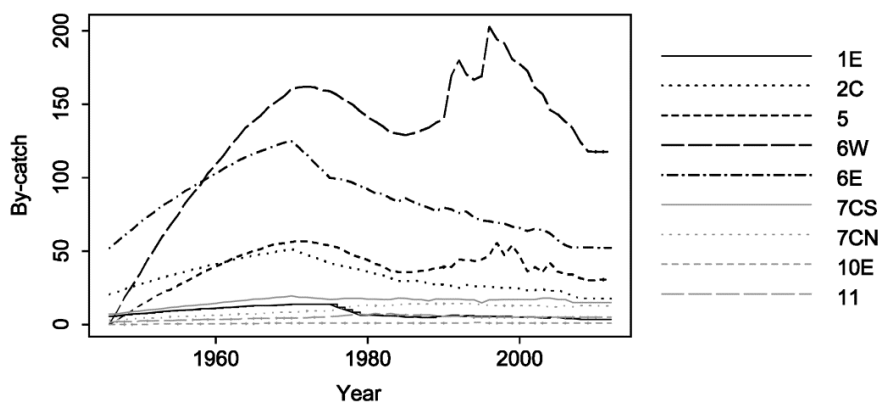


Fig 1. Plot of the historical bycatches used when applying the RMP (the same series is used for all trials).

Table 9

The single series of historical bycatches used in all trials when applying the RMP (i.e. for calculating catch limits). The series is the average of the predicted bycatches based on the fit to the actual data of the operating model for the six baseline trials (i.e. using the 'best fit' simulation (0)).

| Year | 1E | 2C | 5 | 6W | 6E | 7CS | 7CN | 10E | 11 |
|------|-------|-------|-------|--------|--------|-------|-------|------|------|
| 1946 | 5.50 | 20.50 | 0.00 | 0.00 | 52.00 | 7.00 | 3.00 | 0.00 | 1.67 |
| 1947 | 6.00 | 22.17 | 3.17 | 8.50 | 56.33 | 7.33 | 3.50 | 0.00 | 2.00 |
| 1948 | 6.67 | 24.00 | 6.33 | 18.17 | 60.83 | 8.00 | 3.50 | 0.00 | 2.00 |
| 1949 | 7.17 | 25.50 | 9.67 | 26.17 | 64.83 | 8.83 | 3.67 | 0.00 | 2.00 |
| 1950 | 7.50 | 27.50 | 12.83 | 34.17 | 69.50 | 9.17 | 4.33 | 0.00 | 2.33 |
| 1951 | 7.83 | 28.83 | 16.00 | 43.83 | 73.50 | 9.83 | 4.50 | 0.17 | 2.50 |
| 1952 | 8.33 | 30.33 | 19.00 | 51.50 | 77.00 | 10.33 | 4.50 | 0.33 | 2.50 |
| 1953 | 9.00 | 32.00 | 22.00 | 60.00 | 81.17 | 10.83 | 4.67 | 0.50 | 2.67 |
| 1954 | 9.33 | 33.50 | 23.67 | 67.33 | 84.00 | 11.67 | 5.33 | 0.50 | 2.67 |
| 1955 | 9.67 | 34.67 | 26.50 | 74.33 | 87.50 | 12.00 | 5.33 | 0.50 | 3.00 |
| 1956 | 9.83 | 36.00 | 29.00 | 82.67 | 91.00 | 12.67 | 5.50 | 0.50 | 3.17 |
| 1957 | 10.17 | 37.50 | 32.00 | 89.00 | 93.83 | 13.00 | 5.50 | 0.50 | 3.33 |
| 1958 | 10.83 | 38.67 | 34.67 | 95.00 | 97.00 | 13.50 | 6.17 | 0.50 | 3.33 |
| 1959 | 10.83 | 40.00 | 37.17 | 102.83 | 100.00 | 13.83 | 6.33 | 0.50 | 3.50 |
| 1960 | 11.17 | 41.17 | 39.50 | 109.00 | 102.83 | 14.50 | 6.33 | 0.50 | 3.50 |
| 1961 | 11.67 | 42.17 | 41.67 | 114.83 | 106.17 | 15.17 | 6.33 | 0.50 | 3.50 |
| 1962 | 11.83 | 43.83 | 44.17 | 122.17 | 108.83 | 15.50 | 6.83 | 0.67 | 3.67 |
| 1963 | 12.17 | 45.00 | 46.50 | 127.83 | 111.83 | 16.17 | 7.33 | 0.67 | 3.67 |
| 1964 | 12.50 | 46.00 | 48.33 | 134.33 | 114.33 | 16.50 | 7.33 | 0.67 | 4.00 |
| 1965 | 12.83 | 47.00 | 50.00 | 138.67 | 116.17 | 17.33 | 7.33 | 0.67 | 4.17 |
| 1966 | 12.83 | 48.00 | 51.67 | 142.83 | 118.33 | 17.50 | 7.50 | 0.67 | 4.33 |
| 1967 | 13.00 | 48.67 | 53.50 | 148.17 | 120.00 | 17.83 | 8.00 | 1.00 | 4.33 |
| 1968 | 13.33 | 49.67 | 55.00 | 152.17 | 122.00 | 18.50 | 8.33 | 1.00 | 4.50 |
| 1969 | 13.50 | 50.50 | 55.33 | 155.67 | 123.83 | 18.83 | 8.33 | 1.00 | 4.50 |
| 1970 | 13.83 | 51.33 | 56.33 | 160.50 | 125.00 | 19.50 | 8.33 | 1.00 | 4.50 |
| 1971 | 13.83 | 49.33 | 56.67 | 161.50 | 120.33 | 18.67 | 8.67 | 1.00 | 4.50 |
| 1972 | 13.83 | 47.50 | 56.67 | 162.00 | 115.83 | 18.67 | 9.33 | 1.00 | 4.67 |
| 1973 | 13.83 | 45.83 | 55.67 | 161.50 | 110.17 | 18.00 | 9.33 | 1.00 | 5.00 |
| 1974 | 13.83 | 43.83 | 54.83 | 159.83 | 105.50 | 17.67 | 9.33 | 1.00 | 5.00 |
| 1975 | 13.83 | 42.00 | 53.67 | 159.00 | 100.00 | 17.00 | 9.50 | 1.00 | 5.33 |
| 1976 | 11.83 | 40.67 | 52.67 | 156.33 | 99.17 | 17.17 | 10.33 | 1.00 | 5.50 |
| 1977 | 10.00 | 39.50 | 50.00 | 152.83 | 98.33 | 17.83 | 11.33 | 1.00 | 6.33 |
| 1978 | 8.33 | 38.50 | 47.67 | 150.00 | 96.67 | 17.83 | 12.33 | 1.00 | 6.50 |
| 1979 | 6.33 | 37.17 | 45.67 | 145.33 | 94.33 | 17.83 | 13.17 | 1.00 | 6.83 |
| 1980 | 6.50 | 36.17 | 44.00 | 141.17 | 92.33 | 17.17 | 13.33 | 1.00 | 6.67 |
| 1981 | 6.33 | 34.67 | 41.33 | 138.33 | 89.50 | 17.33 | 13.00 | 1.00 | 7.50 |
| 1982 | 6.00 | 33.67 | 39.67 | 135.00 | 89.17 | 17.00 | 13.00 | 1.00 | 7.17 |
| 1983 | 6.00 | 31.83 | 36.83 | 131.00 | 86.00 | 17.00 | 13.17 | 0.83 | 7.50 |
| 1984 | 5.83 | 29.67 | 35.67 | 130.00 | 83.67 | 18.00 | 13.33 | 1.00 | 6.50 |
| 1985 | 5.00 | 29.50 | 35.50 | 129.17 | 86.33 | 17.83 | 14.00 | 1.00 | 6.50 |
| 1986 | 5.33 | 30.00 | 35.67 | 130.33 | 83.50 | 17.00 | 14.00 | 1.00 | 6.50 |
| 1987 | 4.83 | 29.33 | 36.50 | 132.00 | 81.33 | 17.00 | 14.00 | 1.00 | 6.50 |
| 1988 | 4.83 | 28.00 | 37.17 | 134.17 | 79.67 | 16.17 | 14.00 | 1.00 | 6.50 |
| 1989 | 5.50 | 27.33 | 38.33 | 137.50 | 77.50 | 17.50 | 14.33 | 1.00 | 6.00 |
| 1990 | 5.50 | 27.17 | 39.33 | 140.17 | 79.50 | 17.17 | 14.17 | 1.00 | 5.67 |
| 1991 | 6.33 | 26.33 | 39.33 | 169.33 | 78.67 | 17.17 | 14.17 | 1.00 | 5.50 |
| 1992 | 5.50 | 25.00 | 44.33 | 180.00 | 76.00 | 17.17 | 14.17 | 1.00 | 5.67 |
| 1993 | 6.17 | 27.00 | 44.00 | 170.50 | 77.00 | 16.83 | 14.17 | 1.00 | 6.00 |
| 1994 | 5.50 | 26.17 | 43.33 | 166.67 | 72.83 | 16.83 | 13.83 | 1.50 | 5.00 |
| 1995 | 5.50 | 26.33 | 44.33 | 169.17 | 70.83 | 14.83 | 13.67 | 1.50 | 5.00 |
| 1996 | 5.50 | 25.50 | 46.83 | 202.83 | 70.33 | 16.83 | 12.83 | 1.00 | 5.00 |
| 1997 | 5.33 | 25.33 | 55.83 | 194.50 | 69.33 | 16.83 | 13.00 | 1.00 | 5.00 |
| 1998 | 5.50 | 24.67 | 47.33 | 191.67 | 68.50 | 17.00 | 13.00 | 1.00 | 5.00 |
| 1999 | 5.00 | 24.83 | 53.83 | 180.83 | 66.67 | 17.00 | 13.00 | 1.00 | 5.00 |
| 2000 | 5.00 | 24.67 | 46.83 | 177.50 | 65.67 | 17.00 | 13.00 | 1.00 | 5.00 |
| 2001 | 5.17 | 23.33 | 36.33 | 172.67 | 63.67 | 17.00 | 13.00 | 1.00 | 5.00 |
| 2002 | 5.00 | 23.50 | 39.17 | 161.33 | 65.00 | 17.00 | 13.00 | 1.00 | 5.00 |
| 2003 | 4.50 | 24.00 | 35.67 | 157.00 | 64.50 | 18.00 | 13.00 | 1.00 | 5.00 |
| 2004 | 5.00 | 23.00 | 42.00 | 146.17 | 62.00 | 18.00 | 12.00 | 1.00 | 5.00 |
| 2005 | 5.00 | 23.00 | 36.00 | 143.33 | 57.50 | 17.00 | 12.00 | 1.00 | 5.00 |
| 2006 | 4.00 | 22.17 | 34.50 | 138.33 | 54.00 | 17.00 | 13.00 | 1.00 | 5.00 |
| 2007 | 4.00 | 19.17 | 34.00 | 133.67 | 52.00 | 15.00 | 12.00 | 1.00 | 5.00 |
| 2008 | 3.50 | 17.83 | 31.50 | 124.17 | 52.67 | 15.00 | 12.83 | 1.00 | 5.00 |
| 2009 | 3.50 | 17.83 | 30.17 | 118.00 | 52.50 | 15.00 | 12.83 | 1.00 | 5.00 |
| 2010 | 3.50 | 17.67 | 30.17 | 117.83 | 52.33 | 15.00 | 12.83 | 1.00 | 5.00 |
| 2011 | 3.50 | 17.67 | 30.67 | 117.83 | 52.33 | 15.00 | 12.67 | 1.00 | 5.00 |
| 2012 | 3.50 | 17.67 | 30.67 | 117.83 | 52.33 | 15.00 | 12.67 | 1.00 | 5.00 |

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Adjunct 2

Mixing matrices

An initial description of the information used to inform the parameters used is given in Allison and De Moor (2010).

Hypothesis A Baseline

J Stock Baseline A (Matrix L-A)

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include Juv, AdM, AdF, and O-D for months J-M, Apr, May, Jun, Jul, Aug, Sep, and O-D.

Hypothesis B Baseline

J Stock Baseline B (Matrix Y-BC)

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include Juv, AdM, AdF, and O-D for months J-M, Apr, May, Jun, Jul, Aug, Sep, and O-D.

J Stock Baseline B (Matrix J-B)

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include Juv, AdM, AdF, and O-D for months J-M, Apr, May, Jun, Jul, Aug, Sep, and O-D.

Hypothesis A Baseline

J Stock Baseline A (Matrix O-AB)

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include Juv, AdM, AdF, and O-D for months J-M, Apr, May, Jun, Jul, Aug, Sep, and O-D.

J Stock Baseline A (Matrix O-AB)

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include Juv, AdM, AdF, and O-D for months J-M, Apr, May, Jun, Jul, Aug, Sep, and O-D.

Hypothesis C Baseline continued
JH Stock Baseline C (Matrix JH-C)

Table with columns for Age/Mon, sex, and Sub-Area (1W-13NE). Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D, AdM, and AdF.

JE Stock Baseline C (Matrix JE-C)

Table with columns for Age/Mon, sex, and Sub-Area (1W-13NE). Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D, AdM, and AdF.

Note: ?; not used for Hypothesis III.

Hypothesis B Baseline continued
O Stock Baseline B = O Stock Baseline A (Matrix O-A-B)

Table with columns for Age/Mon, sex, and Sub-Area (1W-13NE). Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D, AdM, and AdF.

Hypothesis C Baseline
Y Stock Baseline C = Y Baseline B (Matrix Y-B-C)

Table with columns for Age/Mon, sex, and Sub-Area (1W-13NE). Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D, AdM, and AdF.

Trial 11 (37.5% J/W-stock in sub-area 12SW in June, with 10% J/W-stock in 12NE): Hypothesis A

O Stock: as for Baseline A (Matrix O-1B)

J Stock Trial A11 (Matrix J-11) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D for Ad.M and Ad.F.

Trial 11 (37.5% J/W-stock in sub-area 12SW in June): Hypothesis B

Y Stock: O Stock: as for Baseline B (Matrix Y-BC, O-1B)

J Stock Trial B11 (Matrix J-11) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D for Ad.M and Ad.F.

Trial 05 (Some 'O'/'OW' animals in sub-area 10E): Hypothesis A

J Stock as for Baseline A (Matrix J-1)

O Stock Trial A05 (Matrix O-4B5) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D for Ad.M and Ad.F.

Trial 05 (Some 'O'/'OW' animals in sub-area 10E): Hypothesis B

Y Stock and J stock: As for Baseline B (Matrix Y-BC and J-1B)

O Stock Trial B05 (Matrix O-4B5) as above

Trial 05 (Some 'O'/'OW' animals in sub-area 10E): Hypothesis C

Y Stock, JW Stock, JE Stock and OE Stock: as for Baseline C (Matrix Y-BC, JW-C, JE-C & OE-C)

OW Stock Trial C05 (Matrix OW-C5) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr, May, Jun, Jul, Aug, Sep, O-D for Ad.M and Ad.F.

Trial 13 (No 'OW' in 11 or 12 SW): Hypothesis C
Y Stock, JW Stock, JE Stock and OE Stock, as for Baseline C (Matrix Y-BC, JW-C, JE-C & OE-C)
JW Stock Trial C13 (Matrix OW-C13) Differences from the Baseline trial are highlighted.

| Age/ Mon | Sub-Area | | | | | | | | | | | | | | | | | | | | | |
|----------|----------|----|-----|-----|---|---|---|----|----|-----|-----|-----|------|---|---|----|-----|-----|----|------|------|----|
| sex | 1W | 1E | 2C | 2R | 3 | 4 | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 |
| Juv | J-M | 0 | 0 | 713 | 4 | 0 | 0 | 0 | 0 | 0 | 471 | 75 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 714 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 871 | 74 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| May | 0 | 0 | 714 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 871 | 74 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jun. | 0 | 0 | 714 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 473 | 274 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 473 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 473 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sep. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 473 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O-D | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 473 | 75 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AdM | J-M | 0 | 0 | 713 | 4 | 0 | 0 | 0 | 0 | 0 | 71 | 75 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 714 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 271 | 74 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 271 | 74 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 271 | 74 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 273 | 274 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 273 | 275 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sep. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 273 | 275 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O-D | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 73 | 275 | 4732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AdF | J-M | 0 | 0 | 713 | 4 | 0 | 0 | 0 | 0 | 0 | 71 | 75 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 714 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 71 | 74 | 2732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 71 | 74 | 2732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 274 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sep. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O-D | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 275 | 732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trial 14 (No 'OE' in 11 or 12 SW): Hypothesis C
Y Stock, JW Stock, JE Stock and OW Stock, as for Baseline C (Matrix Y-BC, JW-C, JE-C & OW-C)
OE Stock Trial C14 (Matrix OE-C14) Differences from the Baseline trial are highlighted.

| Age/ Mon | Sub-Area | | | | | | | | | | | | | | | | | | | | | |
|----------|----------|----|----|----|---|---|---|----|----|-----|-----|-----|----|------|------|------|------|------|----|------|------|------|
| sex | 1W | 1E | 2C | 2R | 3 | 4 | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 |
| Juv | J-M | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 0 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 0 | 0 | 0 | 0 | 724 |
| May | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 721 | 0 | 0 | 0 | 724 |
| Jun. | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 721 | 0 | 0 | 0 | 724 |
| Jul. | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 721 | 0 | 0 | 0 | 724 |
| Aug. | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 721 | 0 | 0 | 0 | 724 |
| Sep. | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 721 | 0 | 0 | 0 | 724 |
| O-D | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AdM | J-M | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 0 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 0 | 0 | 0 | 0 | 3724 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 2721 | 0 | 0 | 0 | 6724 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 2721 | 0 | 0 | 0 | 6724 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 2721 | 0 | 0 | 0 | 6724 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 2721 | 0 | 0 | 0 | 6724 |
| Sep. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4717 | 4718 | 4719 | 4720 | 2721 | 0 | 0 | 0 | 6724 |
| O-D | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AdF | J-M | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 0 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2717 | 2718 | 2719 | 2720 | 0 | 0 | 0 | 0 | 3724 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 4721 | 0 | 0 | 0 | 9724 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 4721 | 0 | 0 | 0 | 9724 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 4721 | 0 | 0 | 0 | 9724 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 4721 | 0 | 0 | 0 | 9724 |
| Sep. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 717 | 718 | 719 | 720 | 4721 | 0 | 0 | 0 | 9724 |
| O-D | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trial 11 (37.5% JW-stock in sub-area 12SW in June): Hypothesis C
Y Stock, JW Stock, OW Stock and OE Stock, as for Baseline C (Matrix Y-BC, JW-C, JE-C, OW-C & OE-C)
JW Stock Trial C11 (Matrix JW-C11) Differences from the Baseline trial are highlighted.

| Age/ Mon | Sub-Area | | | | | | | | | | | | | | | | | | | | | |
|----------|----------|----|----|----|---|---|---|----|----|-----|------|-----|----|---|---|----|-----|-----|-----|------|------|------|
| sex | 1W | 1E | 2C | 2R | 3 | 4 | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9N | 10W | 10E | 11 | 12SW | 12NE | 13 |
| J-M | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 277 | 78 | 78 | 767 | 2737 |
| May | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 278 | 2737 | 2737 |
| Jun. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 279 | 2737 | 2737 |
| Jul. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Aug. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Sep. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 279 | 279 | 2737 | 2737 |
| O-D | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 279 | 279 | 2737 | 2737 |
| AdM | J-M | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 277 | 78 | 78 | 767 | 2737 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 278 | 2737 | 2737 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 279 | 2737 | 2737 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Sep. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| O-D | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| AdF | J-M | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| Apr. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 78 | 767 | 2737 |
| May | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 279 | 2737 | 2737 |
| Jun. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 276 | 277 | 78 | 279 | 2737 | 2737 |
| Jul. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Aug. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 79 | 279 | 2737 | 2737 |
| Sep. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4729 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |
| O-D | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 76 | 77 | 0 | 0 | 0 | 0 |

Trial 12 (No 'C' animals in sub-area 12NE): Hypothesis C
Y Stock, JW Stock, JE Stock, OW Stock and OE Stock, as for Baseline C (Matrix Y-BC, JW-C, JE-C, OW-C & OE-C)
C Stock Trial C12 (Matrix C-C12) outline shows the difference from Trial02.

| Age/ Mon | Sub-Area | | | | | | | | | | | | | | | |
|----------|----------|----|----|----|---|---|---|----|----|-----|-----|-----|----|---|---|---|
| sex | 1W | 1E | 2C | 2R | 3 | 4 | 5 | 6W | 6E | 7CS | 7CN | 7WR | 7E | 8 | 9 | 9 |

Trial 23 (Single I-stock): Hypothesis C

Y Stock, OW Stock and OE Stock: as for Baseline C (Matrix Y-BC, OW-C & OE-C)

J-Stock Trial C23 (Matrix J-C23): the highlighted cells correspond to the onth. difference with the I-stock matrix. Hyp. B

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr., May, Jun., Jul., Aug., Sep., O-D, Ad.M, Ad.F.

Trial 24 (Single O-stock): Hypothesis C

Y Stock, JW Stock & JE Stock: as for Baseline C (Matrix Y-BC, JW-C & JE-C)

O Stock Trial C24 (Matrix O-C24): Based primarily on OE, with some additions from OW in sub-areas where OW occurs without OE. Highlight shows the difference from the O-stock matrix of Hypothesis B. Note: this is a starting point which may

need to be revised after inspection of the results.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr., May, Jun., Jul., Aug., Sep., O-D, Ad.M, Ad.F.

Trial 15 (No 'OE' in 7WR): Hypothesis C

Y Stock, JW Stock, JE Stock and OW Stock: as for Baseline C (Matrix Y-BC, JW-C, JE-C & OW-C)

O Stock Trial C15 (Matrix OE-C15): Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr., May, Jun., Jul., Aug., Sep., O-D, Ad.M, Ad.F.

Hypothesis A Trials 21 (Abundance estimate in 5 = 'minimum')

22 (Abundance estimate in 5 = 'maximum')

29 (Abundance estimate in 6W = 'minimum')

30 (Abundance estimate in 6W = 'maximum')

O Stock: as for Baseline A (Matrix O-AB)

J-Stock Trial A11 (Matrix J-Am): Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include J-M, Apr., May, Jun., Jul., Aug., Sep., O-D, Ad.M, Ad.F.

Trial 27 (no age 1-4 whales in sub-area 9 (9N): Hypothesis A)

J Stock as for Baseline A (Matrix J-A)

O Stock Trial A27 (Matrix O-AB27) Differences from the Baseline trial are highlighted.

Table with columns for Age/Mon, Sex, and months (1W-12NE) showing stock counts and differences. Includes sub-area labels and various age groups.

Trial 27 (no age 1-4 whales in sub-area 9(9N): Hypothesis B)

Y Stock and J stock: As for Baseline B (Matrix Y-BC and J-B)

O Stock Trial B27 (Matrix O-AB27) as above

Trial 27 (no age 1-4 whales in sub-area 9(9N): Hypothesis C)

Y Stock, JW Stock, JE Stock and OW Stock: as for Baseline C (Matrix Y-BC, JW-C, JE-C & OW-C)

O Stock Trial C27 (Matrix OE-C27) Differences from the Baseline trial are highlighted.

Table with columns for Age/Mon, Sex, and months (1W-12NE) showing stock counts and differences for Hypothesis C.

Trial 26 (Substantially more O- or OE-stock ages 1-4 are found in sub-areas 2R, 3 & 4 year-round): Hypothesis A

J Stock as for Baseline A (Matrix J-A)

O Stock Trial A26 (Matrix O-AB26) Differences from the Baseline trial are highlighted.

Table with columns for Age/Mon, Sex, and months (1W-12NE) showing stock counts and differences for Hypothesis A.

Trial 26 (Substantially more O- or OE-stock ages 1-4 are found in sub-areas 2R, 3 & 4 year-round): Hypothesis B

Y Stock and J stock: As for Baseline B (Matrix Y-BC and J-B)

O Stock Trial B26 (Matrix O-AB26) as above

Trial 26 (Substantially more O- or OE-stock ages 1-4 are found in sub-areas 2R, 3 & 4 year-round): Hypothesis C

Y Stock, JW Stock, JE Stock and OW Stock: as for Baseline C (Matrix Y-BC, JW-C, JE-C & OW-C)

O Stock Trial C26 (Matrix OE-C26) Differences from the Baseline trial are highlighted.

Table with columns for Age/Mon, Sex, and months (1W-12NE) showing stock counts and differences for Hypothesis C.

Trial 28 (Number of 1+ whales in 2009 in sub-area 2C in any month <200): Hypothesis C
Y Stock, JW Stock, OI Stock and OE Stock: as for Baseline C (Matrix Y-BC, JW-C, OI-C, OE-C)
J Stock Trial C28 (Matrix J-C28) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include months (Juv, Apr, May, Jun, Jul, Aug, Sep, O-D) and age classes (AdLM, AdLF).

REFERENCE
Allison, C. and De Moor, C. 2010. NPM mixing matrices - a strawman (in 2 parts). Paper SCD10/NPM14 presented to the First Interseasonal Workshop for Western North Pacific Common Minke Whales, 14-17 December 2010, Pusan, Republic of Korea (unpublished), 28pp. [Paper available from the Office of this Journal]

Trial 28 (Number of 1+ whales in 2009 in sub-area 2C in any month <200): Hypothesis A
O Stock: as for Baseline A (Matrix O-AB)
J Stock Trial A28 (Matrix J-A28) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include months (Juv, Apr, May, Jun, Jul, Aug, Sep, O-D) and age classes (AdLM, AdLF).

Trial 28 (Number of 1+ whales in 2009 in sub-area 2C in any month <200): Hypothesis B
Y Stock, O Stock: as for Baseline B (Matrix Y-BC, O-AB)
J Stock Trial B28 (Matrix J-B28) Differences from the Baseline trial are highlighted.

Table with columns: Age/ Mon, sex, 1W, 1E, 2C, 2R, 3, 4, 5, 6W, 6E, 7CS, 7CN, 7WR, 7E, 8, 9, 9N, 10W, 10E, 11, 12SW, 12NE, 13. Rows include months (Juv, Apr, May, Jun, Jul, Aug, Sep, O-D) and age classes (AdLM, AdLF).

Adjunct 3

Calculation of stock mixing proportions, including correction for 'missing alleles': unpooled results

C.L. de Moor

This document details the stock mixing proportions by month and sex as circulated to the Steering Group for the *Implementation Review* of western North Pacific common minke whales on 26th August 2011 together with an update to those circulated results for sub-area 6W following comments from the 'G3 Review Group'.

In anticipation of sensitivity tests to Hypothesis C assuming a single J-stock with Y-, OW-, and OE-stocks, and a single O-stock with Y-, JW-, and JE-stocks, mixing proportions in sub-areas affected by this alternative have been included at the end of the document.

This adjunct is a cut down version of De Moor (2011)(rev). Details of an alternative O-stock (the O2 stock) are given in De Moor (2011)(rev) but are not included here because the results for the two O-stock definitions were essentially identical, trials would only be conducted using the original definition of the O-stock (IWC, 2013).

PURE STOCK DEFINITIONS

Table 1

The nomination of samples representative of 'pure' stocks for the purpose of estimating mixing proportions.

| Stock | Hypotheses A and B | | Hypothesis C | |
|----------|---------------------------------------|----------|--|----------|
| | Location/months to define pure sample | Stock | Location/months to define pure sample | Stock |
| Y-stock | 5 (all months) | Y-stock | 5 (all months) | Y-stock |
| JW-stock | 6E (all months) | JW-stock | 6E (all months) | JW-stock |
| JE-stock | 7WR, 7E, 8 (all months) | JE-stock | 2C (Jul.-Dec.) | JE-stock |
| OW-stock | | OW-stock | 7CN (Jun.) [=8.8NM] | OW-stock |
| OE-stock | | OE-stock | 8 and 9 (all months) [excluding 9 in 1995] | OE-stock |

Table 2

Pure stock sample sizes.

| Stock | Sample size | | Loci | |
|---------|-------------|-------------|------------|-------------|
| | Haplotypes | Sample size | Haplotypes | Sample size |
| Pure Y | 58 | 58 | 58 | 58 |
| Pure J | 392 | 392 | 392 | 392 |
| Pure O | 342 | 342 | 342 | 342 |
| Pure JW | 392 | 392 | 392 | 392 |
| Pure JE | 83 | 83 | 83 | 83 |
| Pure OW | 99 | 99 | 99 | 99 |
| Pure OE | 589 | 589 | 589 | 589 |

Notation in this document:

- (1) In most cases samples are obtained from 16 loci. In sub-areas 5 and 6W samples from the first 11 loci only are available. In each table a (x16) or (x11) is given next to the Loci Sample Size indicating the number of loci used in the calculation of the mixing proportion. In some cases there was a missing value in a sample at a particular loci. Thus, for example if the total sample size were 50, for one of the loci (the 10th) the sample size is 49. This is noted by saying eg '50 with 49 at 10th.'
- (2) In cases where a mixing proportion should indicate a pure stock, it is given in **bold italic**. A one-sided t-test has been carried out on all of these cases. If the hypothesis of a pure stock (proportion = 1 or 0) is rejected with $\alpha=0.05$, then the proportion is underlined. For sub-areas where the mixing matrix assumes only a single stock, even though the sub-area is not used in the definition of a pure stock, similar tests are carried out and given in grey highlight. The one-sided t-test is not conducted if the sample size is 1 or if SE<0.001, but if the mixing proportion is 1.000 or 0.000 as expected the hypothesis of a pure stock is taken to not be rejected.
- (3) In cases where a pooled mixing proportion is directly comparable to that in Working Paper 2 from SC/63, a '&#' is used to denote cases where the updated mixing proportion differs from that previously used in conditioning by more than 0.05.

SUB-AREA 5 (bycatch data only, 58 samples)
Pure Y defined in sub-area 5 in all months, for Hypotheses B and C.
Mixing matrices assume J-stock present in all months in sub-area 5 for Hypothesis A

| Hyp A: Proportion of J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|--------------------------------------|-------------|-----------------------|-------|--|-----------------|-------|
| Jan-Mar Males | 5 | 1.000 | 0.005 | 5 with 4 at 7 th and 11 th | 1.000 | 0.000 |
| Apr | 1 | 1.000 | 0.009 | 1 | 0.981 | 0.267 |
| May | 9 | 1.000 | 0.001 | 9 | 0.943 | 0.052 |
| Jun | 12 | 1.000 | 0.001 | 12 with 11 and 11 th | 0.950 | 0.042 |
| Jul | 6 | 1.000 | 0.004 | 6 | 0.904 | 0.076 |
| Aug | 4 | 1.000 | 0.005 | 4 | 0.857 | 0.087 |
| Sep | 3 | 1.000 | 0.025 | 3 with 2 at 11 th | 0.302 | 0.164 |
| Oct-Dec | 11 | 1.000 | 0.001 | 11 with 10 at 7 th & 11 th | 0.939 | 0.054 |
| Jan-Mar Females | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Apr | 0 | | | 0 | | |
| May | 1 | 1.000 | 0.010 | 1 | 0.999 | 0.000 |
| Jun | 1 | 1.000 | 0.009 | 1 | 1.000 | 0.000 |
| Jul | 0 | | | 0 | | |
| Aug | 0 | | | 0 | | |
| Sep | 0 | | | 0 | | |
| Oct-Dec | 2 | 1.000 | 0.007 | 2 | 1.000 | 0.000 |
| Summary: all data | 58 | 1.000 | 0.000 | 58 with 56 at 7 th and 54 at 11 th | 0.919 | 0.023 |

| Hyp B & C: Proportion of J/JW mixing with Y | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|--|-----------------|-------|
| Jan-Mar Males | 5 | 0.000 | 0.007 | 5 with 4 at 7 th and 11 th | 0.001 | 0.000 |
| Apr | 1 | 0.990 | 8.911 | 1 | 0.001 | 0.000 |
| May | 9 | 0.000 | 0.007 | 9 | 0.447 | 0.210 |
| Jun | 12 | 0.268 | 0.398 | 12 with 11 and 11 th | 0.001 | 0.000 |
| Jul | 6 | 0.000 | 0.007 | 6 | 0.001 | 0.000 |
| Aug | 4 | 0.000 | 0.009 | 4 | 0.027 | 0.287 |
| Sep | 3 | 0.000 | 0.027 | 3 with 2 at 11 th | 0.001 | 0.000 |
| Oct-Dec | 11 | 0.000 | 0.026 | 11 with 10 at 7 th & 11 th | 0.001 | 0.000 |
| Jan-Mar Females | 3 | 0.000 | 0.010 | 3 | 0.445 | 0.425 |
| Apr | 0 | | | 0 | | |
| May | 1 | 0.000 | 0.041 | 1 | 0.001 | 0.000 |
| Jun | 1 | 0.998 | 0.208 | 1 | 0.052 | 0.531 |
| Jul | 0 | | | 0 | | |
| Aug | 0 | | | 0 | | |
| Sep | 0 | | | 0 | | |
| Oct-Dec | 2 | 0.000 | 0.056 | 2 | 0.000 | 0.000 |
| Summary: all data | 58 | 0.000 | 0.004 | 58 with 56 at 7 th and 54 at 11 th | 0.000 | 0.000 |

SUB-AREA 6W (bycatch data only, 415 samples)
 Not used for definition of a pure stock.
 Mixing matrices assume only J stock for Hyp A.
 Mixing matrices assume mixing in this sub-area between J/JW and Y year round for Hyp B and C.
 Comments: Some mixing from Oct.-Jun.

| Hyp A: Proportion of J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|--------------------------------------|-------------|-----------------------|-------|--|-----------------|-------|
| Jan.-Mar. Males | 83 | 0.993 | 0.013 | 83 with 81 in 1 st | 0.937 | 0.018 |
| Apr. | 37 | 1.000 | 0.001 | 37 with 36 in 1 st | 0.978 | 0.019 |
| May | 41 | 1.000 | 0.001 | 41 with 40 in 8 th | 0.982 | 0.017 |
| Jun. | 43 | 1.000 | 0.001 | 43 | 0.966 | 0.022 |
| Jul. | 21 | 1.000 | 0.001 | 21 | 0.898 | 0.049 |
| Aug. | 16 | 1.000 | 0.001 | 16 with 15 in 1 st | 0.999 | 0.000 |
| Sep. | 20 | 1.000 | 0.001 | 20 with 18 in 1 st | 0.793 | 0.053 |
| Oct.-Dec. | 97 | 1.000 | 0.000 | 97 with 96 in 7 th and 94 in 11 th | 0.971 | 0.015 |
| Jan.-Mar. Females | 13 | 0.921 | 0.077 | 13 with 12 in 6 th | 0.778 | 0.061 |
| Apr. | 3 | 1.000 | 0.005 | 3 | 0.931 | 0.072 |
| May | 7 | 1.000 | 0.004 | 7 | 0.860 | 0.083 |
| Jun. | 10 | 1.000 | 0.003 | 10 | 0.820 | 0.072 |
| Jul. | 1 | 1.000 | 0.009 | 1 | 0.959 | 0.338 |
| Aug. | 4 | 1.000 | 0.005 | 4 | 0.958 | 0.049 |
| Sep. | 6 | 1.000 | 0.004 | 6 with 5 in 9 th | 0.872 | 0.078 |
| Oct.-Dec. | 13 | 1.000 | 0.003 | 13 with 12 in 1 st , 6 th and 406 in 1 st | 1.000 | 0.000 |
| Summary: all data | 415 | 0.997 | 0.003 | | 0.937 | 0.008 |

SUB-AREA 1E (bycatch data only, 22 samples)
 Not used for definition of a pure stock.
 Mixing matrices assume no mixing in this sub-area - only J/JW.
 Comments: Low sample size, but some mixing in Apr./May.

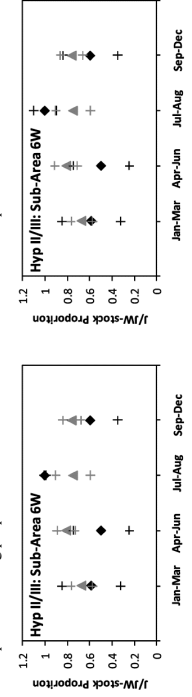
| Hyp A: Proportion of J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--------------------------------------|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 4 | 1.000 | 0.005 | 4 | 0.977 | 0.076 |
| Apr. | 4 | 0.750 | 0.212 | 4 | 0.652 | 0.107 |
| May | 0 | | | 0 | | |
| Jun. | 1 | 1.000 | 0.009 | 1 | 0.999 | 0.000 |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 0 | | | 0 | | |
| Jan.-Mar. Females | 7 | 1.000 | 0.002 | 7 | 0.999 | 0.000 |
| Apr. | 1 | 1.000 | 0.009 | 1 | 0.999 | 0.000 |
| May | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Jun. | 0 | | | 0 | | |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 2 | 1.000 | 0.007 | 2 | 0.999 | 0.000 |
| Summary: all data | 22 | 0.954 | 0.045 | | 0.930 | 0.030 |

| Hyp B & C: Proportion of J/JW mixing with Y | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 4 | 0.000 | 0.010 | 4 | 0.999 | 0.000 |
| Apr. | 4 | 0.464 | 0.380 | 4 | 0.600 | 0.216 |
| May | 0 | | | 0 | | |
| Jun. | 1 | 0.000 | 0.031 | 1 | 0.999 | 0.000 |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 7 | 1.000 | 0.022 | 7 | 0.559 | 0.253 |
| Jan.-Mar. Females | 1 | 0.998 | 0.208 | 1 | 1.000 | 0.000 |
| Apr. | 3 | 0.755 | 0.954 | 3 | 0.397 | 0.336 |
| May | 0 | | | 0 | | |
| Jun. | 0 | | | 0 | | |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 2 | 1.000 | 0.032 | 2 | 1.000 | 0.000 |
| Summary: all data | 22 | 0.655 | 0.268 | | 0.776 | 0.109 |

Pooling for input to conditioning:

| Hyp B and C: Proportion of J/JW mixing with Y | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|--|-----------------|-------|
| Jan.-Mar. M F | 96 | 0.584 | 0.131 | 96 with 95 in 6 th , 94 in 1 st | 0.672 | 0.047 |
| Apr.-Jun. M F | 141 | 0.496 | 0.126 | 141 with 140 in 1 st , 8 th | 0.812 | 0.04 |
| Jul.-Aug. M F | 42 | 1.000 | 0.004 | 42 with 41 in 1 st | 0.749 | 0.077 |
| Sep.-Dec. M F | 136 | 0.593 | 0.123 | 136 with 135 in 7 th , 9 th , 130 in 1 st | 0.761 | 0.04 |
| Summary: all data | 415 | 0.625 | 0.069 | 415 with 414 in 1 st , 6 th and 406 in 1 st | 0.776 | 0.109 |

Plots of pooled mixing proportions for J/JW-stock with O/Y-stock. RH plots are with a minimum 0.05 SE.



SUB-AREA 10E (bycatch data only, 9 samples)
Not used for definition of a pure stock.
Mixing matrices assume no mixing in this sub-area - only J/JW-stock year-round.

| Hyp A & B: Proportion of J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. Females | 5 | 1.000 | 0.004 | 5 | 0.999 | 0.000 |
| Jan.-Mar. | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. | 4 | 1.000 | 0.005 | 4 | 0.886 | 0.098 |
| Jan.-Dec. M+F | 9 | 1.000 | 0.001 | 9 | 0.985 | 0.051 |

SUB-AREA 6E (bycatch data only, 392 samples)
Pure J/JW-stock defined in sub-area 6E in all months for all Hypotheses

| Hyp A & B: Proportion of J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 63 | 1.000 | 0.000 | 63 | 1.000 | 0.000 |
| Apr. | 24 | 1.000 | 0.001 | 24 | 1.000 | 0.000 |
| May | 23 | 1.000 | 0.001 | 23 | 1.000 | 0.000 |
| Jun. | 9 | 1.000 | 0.005 | 9 | 0.999 | 0.000 |
| Jul. | 9 | 1.000 | 0.001 | 9 | 1.000 | 0.000 |
| Aug. | 12 | 1.000 | 0.001 | 12 | 1.000 | 0.000 |
| Sep. | 9 | 1.000 | 0.001 | 9 | 1.000 | 0.000 |
| Oct.-Dec. Females | 39 | 1.000 | 0.001 | 39 | 0.999 | 0.000 |
| Jan.-Mar. | 64 | 1.000 | 0.008 | 64 | 0.999 | 0.000 |
| Apr. | 31 | 1.000 | 0.001 | 31 | 0.999 | 0.000 |
| May | 22 | 1.000 | 0.001 | 22 | 0.999 | 0.000 |
| Jun. | 16 | 1.000 | 0.001 | 16 | 0.999 | 0.000 |
| Jul. | 7 | 1.000 | 0.002 | 7 | 1.000 | 0.000 |
| Aug. | 12 | 1.000 | 0.001 | 12 | 1.000 | 0.000 |
| Sep. | 4 | 1.000 | 0.002 | 4 | 0.999 | 0.000 |
| Oct.-Dec. | 48 | 1.000 | 0.001 | 48 | 1.000 | 0.000 |
| Jan.-Dec. M+F | 392 | 1.000 | 0.000 | 392, 391 in 13th | 1.000 | 0.000 |

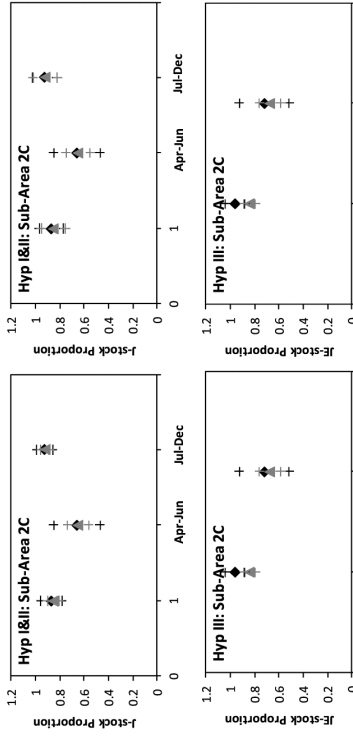
| Hyp B & C: Proportion of J/JW mixing with Y | Sample size | Proportion Haplotypes | SE | Sample size (x11) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 63 | 1.000 | 0.003 | 63 | 0.999 | 0.000 |
| Apr. | 24 | 1.000 | 0.015 | 24 | 0.956 | 0.143 |
| May | 23 | 1.000 | 0.004 | 23 | 0.999 | 0.000 |
| Jun. | 9 | 0.720 | 0.609 | 9 | 0.967 | 0.230 |
| Jul. | 9 | 1.000 | 0.031 | 9 | 0.999 | 0.000 |
| Aug. | 12 | 1.000 | 0.006 | 12 | 0.999 | 0.000 |
| Sep. | 9 | 1.000 | 0.019 | 9 | 0.999 | 0.000 |
| Oct.-Dec. Females | 39 | 1.000 | 0.005 | 39 | 0.999 | 0.000 |
| Jan.-Mar. | 64 | 1.000 | 0.003 | 64 | 1.000 | 0.000 |
| Apr. | 31 | 0.927 | 0.261 | 31 | 0.999 | 0.000 |
| May | 22 | 0.950 | 0.326 | 22 | 0.999 | 0.000 |
| Jun. | 16 | 0.506 | 0.535 | 16 | 0.999 | 0.000 |
| Jul. | 7 | 1.000 | 0.027 | 7 | 1.000 | 0.000 |
| Aug. | 12 | 1.000 | 0.007 | 12 | 0.991 | 0.172 |
| Sep. | 4 | 0.600 | 0.028 | 4 | 0.999 | 0.000 |
| Oct.-Dec. | 48 | 0.893 | 0.197 | 48 | 0.999 | 0.000 |
| Jan.-Dec. M+F | 392 | 1.000 | 0.002 | 392 | 1.000 | 0.000 |

| Hyp C: Proportion of JW mixing with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 63 | 1.000 | 0.002 | 63 | 1.000 | 0.000 |
| Apr. | 24 | 1.000 | 0.003 | 24 | 0.999 | 0.000 |
| May | 23 | 1.000 | 0.004 | 23 | 1.000 | 0.000 |
| Jun. | 9 | 0.859 | 0.375 | 9 | 0.562 | 0.302 |
| Jul. | 9 | 0.734 | 0.429 | 9 | 1.000 | 0.000 |
| Aug. | 12 | 1.000 | 0.007 | 12 | 1.000 | 0.000 |
| Sep. | 9 | 1.000 | 0.032 | 9 | 0.494 | 0.308 |
| Oct.-Dec. Females | 39 | 1.000 | 0.004 | 39 | 1.000 | 0.000 |
| Jan.-Mar. | 64 | 0.993 | 0.099 | 64 | 0.999 | 0.000 |
| Apr. | 31 | 0.906 | 0.204 | 31 | 0.999 | 0.000 |
| May | 22 | 0.856 | 0.227 | 22 | 0.999 | 0.000 |
| Jun. | 16 | 0.264 | 0.472 | 16 | 1.000 | 0.000 |
| Jul. | 7 | 0.600 | 0.032 | 7 | 0.999 | 0.000 |
| Aug. | 12 | 0.317 | 0.554 | 12 | 0.983 | 0.191 |
| Sep. | 4 | 1.000 | 0.009 | 4 | 0.999 | 0.000 |
| Oct.-Dec. | 48 | 1.000 | 0.004 | 48 | 0.999 | 0.000 |
| Jan.-Dec. M+F | 392 | 1.000 | 0.002 | 392, 391 in 13th | 0.999 | 0.000 |

| Hyp C: Proportion of JW mixing with JE | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. Females | 5 | 0.791 | 0.648 | 5 | 0.999 | 0.000 |
| Jan.-Mar. | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. | 4 | 1.000 | 0.022 | 4 | 0.999 | 0.000 |
| Jan.-Dec. M+F | 9 | 1.000 | 0.039 | 9 | 0.999 | 0.000 |

| Hyp C: Proportion of JW mixing with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. Females | 5 | 1.000 | 0.004 | 5 | 0.999 | 0.000 |
| Jan.-Mar. | 0 | | | | | |
| Apr. | 0 | | | | | |
| May | 0 | | | | | |
| Jun. | 0 | | | | | |
| Jul. | 0 | | | | | |
| Aug. | 0 | | | | | |
| Sep. | 0 | | | | | |
| Oct.-Dec. | 4 | 1.000 | 0.005 | 4 | 0.912 | 0.092 |
| Jan.-Dec. M+F | 9 | 1.000 | 0.001 | 9 | 0.994 | 0.043 |

Plots of pooled mixing proportions for J/E-stock with O/OW-stock. RH plots are with a minimum 0.05 SE:



SUB-AREA 7CS (bycatch data, 116 samples; scientific permit data, 321 samples; used separately)

Not used for definition of a pure stock.

Mixing matrices assume mixing between J/E and O/OH year-round for all hypotheses.

| Hyp A and B (BYCATCH): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 7 | 0.914 | 0.147 | 7 | 0.999 | 0.000 |
| Apr. | 9 | 0.076 | 0.110 | 9 | 0.245 | 0.078 |
| May | 15 | 0.192 | 0.108 | 15 | 0.236 | 0.062 |
| Jun. | 5 | 0.156 | 0.189 | 5 | 0.256 | 0.105 |
| Jul. | 2 | 0.496 | 0.357 | 2 | 0.527 | 0.150 |
| Aug. | 2 | 1.000 | 0.007 | 2 | 0.999 | 0.000 |
| Sep. | 1 | 1.000 | 0.009 | 1 | 1.000 | 0.000 |
| Oct.-Dec. | 14 | 0.793 | 0.114 | 14 | 0.819 | 0.053 |
| Jan.-Mar. Females | 10 | 0.493 | 0.160 | 10 | 0.454 | 0.079 |
| Apr. | 10 | 0.327 | 0.161 | 10 | 0.202 | 0.078 |
| May | 9 | 0.112 | 0.106 | 9 | 0.050 | 0.067 |
| Jun. | 13 | 0.399 | 0.143 | 13 | 0.421 | 0.069 |
| Jul. | 4 | 0.740 | 0.225 | 4 | 0.658 | 0.106 |
| Aug. | 2 | 0.501 | 0.354 | 2 | 0.518 | 0.167 |
| Sep. | 1 | 0.000 | 0.009 | 1 | 0.014 | 0.238 |
| Oct.-Dec. | 12 | 0.916 | 0.083 | 12 | 0.923 | 0.047 |
| Jan.-Dec. M+F | 116 | 0.473 | 0.048 | 116 | 0.494 | 0.022 |

| Hyp C (BYCATCH): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 7 | 0.953 | 0.186 | 7 | 0.878 | 0.062 |
| Apr. | 9 | 0.165 | 0.151 | 9 | 0.305 | 0.084 |
| May | 15 | 0.175 | 0.158 | 15 | 0.252 | 0.066 |
| Jun. | 5 | 0.000 | 0.022 | 5 | 0.275 | 0.103 |
| Jul. | 2 | 0.000 | 0.023 | 2 | 0.481 | 0.154 |
| Aug. | 2 | 1.000 | 0.008 | 2 | 0.999 | 0.000 |
| Sep. | 1 | 1.000 | 0.010 | 1 | 0.751 | 0.168 |
| Oct.-Dec. | 14 | 0.905 | 0.093 | 14 | 0.806 | 0.059 |
| Jan.-Mar. Females | 10 | 0.597 | 0.210 | 10 | 0.459 | 0.078 |
| Apr. | 10 | 0.494 | 0.217 | 10 | 0.244 | 0.080 |
| May | 9 | 0.001 | 0.074 | 9 | 0.069 | 0.080 |
| Jun. | 13 | 0.358 | 0.175 | 13 | 0.457 | 0.070 |
| Jul. | 4 | 0.816 | 0.333 | 4 | 0.685 | 0.111 |
| Aug. | 2 | 1.000 | 0.020 | 2 | 0.494 | 0.191 |
| Sep. | 1 | 0.000 | 0.009 | 1 | 0.181 | 0.255 |
| Oct.-Dec. | 12 | 1.000 | 0.001 | 12 | 0.824 | 0.055 |
| Jan.-Dec. M+F | 116 | 0.546 | 0.062 | 116 | 0.498 | 0.023 |

SUB-AREA 2C (bycatch data only, 180 samples)
 Pure JE defined in sub-area 2C in Jul.-Dec. for Hypothesis C.
 Hyp A and B - mixing between J and O assumed in Oct.-Mar. for adults and year-round for juveniles (bycatch data is primarily from juveniles)
 Hyp C - mixing matrices assume mixing between JE and O/OW-stock from Jan.-Jun.

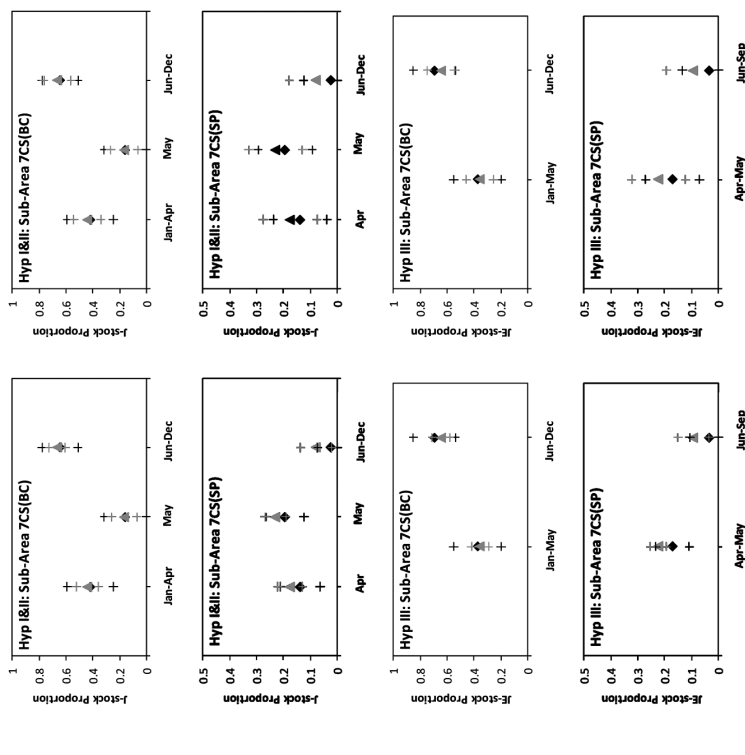
| Hyp A & B: Proportion J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|-------------|-----------------------|-------|---|-----------------|-------|
| Jan.-Mar. Males | 22 | 0.796 | 0.091 | 22 | 0.756 | 0.043 |
| Apr. | 5 | 0.390 | 0.224 | 5 | 0.279 | 0.123 |
| May | 5 | 0.447 | 0.250 | 5 | 0.533 | 0.100 |
| Jun. | 1 | 1.000 | 0.009 | 1 | 0.979 | 0.174 |
| Jul. | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Aug. | 2 | 1.000 | 0.007 | 2 | 1.000 | 0.000 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 27 | 0.866 | 0.070 | 27 | 0.844 | 0.037 |
| Jan.-Mar. Females | 46 | 0.902 | 0.047 | 46 with 45 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.917 | 0.028 |
| Apr. | 3 | 1.000 | 0.005 | 3 | 0.989 | 0.080 |
| May | 10 | 0.707 | 0.150 | 10 | 0.679 | 0.077 |
| Jun. | 5 | 0.781 | 0.249 | 5 | 0.763 | 0.093 |
| Jul. | 10 | 1.000 | 0.001 | 10 | 0.999 | 0.000 |
| Aug. | 3 | 0.664 | 0.296 | 3 | 0.784 | 0.126 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 38 | 0.943 | 0.039 | 38 | 0.949 | 0.020 |
| Jan.-Dec. M+F | 180 | 0.863 | 0.027 | 180 with 179 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.853 | 0.014 |

| Hyp C: Proportion JE mixing with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|-------------------------------------|-------------|-----------------------|-------|---|-----------------|-------|
| Jan.-Mar. | 22 | 0.897 | 0.077 | 22 | 0.962 | 0.011 |
| Apr. | 5 | 0.452 | 0.29 | 5 | 0.935 | 0.029 |
| May | 5 | 0.744 | 0.236 | 5 | 0.912 | 0.035 |
| Jun. | 1 | 1.000 | 0.01 | 1 | 0.959 | 0.046 |
| Jul. | 3 | 1.000 | 0.006 | 3 | 1.000 | 0.000 |
| Aug. | 2 | 1.000 | 0.008 | 2 | 0.999 | 0.000 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 27 | 1.000 | 0.001 | 27 | 0.999 | 0.000 |
| Jan.-Mar. Males | 46 | 0.932 | 0.045 | 46 with 45 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.983 | 0.005 |
| Apr. | 3 | 1.000 | 0.006 | 3 | 0.987 | 0.019 |
| May | 10 | 0.648 | 0.174 | 10 | 0.979 | 0.013 |
| Jun. | 5 | 0.766 | 0.217 | 5 | 0.949 | 0.025 |
| Jul. | 10 | 1.000 | 0.001 | 10 | 1.000 | 0.000 |
| Aug. | 3 | 1.000 | 0.006 | 3 | 0.999 | 0.000 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 38 | 1.000 | 0.001 | 38 | 1.000 | 0.000 |
| Jan.-Dec. M+F | 180 | 0.938 | 0.025 | 180 with 179 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.887 | 0.014 |

Pooling for input to conditioning:

| Hyp A & B: Proportion J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|-------------|-----------------------|-------|--------------------------|-----------------|-------|
| Jan.-Mar. MF | 68 | 0.868 | 0.043 | 67,68,67,68,68,67,68, | 0.853 | 0.023 |
| Apr.-Jun. MF | 29 | 0.660 | 0.095 | 68,68,68,68,67,67,68,68 | 0.648 | 0.043 |
| Jul.-Dec. MF | 83 | 0.923 | 0.032 | 29 | 0.920 | 0.017 |
| Jan.-Mar. MF | 68 | 0.960 | 0.039 | 67,68,67,67,68,68,67,68, | 0.840 | 0.023 |
| Apr.-Jun. MF | 29 | 0.721 | 0.103 | 68,68,68,68,67,67,68,68 | 0.672 | 0.044 |
| Jul.-Dec. MF | 83 | 0.923 | 0.032 | 29 | 0.920 | 0.017 |

Plots of pooled mixing proportions for J/IE-stock mixing with O/OW-stock. RH plots are with a minimum 0.05 SE:



SUB-AREA 7CN (bycatch data, 96 samples; scientific permit data (>2nm), 502 samples; used separately)

Not used for definition of a pure stock

Hyp A and B – mixing between J and O year-round

Hyp C – mixing between JE and O/OW-Apr-Dec.

Comments: Higher proportion of O/OW in SP (offshore) samples compared to BC (coastal) samples. Lower proportion of O/OW in BC&SP (coastal and offshore) during the 2nd half of the year.

| Hyp A & B (BYCATCH): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | 3 | 1.000 | 0.005 | 3 | 0.993 | 0.122 |
| Jan-Mar. | 4 | 0.481 | 0.259 | 4 | 0.333 | 0.122 |
| Apr. | 4 | 0.754 | 0.236 | 4 | 0.751 | 0.124 |
| May | 7 | 0.291 | 0.202 | 7 | 0.502 | 0.095 |
| Jun. | 5 | 0.601 | 0.232 | 5 | 0.555 | 0.115 |
| Jul. | 3 | 0.301 | 0.291 | 3 | 0.260 | 0.127 |
| Aug. | 2 | 1.000 | 0.023 | 2 | 0.633 | 0.164 |
| Sep. | 9 | 1.000 | 0.001 | 9 | 0.977 | 0.027 |
| Oct-Dec. | 3 | 1.000 | 0.005 | 3 | 1.000 | 0.000 |
| Females | 6 | 0.296 | 0.205 | 6 | 0.450 | 0.094 |
| Jan-Mar. | 12 | 0.153 | 0.110 | 12 | 0.180 | 0.067 |
| Apr. | 15 | 0.593 | 0.130 | 15 | 0.612 | 0.060 |
| May | 8 | 0.393 | 0.201 | 8 | 0.286 | 0.086 |
| Jun. | 2 | 1.000 | 0.007 | 2 | 1.000 | 0.000 |
| Jul. | 2 | 0.482 | 0.373 | 2 | 0.632 | 0.212 |
| Aug. | 11 | 1.000 | 0.003 | 11 | 0.824 | 0.060 |
| Sep. | 96 | 0.591 | 0.054 | 96 | 0.582 | 0.025 |
| Oct-Dec. | M+F | | | | | |

| Hyp A and B (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | 0 | | | 0 | | |
| Jan-Mar. | 44 | 0.250 | 0.072 | 44 | 0.289 | 0.037 |
| Apr. | 85 | 0.184 | 0.047 | 85 | 0.258 | 0.027 |
| May | 40 | 0.000 | 0.001 | 40 | 0.026 | 0.034 |
| Jun. | 0 | | | 0 | | |
| Jul. | 3 | 0.297 | 0.288 | 3 | 0.338 | 0.153 |
| Aug. | 1 | 0.000 | 0.009 | 1 | 0.241 | 0.268 |
| Sep. | 0 | | | 0 | | |
| Oct-Dec. | 321 | 0.144 | 0.022 | 321 | 0.185 | 0.013 |
| Females | 0 | | | 0 | | |
| Jan-Mar. | 66 | 0.069 | 0.035 | 66 | 0.098 | 0.027 |
| Apr. | 72 | 0.205 | 0.052 | 72 | 0.196 | 0.028 |
| May | 9 | 0.111 | 0.105 | 9 | 0.153 | 0.064 |
| Jun. | 0 | | | 0 | | |
| Jul. | 1 | 0.000 | 0.012 | 1 | 0.147 | 0.232 |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct-Dec. | 321 | 0.144 | 0.022 | 321 | 0.185 | 0.013 |

| Hyp C (SP): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | 0 | | | 0 | | |
| Jan-Mar. | 44 | 0.208 | 0.083 | 44 | 0.299 | 0.036 |
| Apr. | 85 | 0.198 | 0.057 | 85 | 0.270 | 0.027 |
| May | 40 | 0.000 | 0.001 | 40 | 0.055 | 0.033 |
| Jun. | 0 | | | 0 | | |
| Jul. | 3 | 1.000 | 0.02 | 3 | 0.348 | 0.160 |
| Aug. | 1 | 0.998 | 0.112 | 1 | 0.001 | 0.000 |
| Sep. | 0 | | | 0 | | |
| Oct-Dec. | 321 | 0.149 | 0.027 | 321 | 0.201 | 0.013 |
| Females | 0 | | | 0 | | |
| Jan-Mar. | 66 | 0.056 | 0.039 | 66 | 0.141 | 0.029 |
| Apr. | 72 | 0.220 | 0.063 | 72 | 0.188 | 0.029 |
| May | 9 | 0.200 | 0.182 | 9 | 0.192 | 0.072 |
| Jun. | 0 | | | 0 | | |
| Jul. | 1 | 0.000 | 0.011 | 1 | 0.093 | 0.209 |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct-Dec. | 321 | 0.149 | 0.027 | 321 | 0.201 | 0.013 |

Pooling for input to conditioning:

| Hyp A and B (BC): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| M F | 36 | 0.419 | 0.086 | 36 | 0.441 | 0.041 |
| Jan-Apr. | 24 | 0.160 | 0.078 | 24 | 0.168 | 0.047 |
| May | 56 | 0.645 | 0.067 | 56 | 0.664 | 0.030 |
| Jun-Dec. | | | | | | |

| Hyp A and B (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| M F | 110 | 0.138 | 0.037 | 110 | 0.176 | 0.023 |
| Jan-May | 157 | 0.194 | 0.035 | 157 | 0.230 | 0.019 |
| Jun-Sep. | 54 | 0.025 | 0.024 | 54 | 0.079 | 0.029 |

| Hyp C (BC): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| M F | 60 | 0.375 | 0.088 | 60 | 0.356 | 0.032 |
| Jan-May | 56 | 0.696 | 0.078 | 56 | 0.646 | 0.032 |
| Jun-Dec. | | | | | | |

| Hyp C (SP): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|--------------------|-------|
| M F | 267 | 0.171 | 0.031 | 267 | 0.223 ⁴ | 0.015 |
| Jan-Sep. | 54 | 0.034 | 0.036 | 54 | 0.093 ⁴ | 0.029 |

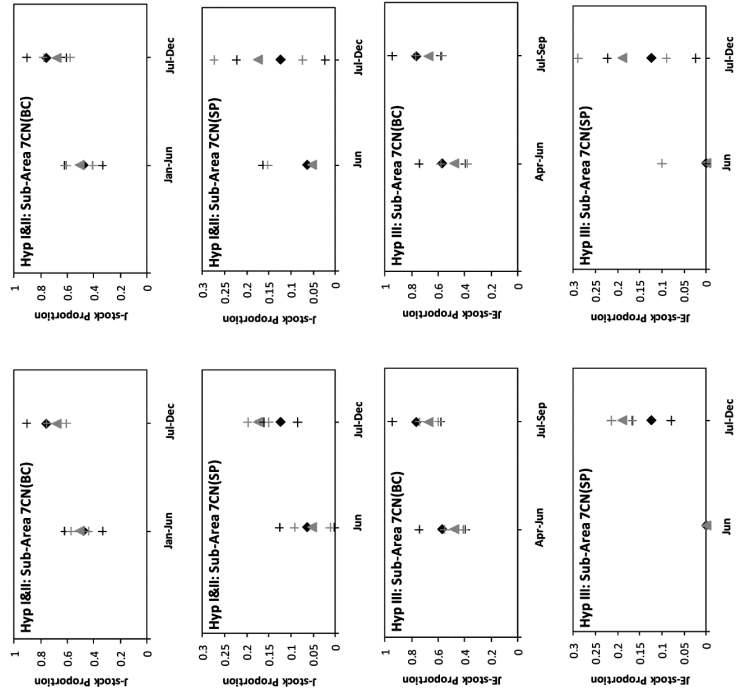
Pooling for input to conditioning:

| Hyp A & B (BYCATCH): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Jun. M F | 54 | 0.477 | 0.071 | 54 | 0.507 | 0.033 |
| Jul.-Dec. M F | 42 | 0.758 | 0.074 | 42 | 0.680 | 0.036 |

| Hyp C (BYCATCH): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. M F | 6 | 1.000 | 0.004 | 6 | 0.905 | 0.070 |
| Apr.-Jun. M F | 48 | 0.486* | 0.095 | 48 | 0.426 | 0.037 |
| Jan.-Jun. M F | 54 | 0.569 | 0.087 | 54 | 0.480 | 0.035 |
| Jul.-Dec. M F | 42 | 0.764 | 0.091 | 42 | 0.670 | 0.036 |

| Hyp C (SP): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Jun. M F | 99 | 0.000 | 0.000 | 99 | 0.000 | 0.000 |
| Jul.-Dec. M F | 403 | 0.119 | 0.022 | 403 | 0.190* | 0.012 |

Plots of pooled mixing proportions for J/JE-stock mixing with O/OW-stock. RH plots are with a minimum 0.05 SE:



*This proportion corresponded to the original assumption of no OW-stock in 7CN in Jan-Mar. Trial C31 tests sensitivity to alternative mixing proportions corresponding to this assumption.

| Hyp C (BYCATCH): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 3 | 1.000 | 0.006 | 3 | 0.848 | 0.107 |
| Apr. Males | 4 | 0.100 | 0.421 | 4 | 0.307 | 0.129 |
| May Males | 4 | 0.806 | 0.363 | 4 | 0.734 | 0.133 |
| Jun. Males | 7 | 0.165 | 0.291 | 7 | 0.453 | 0.098 |
| Jul. Males | 5 | 0.554 | 0.287 | 5 | 0.532 | 0.112 |
| Aug. Males | 3 | 0.492 | 0.550 | 3 | 0.242 | 0.125 |
| Sep. Males | 2 | 1.000 | 0.021 | 2 | 0.664 | 0.164 |
| Oct.-Dec. Males | 9 | 1.000 | 0.003 | 9 | 0.957 | 0.040 |
| Jan.-Mar. Females | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Apr. Females | 6 | 0.358 | 0.269 | 6 | 0.434 | 0.107 |
| May Females | 12 | 0.220 | 0.139 | 12 | 0.138 | 0.062 |
| Jun. Females | 15 | 0.778 | 0.144 | 15 | 0.597 | 0.062 |
| Jul. Females | 8 | 0.230 | 0.281 | 8 | 0.290 | 0.089 |
| Aug. Females | 2 | 1.000 | 0.008 | 2 | 0.867 | 0.127 |
| Sep. Females | 2 | 0.330 | 0.480 | 2 | 0.559 | 0.222 |
| Oct.-Dec. M+F | 11 | 1.000 | 0.005 | 11 | 0.846 | 0.060 |
| Jan.-Dec. M+F | 96 | 0.650 | 0.065 | 96 | 0.566 | 0.026 |

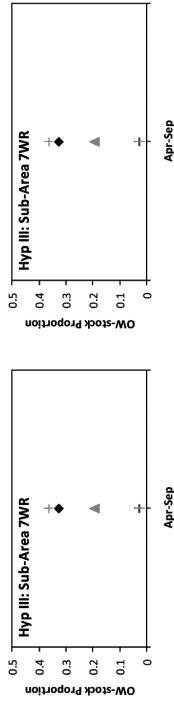
| Hyp A & B (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. Males | 0 | | | 0 | | |
| May Males | 0 | | | 0 | | |
| Jun. Males | 86 | 0.060 | 0.032 | 86 | 0.045 | 0.021 |
| Jul. Males | 23 | 0.198 | 0.094 | 23 | 0.287 | 0.051 |
| Aug. Males | 21 | 0.098 | 0.087 | 21 | 0.190 | 0.048 |
| Sep. Males | 185 | 0.114 | 0.027 | 185 | 0.178 | 0.017 |
| Oct.-Dec. Males | 78 | 0.168 | 0.048 | 78 | 0.182 | 0.026 |
| Jan.-Mar. Females | 0 | | | 0 | | |
| Apr. Females | 0 | | | 0 | | |
| May Females | 0 | | | 0 | | |
| Jun. Females | 13 | 0.100 | 0.109 | 13 | 0.101 | 0.062 |
| Jul. Females | 4 | 0.000 | 0.005 | 4 | 0.067 | 0.085 |
| Aug. Females | 1 | 0.000 | 0.010 | 1 | 0.612 | 0.222 |
| Sep. Females | 66 | 0.111 | 0.044 | 66 | 0.128 | 0.028 |
| Oct.-Dec. M+F | 25 | 0.076 | 0.061 | 24 | 0.126 | 0.047 |
| Jan.-Dec. M+F | 502 | 0.112 | 0.016 | 501 | 0.151 | 0.010 |

| Hyp C (SP): Proportion of JE mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. Males | 0 | | | 0 | | |
| May Males | 0 | | | 0 | | |
| Jun. Males | 86 | 0.000 | 0.001 | 86 | 0.000 | 0.000 |
| Jul. Males | 23 | 0.196 | 0.113 | 23 | 0.268 | 0.052 |
| Aug. Males | 21 | 0.075 | 0.071 | 21 | 0.189 | 0.051 |
| Sep. Males | 185 | 0.101 | 0.031 | 185 | 0.195 | 0.018 |
| Oct.-Dec. Males | 78 | 0.188 | 0.06 | 78 | 0.194 | 0.027 |
| Jan.-Mar. Females | 0 | | | 0 | | |
| Apr. Females | 0 | | | 0 | | |
| May Females | 0 | | | 0 | | |
| Jun. Females | 13 | 0.000 | 0.004 | 13 | 0.074 | 0.063 |
| Jul. Females | 4 | 0.000 | 0.005 | 4 | 0.005 | 0.125 |
| Aug. Females | 1 | 0.000 | 0.009 | 1 | 0.473 | 0.217 |
| Sep. Females | 66 | 0.096 | 0.05 | 66 | 0.172 | 0.028 |
| Oct.-Dec. M+F | 25 | 0.104 | 0.087 | 24 | 0.116 | 0.048 |
| Jan.-Dec. M+F | 502 | 0.092 | 0.018 | 501 | 0.152 | 0.010 |

Pooling for input to conditioning:

| Hyp C: Proportion of OW mixed with OE: May-Aug. | M:F | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-----|-------------|-----------------------|-------|-------------------|-----------------|-------|
| | | 70 | 0.327 | 0.149 | 70 | 0.195 | 0.085 |

Plots of pooled mixing proportions for OW-stock mixing with OE-stock. RH plots are with a minimum 0.05 SE:



SUB-AREA 7E (scientific permit data, 48 samples)

Pure O defined in sub-area 7E in all months for Hypotheses A and B. Mixing matrices assume no mixing in this sub-area - only O/OE in sub-area 7E in all months for all Hypotheses.

| Hyp A & B: Proportion of J mixed with O | Males | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|---------|-------------|-----------------------|-------|-------------------------------|-----------------|-------|
| Jan-Mar. | Males | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 32 | 0.081 | 0.062 | 32 with 31 in 5 th | 0.001 | 0.000 |
| Jun. | | 9 | 0.000 | 0.001 | 9 | 0.000 | 0.000 |
| Jul. | | 2 | 0.000 | 0.007 | 2 | 0.001 | 0.000 |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | Females | 0 | | | | | |
| Jan-Mar. | | 0 | | | | | |
| Apr. | | 4 | 0.000 | 0.005 | 4 | 0.085 | 0.117 |
| May | | 1 | 0.000 | 0.009 | 1 | 0.000 | 0.000 |
| Jun. | | 0 | | | | | |
| Jul. | | 0 | | | | | |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | M:F | 48 | 0.037 | 0.042 | 48 with 47 in 5 th | 0.001 | 0.000 |
| Jan-Dec. | | | | | | | |

| Hyp C: Proportion of OW mixed with OE | Males | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|---------|-------------|-----------------------|-------|-------------------------------|-----------------|-------|
| Jan-Mar. | Males | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 32 | 0.224 | 0.165 | 32 | 0.058 | 0.114 |
| Jun. | | 9 | 0.149 | 0.293 | 9 | 0.300 | 0.206 |
| Jul. | | 2 | 0.000 | 0.007 | 2 | 1.000 | 0.000 |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | Females | 0 | | | | | |
| Jan-Mar. | | 0 | | | | | |
| Apr. | | 4 | 0.000 | 0.041 | 4 | 0.180 | 0.280 |
| May | | 1 | 0.000 | 0.013 | 1 | 0.001 | 0.000 |
| Jun. | | 0 | | | | | |
| Jul. | | 0 | | | | | |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | M:F | 48 | 0.149 | 0.131 | 48 with 47 in 5 th | 0.163 | 0.087 |
| Jan-Dec. | | | | | | | |

SUB-AREA 7CN > 8.8mm (scientific permit data, 435 samples)

Pure OW defined in sub-area 7CN (>8.8MM) in June for Hypothesis C. (This sub-area is not used in the trials.)

| Hyp C (SP): Proportion of JE mixed with OW | Males | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|---------|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan-Mar. | Males | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 0 | | | | | |
| Jun. | | 86 | 0.000 | 0.001 | 86 | 0.000 | 0.000 |
| Jul. | | 20 | 0.150 | 0.119 | 20 | 0.207 | 0.055 |
| Aug. | | 20 | 0.069 | 0.067 | 20 | 0.109 | 0.054 |
| Sep. | | 160 | 0.056 | 0.027 | 159 | 0.138 | 0.018 |
| Oct-Dec. | Females | 69 | 0.102 | 0.053 | 69 | 0.161 | 0.028 |
| Jan-Mar. | | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 0 | | | | | |
| Jun. | | 13 | 0.000 | 0.004 | 13 | 0.074 | 0.063 |
| Jul. | | 2 | 0.000 | 0.007 | 2 | 0.000 | 0.000 |
| Aug. | | 1 | 0.000 | 0.009 | 1 | 0.473 | 0.217 |
| Sep. | | 47 | 0.091 | 0.058 | 47 | 0.189 | 0.032 |
| Oct-Dec. | M:F | 435 | 0.054 | 0.016 | 433 | 0.108 | 0.055 |
| Jan-Dec. | M:F | 99 | 0.000 | 0.000 | 99 | 0.000 | 0.000 |

SUB-AREA 7WR (scientific permit data, 70 samples)

Pure O defined in sub-area 7WR in all months for Hypothesis A and B (for original, but not alternative O definition). Mixing of OW and OE in sub-area 7WR in Apr-Sep for Hypothesis C, otherwise only OW-stock.

| Hyp A & B: Proportion of J mixed with O | Males | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|---------|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan-Mar. | Males | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 39 | 0.000 | 0.001 | 39 | 0.001 | 0.000 |
| Jun. | | 20 | 0.000 | 0.001 | 20 | 0.001 | 0.000 |
| Jul. | | 2 | 0.000 | 0.007 | 2 | 0.001 | 0.000 |
| Aug. | | 1 | 0.000 | 0.012 | 1 | 0.001 | 0.000 |
| Sep. | | 0 | | | | | |
| Oct-Dec. | Females | 0 | | | | | |
| Jan-Mar. | | 0 | | | | | |
| Apr. | | 7 | 0.120 | 0.162 | 7 | 0.000 | 0.000 |
| May | | 0 | | | | | |
| Jun. | | 1 | 0.000 | 0.010 | 1 | 0.139 | 0.172 |
| Jul. | | 0 | | | | | |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | M:F | 70 | 0.000 | 0.001 | 70 | 0.000 | 0.000 |
| Jan-Dec. | | | | | | | |

| Hyp C: Proportion of OW mixed with OE | Males | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|---------|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan-Mar. | Males | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 39 | 0.166 | 0.161 | 39 | 0.206 | 0.108 |
| Jun. | | 20 | 0.618 | 0.300 | 20 | 0.056 | 0.166 |
| Jul. | | 2 | 1.000 | 0.010 | 2 | 0.000 | 0.000 |
| Aug. | | 1 | 1.000 | 0.058 | 1 | 0.937 | 1.139 |
| Sep. | | 0 | | | | | |
| Oct-Dec. | Females | 0 | | | | | |
| Jan-Mar. | | 0 | | | | | |
| Apr. | | 0 | | | | | |
| May | | 7 | 0.970 | 0.571 | 7 | 0.526 | 0.300 |
| Jun. | | 0 | | | | | |
| Jul. | | 1 | 0.000 | 0.048 | 1 | 0.981 | 0.754 |
| Aug. | | 0 | | | | | |
| Sep. | | 0 | | | | | |
| Oct-Dec. | M:F | 70 | 0.327 | 0.149 | 70 | 0.195 | 0.085 |
| Jan-Dec. | | | | | | | |

SUB-AREA 8 (scientific permit data, 48 samples)
Pure O/E defined in sub-area 8 in all months, for Hypotheses I, B and C.
 Mixing matrices allow for mixing with C-stock in sensitivity tests to Hypotheses A and C.

| Hyp A & B: Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | 0.000 | 0.001 | 0 | 0.001 | 0.000 |
| May | 30 | 0.000 | 0.000 | 31 | 0.000 | 0.000 |
| Jun. | 88 | 0.000 | 0.000 | 88 | 0.000 | 0.000 |
| Jul. | 74 | 0.000 | 0.001 | 74 | 0.033 | 0.023 |
| Aug. | 12 | 0.000 | 0.001 | 12 | 0.008 | 0.041 |
| Sep. | 1 | 0.000 | 0.009 | 0 | 0.001 | 0.000 |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 7 | 0.000 | 0.002 | 7 | 0.045 | 0.067 |
| May | 6 | 0.000 | 0.004 | 6 | 0.063 | 0.106 |
| Jun. | 5 | 0.000 | 0.004 | 5 | 0.001 | 0.000 |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 223 | 0.000 | 0.000 | 224 | 0.010 | 0.012 |

| Hyp C: Proportion of OW mixed with OE | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | 0.000 | 0.004 | 0 | 0.201 | 0.142 |
| May | 30 | 0.000 | 0.002 | 31 | 0.000 | 0.000 |
| Jun. | 88 | 0.000 | 0.004 | 88 | 0.004 | 0.082 |
| Jul. | 74 | 0.000 | 0.017 | 74 | 0.000 | 0.000 |
| Aug. | 12 | 0.000 | 0.713 | 12 | 0.284 | 0.751 |
| Sep. | 1 | 0.990 | | 0 | | |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 7 | 0.392 | 0.858 | 7 | 0.134 | 0.312 |
| May | 6 | 0.282 | 0.404 | 6 | 0.008 | 0.177 |
| Jun. | 5 | 0.130 | 1.391 | 5 | 0.001 | 0.000 |
| Jul. | 0 | | | 0 | | |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 223 | 0.000 | 0.002 | 224 | 0.001 | 0.000 |

SUB-AREA 9 (scientific permit data, 467 samples)
Pure OE defined in sub-area 9 in all months (Apr.-Sep) for Hypothesis C.
 Mixing matrices allow for only O/E in sub-area 9 in Apr.-Sep for all Hypotheses.
 Mixing matrices allow for mixing with C-stock in sensitivity tests to Hypotheses A and C.

| Hyp A & B: Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|--|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | 0.000 | 0.001 | 0 | 0.026 | 0.031 |
| May | 28 | 0.000 | 0.000 | 28 | 0.034 | 0.020 |
| Jun. | 75 | 0.000 | 0.000 | 75 | 0.041 | 0.019 |
| Jul. | 142 | 0.000 | 0.000 | 142 | 0.020 | 0.015 |
| Aug. | 168 | 0.005 | 0.009 | 167 | 0.001 | 0.000 |
| Sep. | 10 | 0.000 | 0.003 | 10 with 9 in 3 rd , 5 th , 9 th , 16 th | 0.001 | 0.000 |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 9 | 0.063 | 0.112 | 9 | 0.018 | 0.054 |
| May | 8 | 0.000 | 0.001 | 8 | 0.187 | 0.097 |
| Jun. | 12 | 0.000 | 0.001 | 12 | 0.026 | 0.047 |
| Jul. | 15 | 0.000 | 0.001 | 15 | 0.103 | 0.057 |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 467 | 0.000 | 0.000 | 466 with 465 in 1 st , 3-5 th , 9 th -14 th , 16 th and 464 in 14 th | 0.032 | 0.009 |

| Hyp C: Proportion of OW mixed with OE | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---------------------------------------|-------------|-----------------------|-------|--|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | 0.000 | 0.004 | 0 | 0.001 | 0.000 |
| May | 28 | 0.000 | 0.004 | 28 | 0.045 | 0.076 |
| Jun. | 75 | 0.000 | 0.004 | 75 | 0.056 | 0.060 |
| Jul. | 142 | 0.045 | 0.076 | 142 | 0.046 | 0.058 |
| Aug. | 168 | 0.065 | 0.089 | 167 | 0.106 | 0.220 |
| Sep. | 10 | 0.000 | 0.007 | 10 with 9 in 3 rd , 5 th , 9 th , 16 th | | |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 9 | 0.078 | 0.280 | 9 | 0.000 | 0.000 |
| May | 8 | 0.020 | 0.650 | 8 | 0.000 | 0.000 |
| Jun. | 12 | 0.000 | 0.028 | 12 | 0.023 | 0.158 |
| Jul. | 15 | 0.000 | 0.003 | 15 | 0.282 | 0.178 |
| Aug. | 0 | | | 0 | | |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 467 | 0.001 | 0.020 | 466 with 465 in 1 st , 3-5 th , 9 th -14 th , 16 th and 464 in 14 th | 0.035 | 0.033 |

SUB-AREA 11 (bycatch data, 15 samples, Japanese commercial whaling data, 173 samples, scientific permit data, 80 samples)

No pure stocks defined in sub-area 11.

Mixing matrices allow for mixing between J and O stocks from Apr.-Sep. in Hypotheses A and B. Mixing matrices allow for mixing between JW, OW, and OE stocks from Apr.-Sep. in Hypothesis C.

| Hyp A & B: Proportion of J mixed with O | Sample size | SE | Proportion Haplotypes | SE | Sample size | SE | Proportion Loci | SE |
|---|-------------|-------|-----------------------|----|-------------|-------|-----------------|----|
| Jan.-Mar. Males | 0 | 1.000 | 0.007 | 0 | 0 | 0.000 | | |
| Apr. | 2 | 0.070 | 0.069 | 0 | 0 | 0.000 | | |
| May | 14 | 0.000 | 0.001 | 0 | 0 | 0.000 | | |
| Jun. | 9 | 0.171 | 0.072 | 28 | 0.228 | 0.045 | | |
| Jul. | 30 | 0.359 | 0.111 | 19 | 0.461 | 0.058 | | |
| Aug. | 22 | 0.168 | 0.186 | 1 | 0.796 | 0.205 | | |
| Sep. | 5 | 1.000 | 0.005 | 6 | 0.999 | 0.000 | | |
| Oct.-Dec. | 6 | 1.000 | 0.005 | 1 | | | | |
| Jan.-Mar. Females | 0 | 0.645 | 0.069 | 0 | | | | |
| Apr. | 55 | 0.013 | 0.036 | 0 | 0.906 | 0.240 | | |
| May | 51 | 0.258 | 0.093 | 1 | 0.458 | 0.050 | | |
| Jun. | 25 | 0.401 | 0.105 | 22 | 0.206 | 0.067 | | |
| Jul. | 24 | 0.010 | 0.065 | 11 | 0.960 | 0.036 | | |
| Aug. | 16 | 0.000 | 0.007 | 0 | 0.448 | 0.025 | | |
| Sep. | 2 | 1.000 | 0.002 | 7 | | | | |
| Oct.-Dec. | 7 | 1.000 | 0.002 | 0 | | | | |
| Jan.-Dec. M+F | 268 | 0.304 | 0.030 | 95 | | | | |

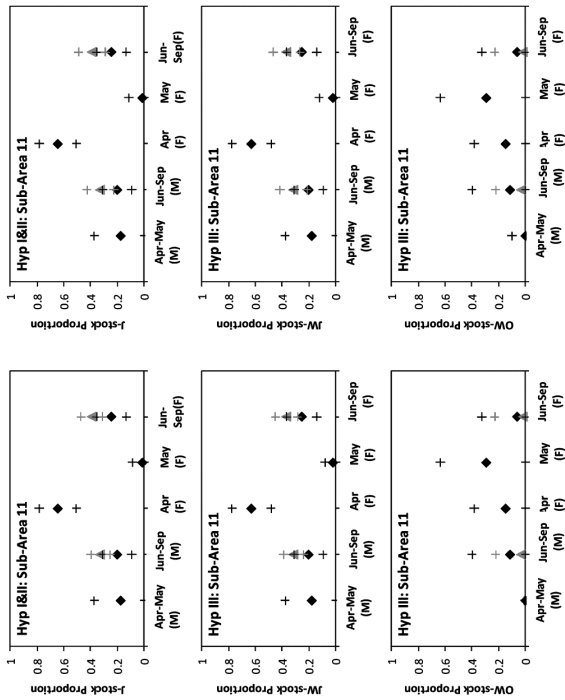
| Hyp C: Proportion of JW and OW mixed with OE | Sample size | Prop JW | SE | Prop OW | SE | Sample size | Prop JW | SE | Prop OW | SE |
|--|-------------|---------|-------|---------|-------|-------------|---------|-------|---------|-------|
| Jan.-Mar. Males | 0 | 1.000 | 0.005 | 0.000 | 0.001 | 0 | 0.215 | 0.045 | 0.026 | 0.119 |
| Apr. | 2 | 0.070 | 0.069 | 0.000 | 0.001 | 0 | 0.457 | 0.060 | 0.049 | 0.171 |
| May | 14 | 0.000 | 0.000 | 0.000 | 0.003 | 28 | 0.801 | 0.213 | 0.000 | 0.002 |
| Jun. | 9 | 0.176 | 0.073 | 0.000 | 0.013 | 1 | 1.000 | 0.001 | 0.000 | 0.000 |
| Jul. | 30 | 0.346 | 0.114 | 0.186 | 0.196 | 6 | | | | |
| Aug. | 22 | 0.195 | 0.180 | 0.364 | 0.278 | 0 | | | | |
| Sep. | 5 | 1.000 | 0.002 | 0.000 | 0.001 | 0 | | | | |
| Oct.-Dec. | 6 | 0.628 | 0.073 | 0.147 | 0.117 | 0 | | | | |
| Jan.-Mar. Females | 0 | 0.023 | 0.028 | 0.290 | 0.173 | 0 | 0.824 | 0.235 | 0.000 | 0.004 |
| Apr. | 51 | 0.270 | 0.092 | 0.062 | 0.227 | 1 | 0.444 | 0.052 | 0.000 | 0.002 |
| May | 25 | 0.409 | 0.104 | 0.000 | 0.002 | 22 | 0.175 | 0.065 | 0.157 | 0.209 |
| Jun. | 24 | 0.000 | 0.006 | 0.330 | 0.269 | 11 | | | | |
| Jul. | 16 | 0.000 | 0.001 | 1.000 | 0.020 | 0 | | | | |
| Aug. | 2 | 1.000 | 0.001 | 0.000 | 0.001 | 7 | 0.959 | 0.037 | 0.000 | 0.001 |
| Sep. | 7 | 0.299 | 0.031 | 0.145 | 0.068 | 95 | 0.435 | 0.026 | 0.000 | 0.001 |
| Oct.-Dec. | 7 | 0.299 | 0.031 | 0.145 | 0.068 | 0 | | | | |
| Jan.-Dec. M+F | 268 | 0.304 | 0.030 | 0.304 | 0.030 | 95 | | | | |

Pooling for input to conditioning:

| Hyp A & B: Proportion of J mixed with O | Sample size | SE | Proportion Haplotypes | SE | Sample size | SE | Proportion Loci | SE |
|---|-------------|-------|-----------------------|----|-------------|-------|-----------------|----|
| Apr.-May M | 16 | 0.175 | 0.099 | 0 | 0 | 0.000 | | |
| Jun.-Sep. M | 66 | 0.201 | 0.054 | 48 | 0.327 | 0.036 | | |
| Apr. F | 55 | 0.645 | 0.069 | 0 | | | | |
| May F | 51 | 0.013 | 0.036 | 0 | | | | |
| Jun.-Sep. F | 67 | 0.245 | 0.056 | 34 | 0.390 | 0.041 | | |

| Hyp C: Proportion of JW and OW mixed with OE | Sample size | Prop JW | SE | Prop OW | SE | Sample size | Prop JW | SE | Prop OW | SE |
|--|-------------|---------|-------|--------------------|-------|-------------|--------------------|-------|--------------------|-------|
| Apr.-May M | 16 | 0.180 | 0.099 | 0.000 | 0.003 | 0 | 0.316 ⁶ | 0.037 | 0.032 ⁶ | 0.095 |
| Jun.-Sep. M | 66 | 0.204 | 0.054 | 0.114 | 0.142 | 48 | | | | |
| Apr. F | 55 | 0.628 | 0.073 | 0.147 ⁶ | 0.117 | 0 | | | | |
| May F | 51 | 0.023 | 0.028 | 0.290 ⁶ | 0.173 | 0 | | | | |
| Jun.-Sep. F | 67 | 0.254 | 0.056 | 0.062 ⁶ | 0.132 | 34 | 0.367 ⁶ | 0.041 | 0.018 ⁶ | 0.106 |

Plots of pooled mixing proportions for JW-stock (1st) and OW-stock (2nd) mixing with OE-stock. RH plots are with a minimum 0.05 SE.



ADDITIONAL MIXING PROPORTIONS REQUIRED FOR SENSITIVITY TESTS TO HYPOTHESIS C, ASSUMING EITHER A SINGLE J-STOCK OR A SINGLE O-STOCK

SUB-AREA 2C (bycatch data only, 180 samples)

Pure JE defined in sub-area 2C in Jul.-Dec. for Hypothesis C.

Hyp C – mixing matrices assume mixing between JE and OW-stock from Jan.-Jun.

Hyp C (single J-stock) – mixing matrices assume mixing between J and OW-stock from Jan.-Jun. only. JE-stock present from Jul.-Dec.

Hyp C (single O-stock) – mixing matrices assume mixing between JE and O-stock from Jan.-Jun. only. JE-stock present from Jul.-Dec.

| Hyp C (single J-stock): Proportion J mixing with OW | Sample size | SE | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-------|-----------------------|-------|---|-----------------|-------|
| Jan.-Mar. | 22 | 0.809 | 0.097 | 0.768 | 22 | 0.768 | 0.044 |
| Apr. | 5 | 0.432 | 0.235 | 0.299 | 5 | 0.299 | 0.124 |
| May | 5 | 0.469 | 0.287 | 0.564 | 5 | 0.564 | 0.100 |
| Jun. | 1 | 1.000 | 0.009 | 0.999 | 1 | 1.000 | 0.000 |
| Jul. | 3 | 1.000 | 0.005 | 1.000 | 3 | 1.000 | 0.000 |
| Aug. | 2 | 1.000 | 0.007 | 1.000 | 2 | 1.000 | 0.000 |
| Sep. | 0 | | | | 0 | | |
| Oct.-Dec. | 27 | 0.852 | 0.074 | 0.851 | 27 | 0.851 | 0.038 |
| Jan.-Mar. Males | 46 | 0.905 | 0.050 | 0.929 | 46 with 45 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.929 | 0.026 |
| Apr. | 3 | 1.000 | 0.006 | 0.999 | 3 | 0.999 | 0.000 |
| May | 10 | 0.707 | 0.151 | 0.685 | 10 | 0.685 | 0.078 |
| Jun. | 5 | 0.618 | 0.281 | 0.756 | 5 | 0.756 | 0.094 |
| Jul. | 10 | 1.000 | 0.001 | 0.999 | 10 | 0.999 | 0.000 |
| Aug. | 3 | 0.633 | 0.313 | 0.791 | 3 | 0.791 | 0.124 |
| Sep. | 0 | | | | 0 | | |
| Oct.-Dec. | 38 | 0.939 | 0.042 | 0.949 | 38 | 0.949 | 0.020 |
| Jan.-Dec. M+F | 180 | 0.864 | 0.029 | 0.865 | 180 with 179 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.865 | 0.014 |

SUB-AREA 7CS (bycatch data, 116 samples; scientific permit data, 321 samples; used separately)

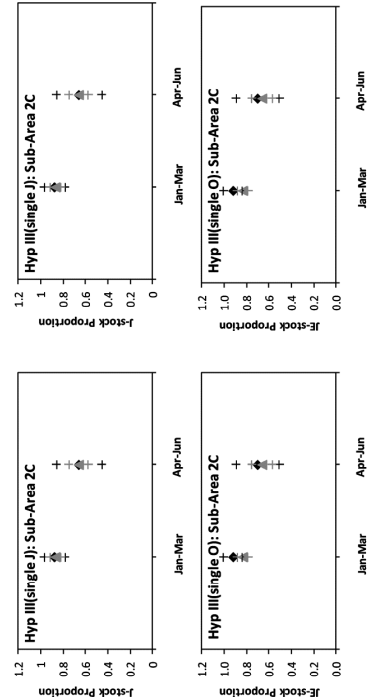
Not used for definition of a pure stock.
Mixing matrices assume mixing between J/IE and O/OW year-round for all hypotheses.

| Hyp C (single J-stock): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|---|-----------------|-------|
| Jan.-Mar. | 22 | 0.824 | 0.096 | 22 | 0.751 | 0.046 |
| Apr. | 5 | 0.425 | 0.239 | 5 | 0.352 | 0.125 |
| May | 5 | 0.461 | 0.258 | 5 | 0.510 | 0.107 |
| Jun. | 1 | 1.000 | 0.009 | 1 | 0.821 | 0.164 |
| Jul. | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Aug. | 2 | 1.000 | 0.007 | 2 | 1.000 | 0.000 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 27 | 1.000 | 0.001 | 27 | 0.997 | 0.038 |
| Jan.-Mar. | 46 | 0.966 | 0.038 | 46 with 45 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.874 | 0.026 |
| Apr. | 3 | 1.000 | 0.005 | 3 | 0.923 | 0.076 |
| May | 10 | 0.701 | 0.115 | 10 | 0.732 | 0.081 |
| Jun. | 5 | 1.000 | 0.008 | 5 | 0.739 | 0.094 |
| Jul. | 10 | 1.000 | 0.001 | 10 | 0.999 | 0.000 |
| Aug. | 3 | 0.850 | 0.38 | 3 | 0.897 | 0.136 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 38 | 1.000 | 0.001 | 38 | 0.999 | 0.000 |
| Jan.-Dec. | 180 | 0.922 | 0.025 | 180 with 179 in 1 st , 3 rd , 4 th , 7 th , 13 th , 14 th | 0.885 | 0.014 |
| Jan.-Dec. | 116 | 0.461 | 0.053 | 116 | 0.499 | 0.023 |

Pooling for input to conditioning:

| Hyp C (single J-stock): Proportion J mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|--|-----------------|-------|
| Jan.-Mar. | 68 | 0.875 | 0.046 | 67,68,67,67,68,68,68,68 | 0.868 | 0.023 |
| Apr.-Jun. | 29 | 0.656 | 0.102 | 29 | 0.661 | 0.044 |
| Hyp C (single O-stock): Proportion JE mixing with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
| Jan.-Mar. | 68 | 0.920 | 0.042 | 67,68,67,67,68,68,67,68,68,68,68,68,67,68,68 | 0.834 | 0.0 |
| Apr.-Jun. | 29 | 0.699 | 0.097 | 29 | 0.662 | 0.0 |

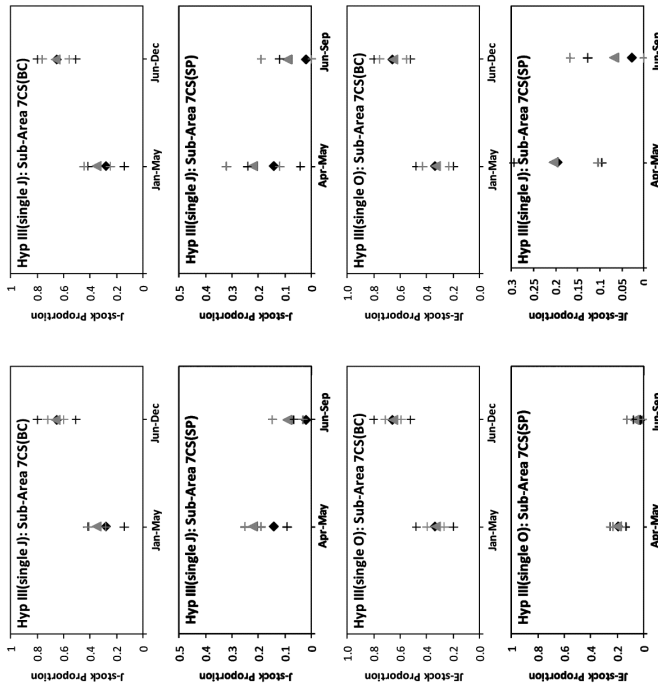
Plots of pooled mixing proportions for J/IE-stock with O/OW-stock. RH plots are with a minimum 0.05 SE:



| Hyp C (single O-stock) (BYCATCH): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. | 7 | 1.000 | 0.005 | 7 | 0.880 | 0.061 |
| Apr. | 9 | 0.094 | 0.117 | 9 | 0.265 | 0.081 |
| May | 15 | 0.152 | 0.113 | 15 | 0.227 | 0.064 |
| Jun. | 5 | 0.128 | 0.208 | 5 | 0.255 | 0.106 |
| Jul. | 2 | 0.338 | 0.469 | 2 | 0.487 | 0.152 |
| Aug. | 2 | 1.000 | 0.007 | 2 | 0.999 | 0.000 |
| Sep. | 1 | 1.000 | 0.009 | 1 | 0.733 | 0.174 |
| Oct.-Dec. | 14 | 0.777 | 0.116 | 14 | 0.815 | 0.058 |
| Jan.-Mar. | 10 | 0.553 | 0.178 | 10 | 0.441 | 0.079 |
| Apr. | 10 | 0.420 | 0.182 | 10 | 0.195 | 0.081 |
| May | 9 | 0.127 | 0.120 | 9 | 0.050 | 0.074 |
| Jun. | 13 | 0.403 | 0.159 | 13 | 0.439 | 0.071 |
| Jul. | 4 | 0.834 | 0.259 | 4 | 0.666 | 0.111 |
| Aug. | 2 | 0.504 | 0.356 | 2 | 0.536 | 0.182 |
| Sep. | 1 | 0.000 | 0.009 | 1 | 0.008 | 0.259 |
| Oct.-Dec. | 12 | 0.923 | 0.084 | 12 | 0.851 | 0.051 |
| Jan.-Dec. | 116 | 0.500 | 0.052 | 116 | 0.489 | 0.023 |

| Hyp C (single J-stock) (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 44 | 0.214 | 0.072 | 44 | 0.288 | 0.037 |
| May | 85 | 0.146 | 0.044 | 85 | 0.284 | 0.027 |
| Jun. | 40 | 0.000 | 0.001 | 40 | 0.045 | 0.035 |
| Jul. | 0 | | | 0 | | |
| Aug. | 3 | 0.335 | 0.411 | 3 | 0.348 | 0.153 |
| Sep. | 1 | 0.000 | 0.024 | 1 | 0.000 | 0.000 |
| Oct.-Dec. | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 66 | 0.057 | 0.033 | 66 | 0.136 | 0.028 |
| May | 72 | 0.181 | 0.053 | 72 | 0.185 | 0.028 |
| Jun. | 9 | 0.121 | 0.116 | 9 | 0.165 | 0.066 |
| Jul. | 0 | | | 0 | | |
| Aug. | 1 | 0.000 | 0.013 | 1 | 0.229 | 0.232 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 0 | | | 0 | | |
| Jan.-Dec. | 321 | 0.120 | 0.021 | 321 | 0.199 | 0.013 |

Plots of pooled mixing proportions for J/IE-stock mixing with O/OW-stock. RH plots are with a minimum 0.05 SE:



SUB-AREA 7CN (bycatch data, 96 samples; scientific permit data (>2nm), 502 samples; used separately)

Not used for definition of a pure stock.

Hyp C – mixing between JE and OW Apr.-Dec.

Hyp C (single J-stock) – mixing between J and OW Apr.-Dec

Hyp C (single O-stock) – mixing between JE and O Apr.-Dec.

| Hyp C (single J-stock) (BYCATCH): Proportion of J mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 3 | 1.000 | 0.005 | 3 | 1.000 | 0.000 |
| Apr. | 4 | 0.439 | 0.340 | 4 | 0.336 | 0.124 |
| May | 4 | 0.709 | 0.269 | 4 | 0.730 | 0.134 |
| Jun. | 7 | 0.180 | 0.208 | 7 | 0.488 | 0.099 |
| Jul. | 5 | 0.551 | 0.263 | 5 | 0.565 | 0.113 |
| Aug. | 3 | 0.224 | 0.321 | 3 | 0.239 | 0.121 |
| Sep. | 2 | 0.586 | 0.534 | 2 | 0.637 | 0.180 |
| Oct.-Dec. | 9 | 1.000 | 0.001 | 9 | 0.978 | 0.027 |
| Jan.-Mar. Females | 3 | 1.000 | 0.005 | 3 | 1.000 | 0.000 |
| Apr. | 6 | 0.283 | 0.216 | 6 | 0.397 | 0.099 |
| May | 12 | 0.175 | 0.114 | 12 | 0.161 | 0.064 |
| Jun. | 15 | 0.634 | 0.136 | 15 | 0.627 | 0.061 |
| Jul. | 8 | 0.260 | 0.216 | 8 | 0.304 | 0.088 |
| Aug. | 2 | 1.000 | 0.008 | 2 | 0.999 | 0.000 |
| Sep. | 2 | 0.417 | 0.414 | 2 | 0.681 | 0.201 |
| Oct.-Dec. | 11 | 1.000 | 0.004 | 11 | 0.849 | 0.060 |
| Jan.-Dec. M+F | 96 | 0.570 | 0.058 | 96 | 0.583 | 0.025 |

| Hyp C (single O-stock) (SP): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 44 | 0.279 | 0.083 | 44 | 0.293 | 0.037 |
| May | 85 | 0.236 | 0.056 | 85 | 0.247 | 0.027 |
| Jun. | 40 | 0.000 | 0.001 | 40 | 0.018 | 0.032 |
| Jul. | 0 | | | 0 | | |
| Aug. | 3 | 0.269 | 0.305 | 3 | 0.332 | 0.161 |
| Sep. | 1 | 0.000 | 0.009 | 1 | 0.001 | 0.000 |
| Oct.-Dec. | 0 | | | 0 | | |
| Jan.-Mar. Females | 0 | | | 0 | | |
| Apr. | 66 | 0.065 | 0.038 | 66 | 0.098 | 0.028 |
| May | 72 | 0.224 | 0.056 | 72 | 0.189 | 0.028 |
| Jun. | 9 | 0.117 | 0.112 | 9 | 0.163 | 0.069 |
| Jul. | 0 | | | 0 | | |
| Aug. | 1 | 0.000 | 0.011 | 1 | 0.066 | 0.211 |
| Sep. | 0 | | | 0 | | |
| Oct.-Dec. | 0 | | | 0 | | |
| Jan.-Dec. M+F | 321 | 0.164 | 0.025 | 321 | 0.181 | 0.013 |

Pooling for input to conditioning:

| Hyp C (single J-stock) (BC): Proportion of J mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-May M F | 60 | 0.280 | 0.069 | 60 | 0.348 | 0.032 |
| Jun.-Dec. M F | 56 | 0.652 | 0.073 | 56 | 0.661 | 0.031 |

| Hyp C (single O-stock) (BC): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-May M F | 60 | 0.338 | 0.070 | 60 | 0.331 | 0.032 |
| Jun.-Dec. M F | 56 | 0.660 | 0.070 | 56 | 0.654 | 0.031 |

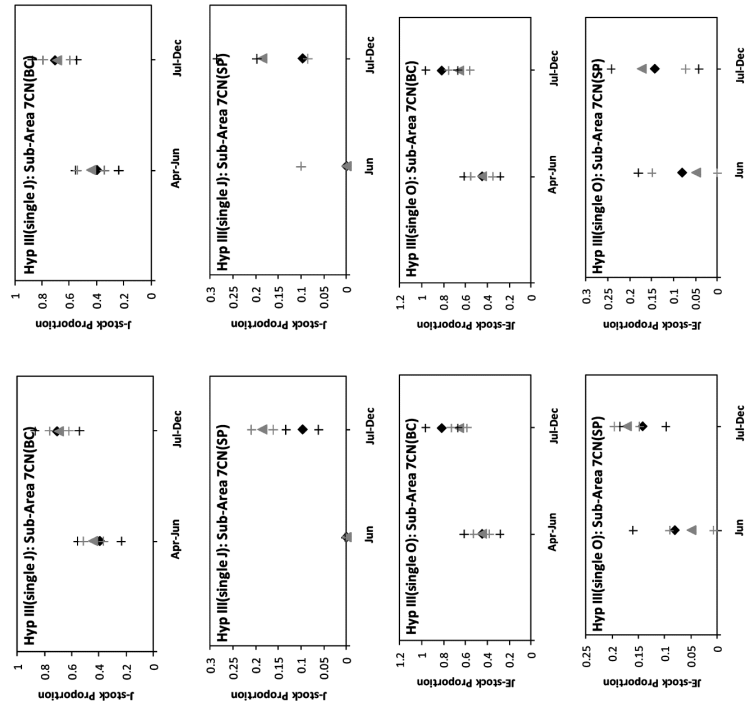
| Hyp C (single J-stock) (SP): Proportion of J mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Apr.-May M F | 267 | 0.142 | 0.025 | 267 | 0.221 | 0.015 |
| Jun.-Sep. M F | 54 | 0.021 | 0.023 | 54 | 0.090 | 0.029 |

| Hyp C (single O-stock) (SP): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Apr.-May M F | 267 | 0.195 | 0.029 | 267 | 0.204 | 0.015 |
| Jun.-Sep. M F | 54 | 0.027 | 0.027 | 54 | 0.067 | 0.029 |

Pooling for input to conditioning:

| Hyp C (single J-stock) (BYCATCH): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|--|-------------------|-----------------|-------|
| Apr.-Jun. M F | 48 | 0.396 | 0.080 | 48 | 0.441 | 0.037 |
| Jul.-Dec. M F | 42 | 0.707 | 0.082 | 42 | 0.693 | 0.036 |
| Hyp C (single O-stock) (BYCATCH): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE <td>Sample size (x16)</td> <td>Proportion Loci</td> <td>SE</td> | Sample size (x16) | Proportion Loci | SE |
| Apr.-Jun. M F | 48 | 0.447 | 0.082 | 48 | 0.450 | 0.036 |
| Jul.-Dec. M F | 42 | 0.817 | 0.073 | 42 | 0.658 | 0.037 |
| Hyp C (single J-stock) (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE <td>Sample size (x16)</td> <td>Proportion Loci</td> <td>SE</td> | Sample size (x16) | Proportion Loci | SE |
| Jun. M F | 99 | 0.000 | 0.001 | 99 | 0.000 | 0.000 |
| Jul.-Dec. M F | 403 | 0.097 | 0.018 | 402 | 0.185 | 0.012 |
| Hyp C (single O-stock) (SP): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE <td>Sample size (x16)</td> <td>Proportion Loci</td> <td>SE</td> | Sample size (x16) | Proportion Loci | SE |
| Jun. M F | 99 | 0.080 | 0.040 | 99 | 0.049 | 0.021 |
| Jul.-Dec. M F | 403 | 0.142 | 0.022 | 402 | 0.172 | 0.012 |

Plots of pooled mixing proportions for J/IE-stock mixing with O/OW-stock. RH plots are with a minimum 0.05 SE:

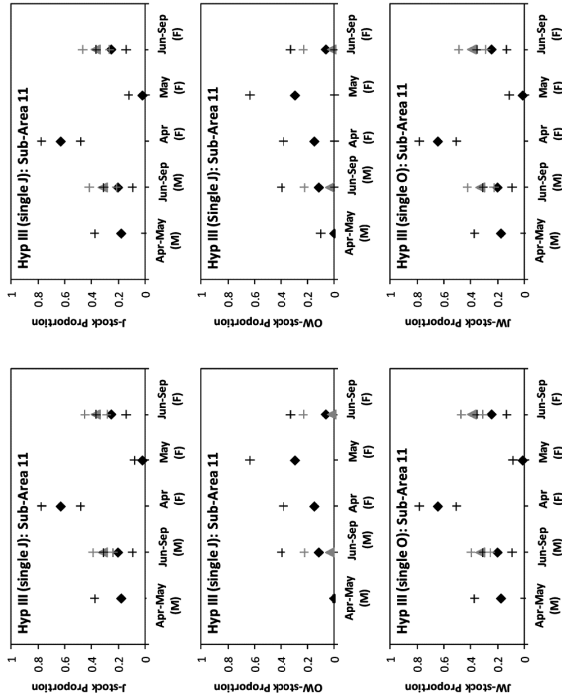


| Hyp C (single O-stock) (BYCATCH): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 3 | 1.000 | 0.005 | 3 | 0.841 | 0.110 |
| Apr. | 4 | 0.499 | 0.279 | 4 | 0.305 | 0.129 |
| May | 4 | 0.958 | 0.310 | 4 | 0.759 | 0.126 |
| Jun. | 7 | 0.402 | 0.243 | 7 | 0.481 | 0.094 |
| Jul. | 5 | 0.608 | 0.244 | 5 | 0.520 | 0.116 |
| Aug. | 3 | 0.421 | 0.427 | 3 | 0.258 | 0.135 |
| Sep. | 2 | 1.000 | 0.007 | 2 | 0.633 | 0.156 |
| Oct.-Dec. Females | 9 | 1.000 | 0.001 | 9 | 0.956 | 0.037 |
| Jan.-Mar. | 3 | 1.000 | 0.005 | 3 | 0.999 | 0.000 |
| Apr. | 6 | 0.315 | 0.224 | 6 | 0.496 | 0.103 |
| May | 12 | 0.166 | 0.116 | 12 | 0.162 | 0.067 |
| Jun. | 15 | 0.657 | 0.141 | 15 | 0.593 | 0.061 |
| Jul. | 8 | 0.475 | 0.220 | 8 | 0.278 | 0.086 |
| Aug. | 2 | 1.000 | 0.007 | 2 | 0.838 | 0.129 |
| Sep. | 2 | 0.449 | 0.391 | 2 | 0.458 | 0.219 |
| Oct.-Dec. M+F | 11 | 1.000 | 0.004 | 11 | 0.821 | 0.062 |
| Jan.-Dec. | 96 | 0.646 | 0.057 | 96 | 0.568 | 0.025 |

| Hyp C (single J-stock) (SP): Proportion of J mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|--|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | | | 0 | | |
| May | 86 | 0.000 | 0.001 | 86 | 0.000 | 0.000 |
| Jun. | 23 | 0.134 | 0.085 | 23 | 0.295 | 0.052 |
| Jul. | 21 | 0.072 | 0.067 | 21 | 0.181 | 0.049 |
| Aug. | 185 | 0.093 | 0.026 | 185 | 0.191 | 0.017 |
| Sep. | 78 | 0.140 | 0.045 | 78 | 0.188 | 0.027 |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 0 | | | 0 | | |
| May | 13 | 0.000 | 0.007 | 13 | 0.091 | 0.063 |
| Jun. | 4 | 0.000 | 0.005 | 4 | 0.006 | 0.110 |
| Jul. | 1 | 0.000 | 0.01 | 1 | 0.649 | 0.207 |
| Aug. | 66 | 0.075 | 0.041 | 66 | 0.156 | 0.027 |
| Sep. | 25 | 0.059 | 0.055 | 24 | 0.122 | 0.049 |
| Oct.-Dec. M+F | 502 | 0.080 | 0.015 | 501 | 0.150 | 0.010 |

| Hyp C (single O-stock) (SP): Proportion of JE mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | | | 0 | | |
| Apr. | 0 | | | 0 | | |
| May | 86 | 0.079 | 0.041 | 86 | 0.044 | 0.022 |
| Jun. | 23 | 0.274 | 0.113 | 23 | 0.258 | 0.051 |
| Jul. | 21 | 0.127 | 0.106 | 21 | 0.195 | 0.050 |
| Aug. | 185 | 0.109 | 0.029 | 185 | 0.177 | 0.018 |
| Sep. | 78 | 0.232 | 0.059 | 78 | 0.180 | 0.027 |
| Oct.-Dec. Females | 0 | | | 0 | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 0 | | | 0 | | |
| May | 13 | 0.095 | 0.14 | 13 | 0.082 | 0.062 |
| Jun. | 4 | 0.000 | 0.005 | 4 | 0.066 | 0.093 |
| Jul. | 1 | 0.000 | 0.009 | 1 | 0.448 | 0.228 |
| Aug. | 66 | 0.122 | 0.049 | 66 | 0.134 | 0.029 |
| Sep. | 25 | 0.108 | 0.076 | 24 | 0.113 | 0.044 |
| Oct.-Dec. M+F | 502 | 0.131 | 0.019 | 501 | 0.148 | 0.010 |

Plots of pooled mixing proportions for JW-stock (1st) and OW-stock (2nd) mixing with OE-stock. RH plots are with a minimum 0.05 SE.



ADDITIONAL MIXING PROPORTIONS REQUIRED FOR SENSITIVITY TESTS TO HYPOTHESIS C, ASSUMING EITHER NO OW-STOCK OR NO OE-STOCK IN SUB-AREA II

SUB-AREA II (bycatch data, 15 samples, Japanese commercial whaling data, 173 samples, scientific permit data, 80 samples)

No pure stocks defined in sub-area II.

Mixing matrices allow for mixing between J and O stocks from Apr.-Sep. in Hypotheses A and B.

Hyp C: Mixing matrices allow for mixing between JW, OW and OE stocks from Apr.-Sep.

Hyp C (No 'OW' in I1 or I2SW): Mixing matrices allow for mixing between JW and OE stocks from Apr.-Sep.

Hyp C (No 'OE' in I1 or I2SW): Mixing matrices allow for mixing between JW and OW stocks from Apr.-Sep.

| Hyp C (no OW-stock); Proportion of JW mixed with OE | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | 1.000 | 0.007 | 0 | | |
| Apr. | 2 | 0.070 | 0.069 | 0 | | |
| May | 14 | 0.000 | 0.001 | 0 | | |
| Jun. | 9 | 0.000 | 0.001 | 0 | | |
| Jul. | 30 | 0.176 | 0.073 | 28 | 0.216 | 0.045 |
| Aug. | 22 | 0.374 | 0.110 | 19 | 0.459 | 0.059 |
| Sep. | 5 | 0.191 | 0.181 | 1 | 0.801 | 0.213 |
| Oct.-Dec. Females | 6 | 1.000 | 0.005 | 6 | 0.999 | 0.000 |
| Jan.-Mar. | 0 | 0.654 | 0.068 | 0 | | |
| Apr. | 55 | 0.032 | 0.033 | 0 | | |
| May | 51 | 0.271 | 0.091 | 1 | 0.824 | 0.235 |
| Jun. | 25 | 0.409 | 0.104 | 22 | 0.444 | 0.052 |
| Jul. | 24 | 0.033 | 0.062 | 11 | 0.179 | 0.065 |
| Aug. | 16 | 0.000 | 0.007 | 2 | | |
| Sep. | 2 | 1.000 | 0.002 | 7 | 0.959 | 0.037 |
| Oct.-Dec. | 7 | 1.000 | 0.030 | 7 | 0.435 | 0.026 |
| Jan.-Dec. M+F | 268 | 0.312 | 0.030 | 95 | | |

SUB-AREA II (bycatch data, 15 samples, Japanese commercial whaling data, 173 samples, scientific permit data, 80 samples)

No pure stocks defined in sub-area II.

Mixing matrices allow for mixing between J and O stocks from Apr.-Sep. in Hypotheses A and B.

Hyp C: Mixing matrices allow for mixing between JW, OW and OE stocks from Apr.-Sep.

Hyp C (single J-stock): Mixing matrices allow for mixing between J, OW and OE stocks from Apr.-Sep.

Hyp C (single O-stock): Mixing matrices allow for mixing between JW and O stocks from Apr.-Sep.

Hyp C (single J-stock): Mixing matrices allow for mixing between JW and O stocks from Apr.-Sep.

| Hyp C (single J-stock); Proportion of J and OW mixed with OE | Sample size | Prop JW | SE | Prop OW | SE | Sample size | Prop JW | SE | Prop OW | SE |
|--|-------------|---------|-------|---------|-------|-------------|---------|-------|---------|-------|
| Jan.-Mar. Males | 0 | 1.000 | 0.005 | 0.000 | 0.001 | 0 | | | | |
| Apr. | 2 | 0.070 | 0.069 | 0.000 | 0.001 | 0 | | | | |
| May | 14 | 0.000 | 0.000 | 0.000 | 0.001 | 0 | | | | |
| Jun. | 9 | 0.000 | 0.000 | 0.000 | 0.013 | 0 | | | | |
| Jul. | 30 | 0.176 | 0.073 | 0.000 | 0.003 | 28 | 0.215 | 0.045 | 0.026 | 0.119 |
| Aug. | 22 | 0.346 | 0.114 | 0.186 | 0.196 | 19 | 0.457 | 0.060 | 0.049 | 0.171 |
| Sep. | 5 | 0.195 | 0.180 | 0.364 | 0.778 | 1 | 0.801 | 0.213 | 0.000 | 0.002 |
| Oct.-Dec. Females | 6 | 1.000 | 0.002 | 0.000 | 0.001 | 6 | 1.000 | 0.001 | 0.000 | 0.000 |
| Jan.-Mar. | 0 | 0.628 | 0.073 | 0.147 | 0.117 | 0 | | | | |
| Apr. | 55 | 0.023 | 0.028 | 0.290 | 0.173 | 0 | | | | |
| May | 51 | 0.270 | 0.092 | 0.062 | 0.227 | 1 | 0.824 | 0.235 | 0.000 | 0.004 |
| Jun. | 25 | 0.409 | 0.104 | 0.000 | 0.002 | 22 | 0.444 | 0.052 | 0.000 | 0.002 |
| Jul. | 24 | 0.000 | 0.006 | 0.330 | 0.269 | 11 | 0.175 | 0.065 | 0.157 | 0.209 |
| Aug. | 16 | 0.000 | 0.001 | 1.000 | 0.020 | 0 | | | | |
| Sep. | 2 | 0.000 | 0.001 | 0.000 | 0.001 | 7 | 0.959 | 0.037 | 0.000 | 0.001 |
| Oct.-Dec. | 7 | 1.000 | 0.001 | 0.000 | 0.001 | 7 | 0.435 | 0.026 | 0.000 | 0.001 |
| Jan.-Dec. M+F | 268 | 0.299 | 0.031 | 0.145 | 0.068 | 95 | 0.312 | 0.030 | 0.000 | 0.001 |

| Hyp C (single O-stock); Proportion of JW mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. Males | 0 | 1.000 | 0.007 | 0 | | |
| Apr. | 2 | 0.070 | 0.069 | 0 | | |
| May | 14 | 0.000 | 0.001 | 0 | | |
| Jun. | 9 | 0.000 | 0.001 | 0 | | |
| Jul. | 30 | 0.171 | 0.072 | 28 | 0.228 | 0.045 |
| Aug. | 22 | 0.359 | 0.111 | 19 | 0.461 | 0.058 |
| Sep. | 5 | 0.168 | 0.186 | 1 | 0.796 | 0.205 |
| Oct.-Dec. Females | 6 | 1.000 | 0.005 | 6 | 0.999 | 0.000 |
| Jan.-Mar. | 0 | 0.645 | 0.069 | 0 | | |
| Apr. | 55 | 0.013 | 0.036 | 0 | | |
| May | 51 | 0.258 | 0.093 | 1 | 0.906 | 0.240 |
| Jun. | 25 | 0.401 | 0.105 | 22 | 0.458 | 0.050 |
| Jul. | 24 | 0.010 | 0.065 | 11 | 0.206 | 0.067 |
| Aug. | 16 | 0.000 | 0.007 | 0 | | |
| Sep. | 2 | 1.000 | 0.002 | 7 | 0.960 | 0.036 |
| Oct.-Dec. | 7 | 1.000 | 0.002 | 7 | 0.448 | 0.025 |
| Jan.-Dec. M+F | 268 | 0.304 | 0.030 | 95 | | |

Pooling for input to conditioning:

| Hyp C (single J-stock); Proportion of J and OW mixed with OE | Sample size | Prop J | SE | Prop OW | SE | Sample size | Prop J | SE | Prop OW | SE |
|--|-------------|--------|-------|---------|-------|-------------|--------|-------|---------|-------|
| Jan.-Mar. M | 16 | 0.180 | 0.099 | 0.000 | 0.003 | 0 | | | | |
| Apr.-May M | 66 | 0.204 | 0.054 | 0.114 | 0.142 | 48 | 0.316 | 0.037 | 0.032 | 0.095 |
| Jun.-Sep. F | 55 | 0.628 | 0.073 | 0.147 | 0.117 | 0 | | | | |
| Apr. F | 51 | 0.023 | 0.028 | 0.290 | 0.173 | 0 | | | | |
| May F | 67 | 0.254 | 0.056 | 0.062 | 0.132 | 34 | 0.367 | 0.041 | 0.018 | 0.106 |
| Jun.-Sep. F | 67 | 0.254 | 0.056 | 0.062 | 0.132 | 34 | 0.367 | 0.041 | 0.018 | 0.106 |

| Hyp C (single O-stock); Proportion of JW mixed with O | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Jan.-Mar. M | 16 | 0.175 | 0.099 | 0 | | |
| Apr.-May M | 66 | 0.201 | 0.054 | 48 | 0.327 | 0.036 |
| Jun.-Sep. F | 55 | 0.645 | 0.069 | 0 | | |
| Apr. F | 51 | 0.013 | 0.036 | 0 | | |
| May F | 67 | 0.245 | 0.056 | 34 | 0.39 | 0.041 |
| Jun.-Sep. F | 67 | 0.245 | 0.056 | 34 | 0.39 | 0.041 |

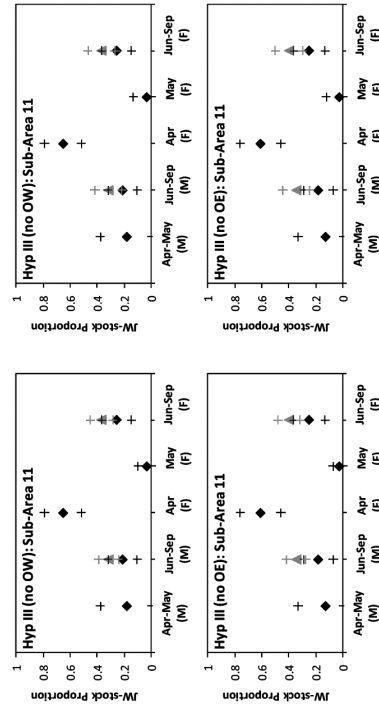
| Hyp C (no OE-stock): Proportion of JW mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | | | | | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 2 | 1.000 | 0.007 | 0 | | |
| May | 14 | 0.067 | 0.072 | 0 | | |
| Jun. | 9 | 0.000 | 0.001 | 0 | | |
| Jul. | 30 | 0.167 | 0.075 | 28 | 0.251 | 0.045 |
| Aug. | 22 | 0.307 | 0.115 | 19 | 0.474 | 0.058 |
| Sep. | 5 | 0.200 | 0.179 | 1 | 0.824 | 0.220 |
| Oct.-Dec. | 6 | 1.000 | 0.005 | 6 | 0.999 | 0.000 |
| Females | | | | | | |
| Jan.-Mar. | 0 | | | 0 | | |
| Apr. | 55 | 0.610 | 0.075 | 0 | | |
| May | 51 | 0.024 | 0.024 | 0 | | |
| Jun. | 25 | 0.268 | 0.095 | 1 | 0.839 | 0.257 |
| Jul. | 24 | 0.419 | 0.115 | 22 | 0.480 | 0.051 |
| Aug. | 16 | 0.000 | 0.003 | 11 | 0.203 | 0.067 |
| Sep. | 2 | 0.000 | 0.007 | 0 | | |
| Oct.-Dec. | 7 | 1.000 | 0.002 | 7 | 0.965 | 0.033 |
| Jan.-Dec. | 268 | 0.272 | 0.031 | 95 | 0.464 | 0.025 |
| M+F | | | | | | |

Pooling for input to conditioning:

| Hyp C (no OW-stock): Proportion of JW mixed with OE | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | | | | | | |
| Apr.-May | 16 | 0.126 | 0.103 | 0 | | |
| Jun.-Sep. | 66 | 0.181 | 0.054 | 48 | 0.346 | 0.036 |
| Apr. | 55 | 0.610 | 0.075 | 0 | | |
| May | 51 | 0.024 | 0.024 | 0 | | |
| Jun.-Sep. | 67 | 0.249 | 0.058 | 34 | 0.399 | 0.041 |
| Females | | | | | | |
| Apr.-May | 16 | 0.180 | 0.099 | 0 | | |
| Jun.-Sep. | 66 | 0.212 | 0.054 | 48 | 0.317 | 0.037 |
| Apr. | 55 | 0.654 | 0.068 | 0 | | |
| May | 51 | 0.032 | 0.033 | 0 | | |
| Jun.-Sep. | 67 | 0.256 | 0.055 | 34 | 0.368 | 0.041 |

| Hyp C (no OE-stock): Proportion of JW mixed with OW | Sample size | Proportion Haplotypes | SE | Sample size (x16) | Proportion Loci | SE |
|---|-------------|-----------------------|-------|-------------------|-----------------|-------|
| Males | | | | | | |
| Apr.-May | 16 | 0.180 | 0.099 | 0 | | |
| Jun.-Sep. | 66 | 0.212 | 0.054 | 48 | 0.317 | 0.037 |
| Apr. | 55 | 0.654 | 0.068 | 0 | | |
| May | 51 | 0.032 | 0.033 | 0 | | |
| Jun.-Sep. | 67 | 0.256 | 0.055 | 34 | 0.368 | 0.041 |
| Females | | | | | | |
| Apr.-May | 16 | 0.180 | 0.099 | 0 | | |
| Jun.-Sep. | 66 | 0.212 | 0.054 | 48 | 0.317 | 0.037 |
| Apr. | 55 | 0.654 | 0.068 | 0 | | |
| May | 51 | 0.032 | 0.033 | 0 | | |
| Jun.-Sep. | 67 | 0.256 | 0.055 | 34 | 0.368 | 0.041 |

Plots of pooled mixing proportions for JW-stock (¹) mixing with OW/OE-stock. RH plots are with a minimum 0.05 SE.



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Appendix 3

SUMMARY OF ABUNDANCE ESTIMATES OF THE NORTH PACIFIC
COMMON MINKE WHALES IN RMP/IST

Tomio Miyashita and Takahashi Hakamada

To correspond to the request from the Second Intersessional Workshop for the North Pacific common minke whale RMP/IST review in March 2013, we presented the figures showing primary effort, primary position, survey block, sub-area and area definition for abundance estimation. We also present the table including area size, research distance and number of primary sightings, effective search and references.

Table 1
Summary of abundance estimates of the western North Pacific common minke whales in RMP/ISTs.

| Sub-area | Year | Aerial coverage (%) | Timing | Area size (n.miles ²) | effort (n.mile) | <i>n</i> | Encounter rate (/100 n.miles) | ESW (n.miles) | Mean school size | <i>P</i> | CV(P) | Fig. | Reference |
|----------|------|---------------------|-----------|-----------------------------------|-----------------|----------|-------------------------------|---------------|------------------|----------|-------|------|--|
| 6E | 2002 | 79.1 | May-Jun. | 71,914 | 2,605 | 21 | 0.806 | 0.361 | 1.11 | 891 | 0.608 | 10 | Miyashita <i>et al.</i> (2009) |
| | 2003 | 79.1 | May-Jun. | 71,914 | 2,483 | 19 | 0.846 | 0.361 | 1.11 | 935 | 0.357 | 11 | Miyashita <i>et al.</i> (2009) |
| | 2004 | 79.1 | May-Jun. | 71,914 | 1,064 | 7 | 0.658 | 0.361 | 1.11 | 727 | 0.372 | 12 | Miyashita <i>et al.</i> (2009) |
| 7CS | 2004 | 36.7 | May | 9,853 | 129 | 7 | 5.435 | 0.606 | 1.14 | 504 | 0.291 | 3 | Agreed at 2013 Workshop, IWC (2014) |
| | 2006 | 100.0 | Jun.-Jul. | 26,826 | 264 | 23 | 8.718 | 0.431 | 1.36 | 3,690 | 1.199 | 5 | Hakamada and Kitakado (2010rev) |
| | 2012 | 100.0 | May-Jun. | 26,826 | 851 | 16 | 1.880 | 0.349 | 1.23 | 890 | 0.393 | 7 | Hakamada <i>et al.</i> (2013rev) |
| 7CN | 2003 | 75.4 | May | 18,281 | 247 | 3 | 1.214 | 0.604 | 1.00 | 184 | 0.805 | 2 | Hakamada and Kitakado (2010rev) |
| | 2012 | 66.7 | May-Jun. | 16,171 | 649 | 17 | 2.619 | 0.863 | 1.23 | 302 | 0.454 | 7 | Hakamada <i>et al.</i> (2013rev) |
| | 2012 | 66.7 | Sep. | 16,171 | 550 | 19 | 3.453 | 0.863 | 1.23 | 398 | 0.507 | 7 | Hakamada <i>et al.</i> (2013rev) |
| 7WR | 2003 | 26.7 | May-Jun. | 21,939 | 668 | 7 | 1.048 | 0.431 | 1.00 | 267 | 0.700 | 2 | Agreed at 2013 Workshop, IWC (2014) |
| | 2004 | 88.8 | May-Jun. | 72,991 | 789 | 7 | 0.887 | 0.484 | 1.29 | 863 | 0.648 | 3 | Hakamada and Kitakado (2010rev) |
| | 2007 | 88.8 | Jun.-Jul. | 72,991 | 465 | 3 | 0.645 | 0.431 | 1.00 | 546 | 0.953 | 6 | Hakamada and Kitakado (2010rev) |
| 7E | 2004 | 57.1 | May-Jun. | 48,208 | 390 | 3 | 0.770 | 0.422 | 1.00 | 440 | 0.779 | 3 | Hakamada and Kitakado (2010rev) |
| | 2006 | 57.1 | May-Jun. | 48,208 | 461 | 2 | 0.433 | 0.422 | 1.00 | 247 | 0.892 | 5 | Hakamada and Kitakado (2010rev) |
| | 2007 | 57.1 | Jun.-Jul. | 48,208 | - | 0 | 0.000 | - | - | 0 | - | 6 | Hakamada and Kitakado (2010rev) |
| 8 | 1990 | 62.2 | Aug.-Sep. | - | - | - | - | - | - | 1,057 | 0.706 | 8,9 | IWC (1997, p.203; p.211) |
| | 2002 | 65.0 | Jun.-Jul. | 162,689 | 1,184 | 0 | 0.000 | - | - | 0 | - | 1 | Hakamada and Kitakado (2010rev) |
| | 2004 | 40.5 | Jun. | 101,373 | 917 | 8 | 0.872 | 0.461 | 1.14 | 1,093 | 0.576 | 3 | Hakamada and Kitakado (2010rev) |
| | 2005 | 65.0 | May-Jul. | 162,789 | 1,434 | 1 | 0.070 | 0.431 | 1.00 | 132 | 1.047 | 4 | Hakamada and Kitakado (2010rev) |
| | 2006 | 65.0 | May-Jul. | 162,789 | 1,039 | 3 | 0.289 | 0.761 | 1.00 | 309 | 0.677 | 5 | Hakamada and Kitakado (2010rev) |
| | 2007 | 65.0 | Jun.-Jul. | 162,789 | 914 | 2 | 0.219 | 0.456 | 1.00 | 391 | 1.013 | 6 | Hakamada and Kitakado (2010rev) |
| 9 | 1990 | 35.1 | Aug.-Sep. | - | - | - | - | - | - | 8,264 | 0.396 | 8,9 | IWC (2004) |
| | 2003 | 33.2 | Jul.-Sep. | 190,676 | 2,533 | 40 | 1.579 | 0.609 | 1.03 | 2,546 | 0.276 | 2 | Hakamada and Kitakado (2010rev) |
| 9N | 2005 | 67.8 | Aug.-Sep. | 188,452 | 605 | 1 | 0.165 | 0.371 | 1.00 | 420 | 0.969 | 15 | Miyashita and Okamura (2011) |
| 10W | 2006 | 59.9 | May-Jun. | 69,009 | 1,542 | 36 | 2.335 | 0.361 | 1.11 | 2,476 | 0.312 | 16 | Miyashita and Okamura (2011) |
| 10E | 2002 | 100.0 | May-Jun. | 27,823 | 629 | 12 | 1.908 | 0.361 | 1.11 | 816 | 0.658 | 10 | Miyashita <i>et al.</i> (2009) |
| | 2003 | 100.0 | May-Jun. | 27,823 | 422 | 4 | 0.948 | 0.361 | 1.11 | 405 | 0.566 | 11 | Miyashita <i>et al.</i> (2009) |
| | 2004 | 100.0 | May-Jun. | 27,823 | 631 | 7 | 1.109 | 0.361 | 1.11 | 474 | 0.537 | 12 | Miyashita <i>et al.</i> (2009) |
| | 2005 | 64.6 | May-Jun. | 27,823 | 513 | 8 | 1.559 | 0.361 | 1.11 | 599 | 0.441 | 13 | Agreed at 2013 Workshop, IWC (2014) |
| 11 | 1990 | 100.0 | Aug.-Sep. | - | - | - | - | - | - | 2,120 | 0.449 | 8,9 | Agreed in 2003, extract from Buckland <i>et al.</i> (1992) |
| | 1999 | 100.0 | Aug.-Sep. | - | - | - | - | - | - | 1,456 | 0.565 | 20 | IWC (2004) |
| | 2003 | 33.9 | Aug.-Sep. | 15,243 | 265 | 10 | 3.774 | 0.361 | 1.11 | 882 | 0.820 | 14 | Miyashita and Okamura (2011) |
| | 2007 | 20.2 | Aug.-Sep. | 9,064 | 535 | 19 | 3.551 | 0.473 | 1.11 | 377 | 0.389 | 17 | Miyashita and Okamura (2011) |
| 12SW | 1990 | 100.0 | Aug.-Sep. | - | - | - | - | - | - | 5,244 | 0.806 | 8,9 | Agreed in 2003, extract from Buckland <i>et al.</i> (1992) |
| | 2003 | 100.0 | Aug.-Sep. | 84,015 | 493 | 13 | 2.637 | 0.361 | 1.11 | 3,401 | 0.409 | 14 | Miyashita and Okamura (2011) |
| 12NE | 1990 | 100.0 | Aug.-Sep. | - | - | - | - | - | - | 10,397 | 0.364 | 8,9 | Agreed in 2003, extract from Buckland <i>et al.</i> (1992) |
| | 1992 | 89.4 | Aug.-Sep. | - | - | - | - | - | - | 11,544 | 0.380 | 21 | IWC (2004); Miyashita and Shimada (1994) |
| | 1999 | 63.8 | Aug.-Sep. | - | - | - | - | - | - | 5,088 | 0.377 | | Agreed at 2013 Workshop, IWC (2014) |
| | 2003 | 46.0 | Aug.-Sep. | 151,111 | 694 | 39 | 5.620 | 0.361 | 1.11 | 13,067 | 0.287 | 14 | Miyashita and Okamura (2011) |